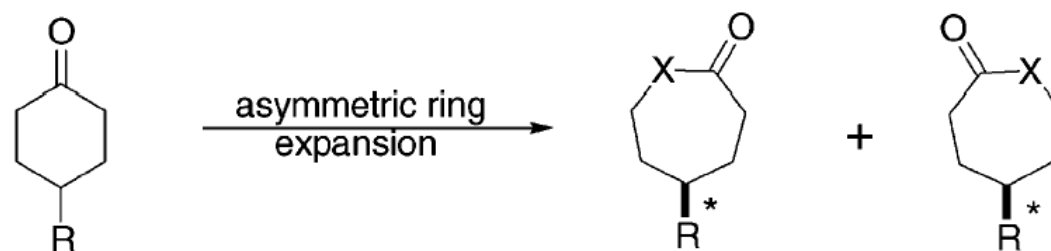

Asymmetric Schmidt Reaction of Hydroxyalkyl Azides with Ketones

J. Am. Chem. Soc. **2003**, *125*, 7914-7922

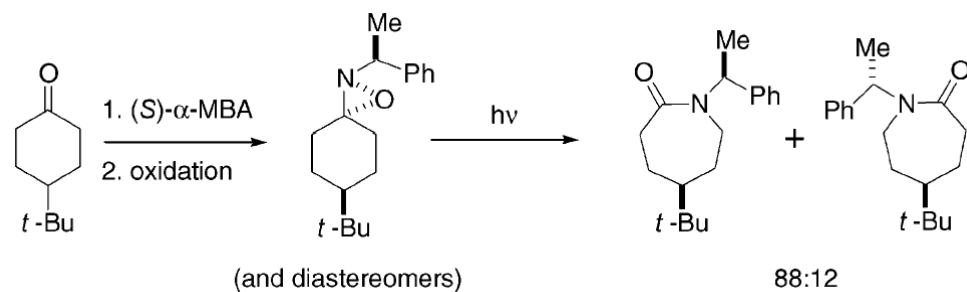
Kiran Sahasrabudhe, Vijaya Gracias, Kelly Furness, Brenton T. Smith,
Christopher E. Katz, D. Srinivasa Reddy, and Jeffrey Aubé



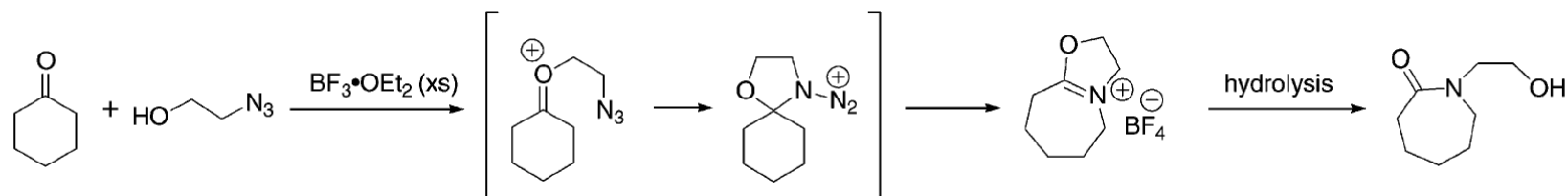
X = N

Methods for Asymmetric Conversion of Ketones to Lactams

➤ Photochemical rearrangement of chiral oxaziridines



➤ Schmidt reaction using chiral alkyl azides

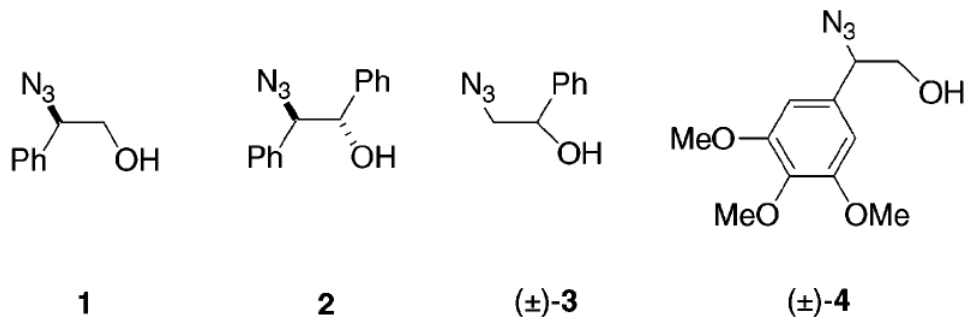


Aube, J.; Wang, Y.; Hammond, M.; Ranol, M.; Rakusagawa, F.; Vander, V, D. *J. Am. Chem. Soc.* **1990**, *112*, 4879-4891.
Furness, K.; Aube, J. *Org. Lett.* **1999**, *1*, 495-497.

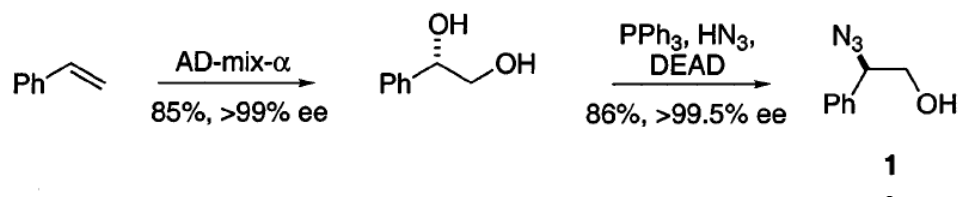
Comparison of Two Methods

Oxaziridines	Asymmetric Schmidt reaction
A chiral methylbenzylamine as a stereocontrolling group	Using a chiral alkyl azide
Three discrete steps and moderate overall yield (around 70%)	One-pot, one-workup procedure and higher overall yield (85%-100%)
Relatively low stereoselectivity (88:12 as the best result)	Considerably greater stereoselectivity (49:1 as the best result)

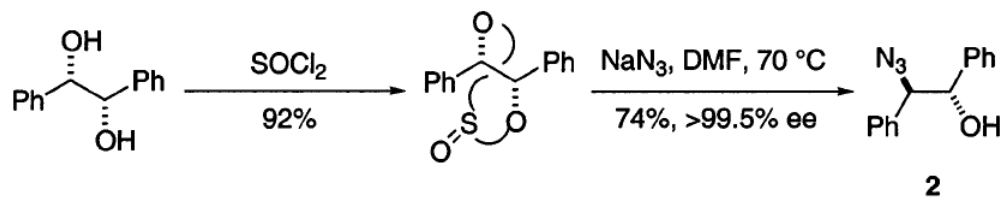
Selection and Synthesis of Hydroxyalkyl Azides – 1,2-azido alcohol



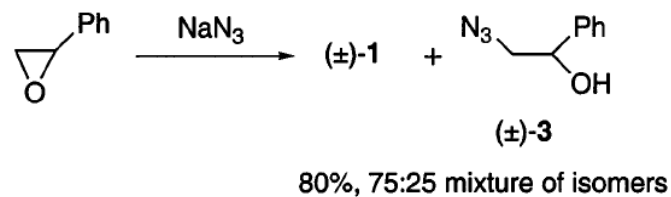
➤ Mitsunobu procedure:



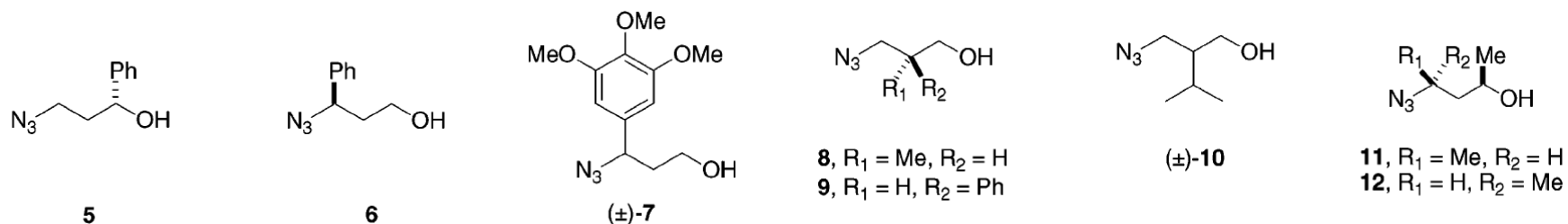
➤ Using activated diols:



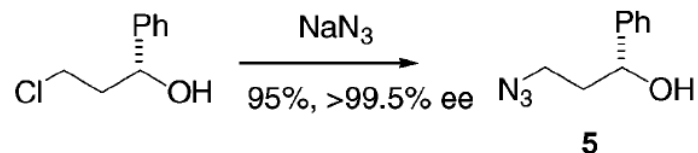
➤ Ring opening of epoxides:



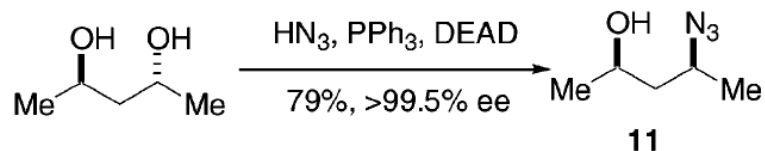
Selection and Synthesis of Hydroxyalkyl Azides – 1,3-azido alcohol



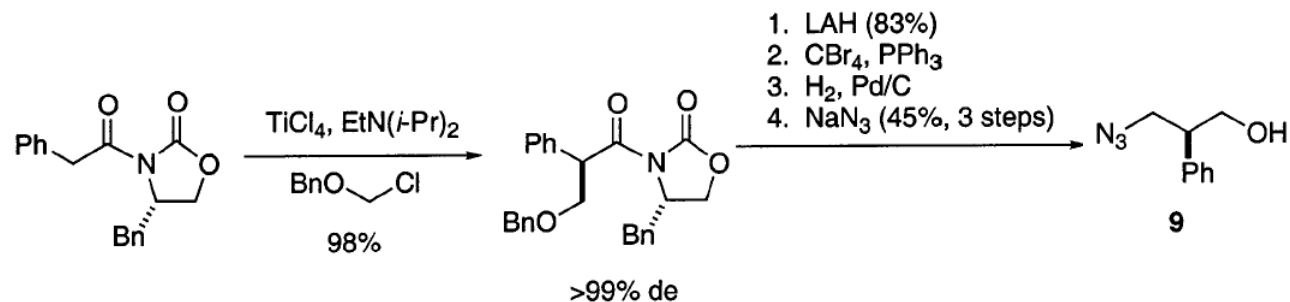
- Simple azide displacement:
(compound 5 and 8)



- Mitsunobu reactions:
(compound 6, 7, 10 and 11)



- Multistep pathways:
(compound 9 and 12)



Solvent Selection

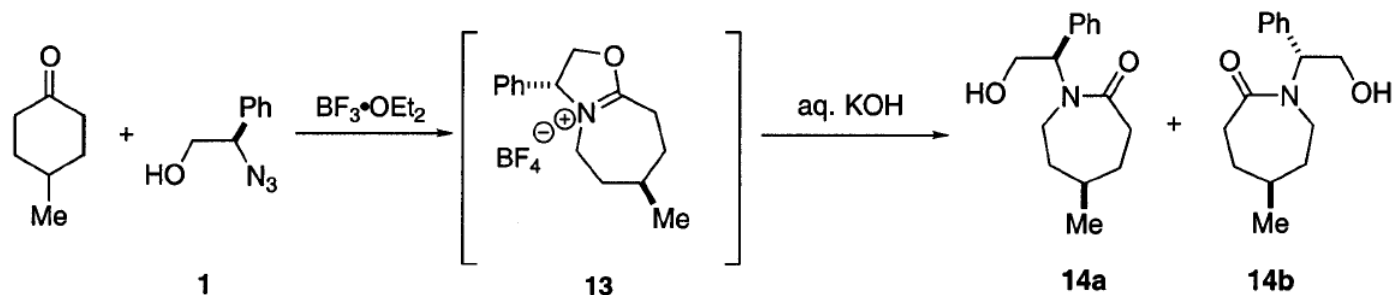
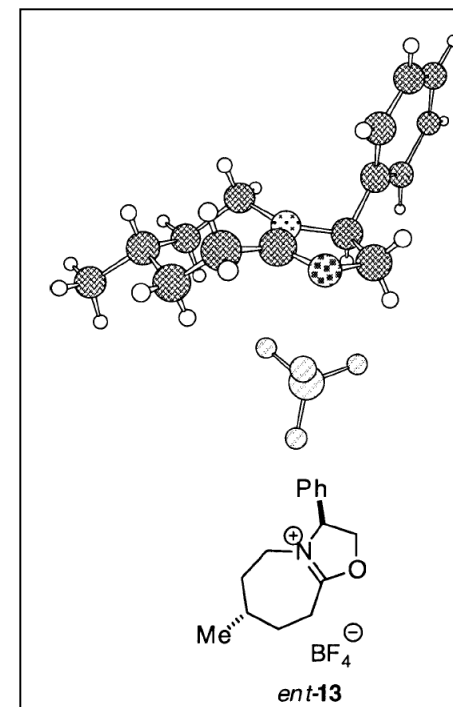


Table 1. Reactions of **1** with 4-Methylcyclohexanone

entry	solvent	T ($^{\circ}\text{C}$)	time (h)	yield (%) ^a	ratio of 14a : 14b ^b
1	THF	$-78 \rightarrow \text{rt}$	36	0	—
2	Et_2O	0	21	3 ^c	73:27
3	cyclohexane	3	18	74	73:27
4	CH_3CN	-30	18	41	69:31
5	CH_2Cl_2	-30	18	15 ^c	83:17
6	CH_2Cl_2	-30	100	62	70:30
7	CH_2Cl_2	0	21	86	69:31
8	CCl_4	-20	18	97	78:22
9	<i>n</i> -pentane	-20	23	100	78:22

^a Isolated yields except where noted. ^b Ratios determined by HPLC of crude reaction mixtures. ^c Yield for this example estimated from HPLC trace.



Effects of Substituents on Ketones and 1,2-Hydroxyalkyl Azides

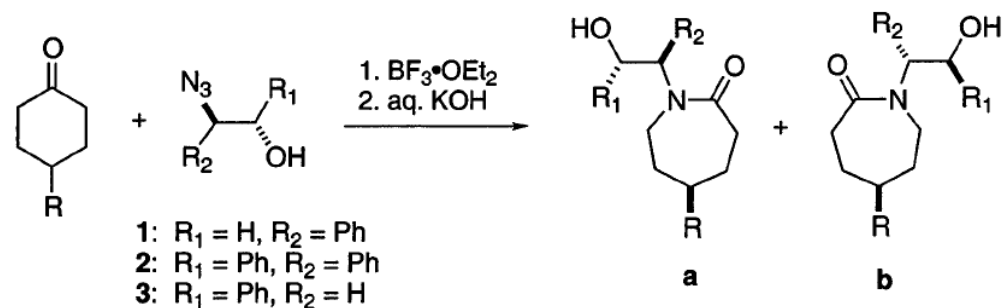


Table 2. Reactions of 1,2-Hydroxyethyl Azides with 4-substituted Ketones

entry	azide	ketone R	major product	yield (%) ^a	ratio a:b ^b
1	1	Me	14a	97	78:22
2	1	<i>t</i> -Bu	15a	83	85:15
3	2	Me	16a	51	87:13
4	2	<i>t</i> -Bu	17a	64	88:12
5	3^c	<i>t</i> -Bu	18a or 18b^d	73	56:44

^a Isolated yield. ^b Ratio determined by HPLC trace of the crude reaction mixture.

^c This experiment was done using a racemic hydroxyalkyl azide. ^d Not assigned for this example.

Reactions of 1,3-Hydroxyalkyl Azides

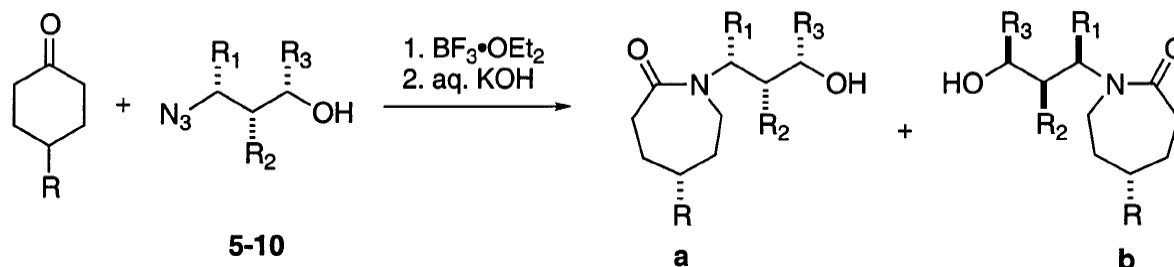


Table 3. Reactions of Monosubstituted 1,3-Hydroxyalkyl Azides with 4-Substituted Cyclohexanones

entry	ketone R	azide	azide			products	ratio a : b ^a	yield (%) ^b
			R ₁	R ₂	R ₃			
1	Me	5	H	H	Ph	19	93:7	98
2	Ph	5	H	H	Ph	20	96:4	99
3	<i>t</i> -Bu	5	H	H	Ph	21	95:5	100
4	Me	6^c	Ph	H	H	22^c	89:11	96
5	<i>t</i> -Bu	6^c	Ph	H	H	23^c	90:10	94
6	<i>t</i> -Bu	7^d	–C ₆ H(OMe) ₃	H	H	24^d	90:10 ^{d,e}	90
7	Me	8	H	Me	H	25	78:22	93
8	<i>t</i> -Bu	8	H	Me	H	26	74:26	98
9	Me	9^c	H	Ph	H	27^c	60:40	93
10	<i>t</i> -Bu	9^c	H	Ph	H	28^c	60:40	98
11	Me	10^d	H	<i>i</i> -Pr	H	29^d	88:12 ^d	88
12	<i>t</i> -Bu	10^d	H	<i>i</i> -Pr	H	30^d	88:12 ^d	85

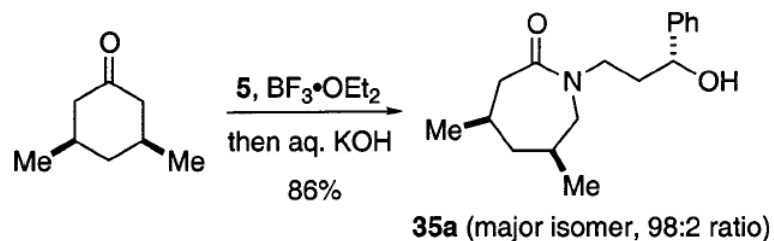
^a Ratio determined by HPLC of crude reaction mixtures, except where noted. ^b Total yields of isolated purified lactams.

^c This reaction was done in the enantiomeric series to that shown in this table. ^d This experiment was done using a

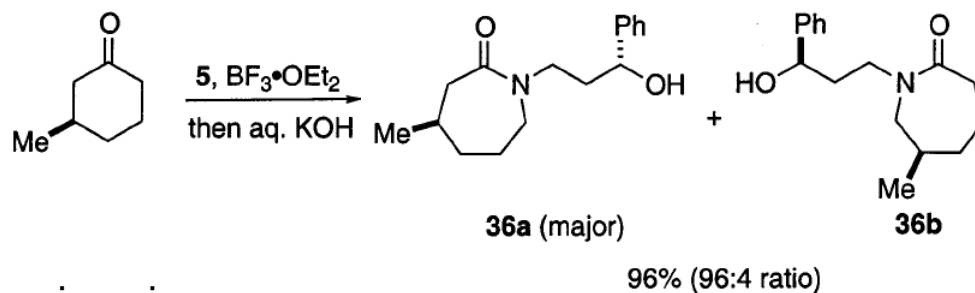
racemic hydroxyalkyl azide. ^e Ratio estimated by ¹H NMR examination of the crude reaction mixture.

Other Effects of the Ketones

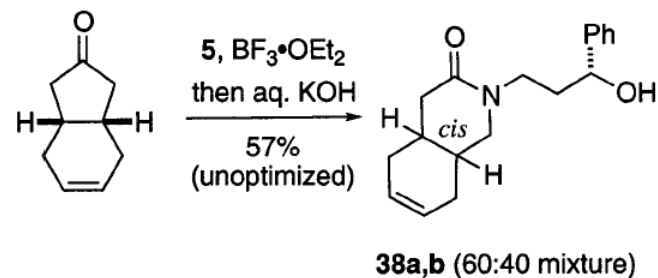
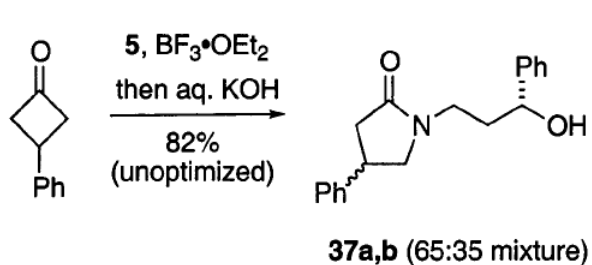
➤ Meso disubstituted cyclohexanone



➤ 3-Substituted cyclohexanone

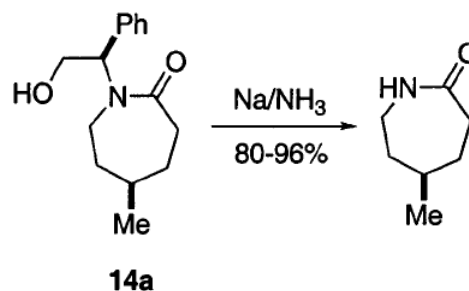


➤ Alternative ring sizes

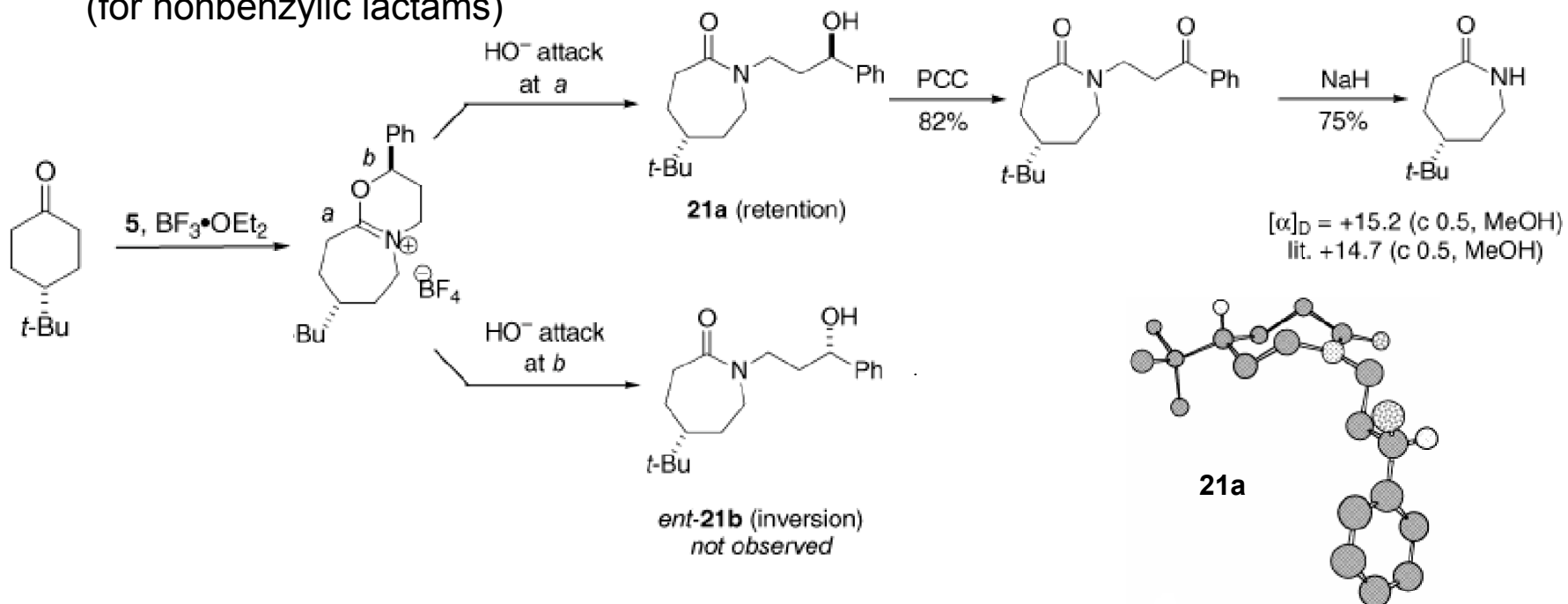


N-Dealkylation of the Lactams

- Dissolving metal reduction:
(for benzylic lactams)

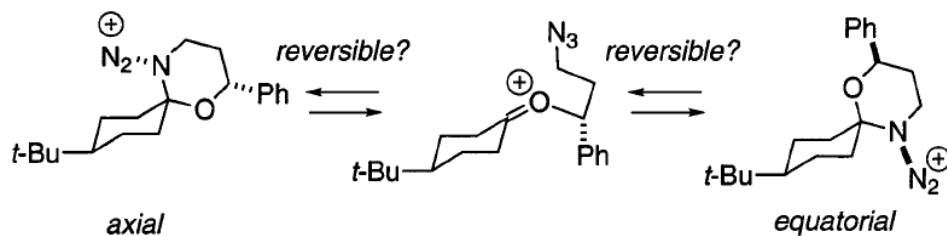


- Oxidation and elimination:
(for nonbenzylic lactams)

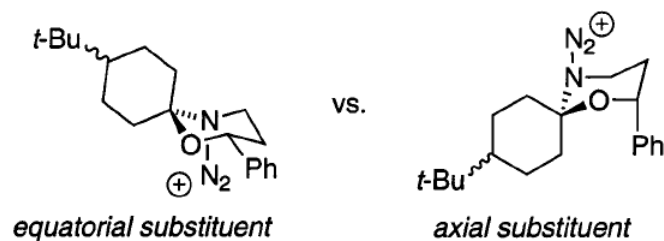


Mechanistic Hypotheses

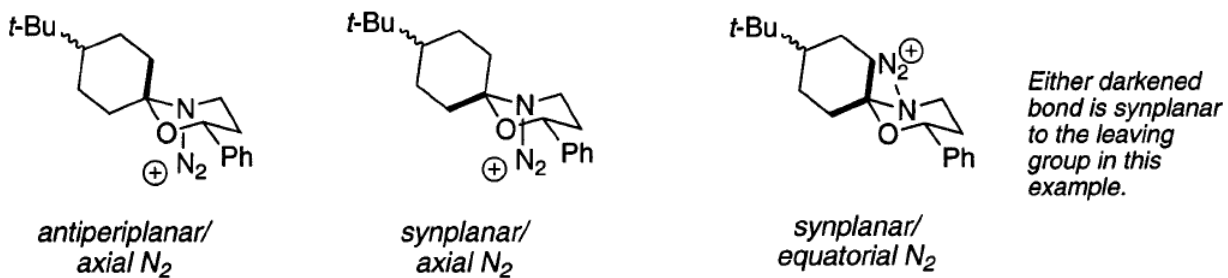
(a) Equatorial vs. axial addition



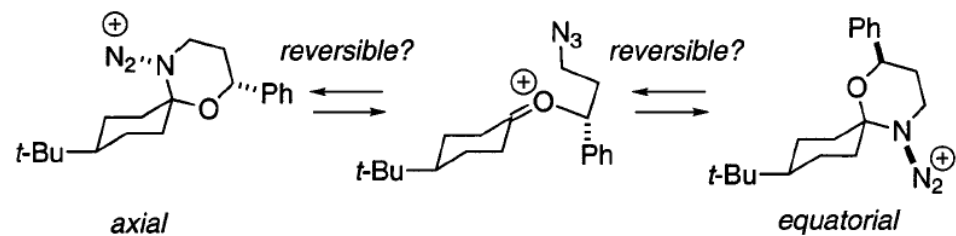
(b) Conformation of the new ring



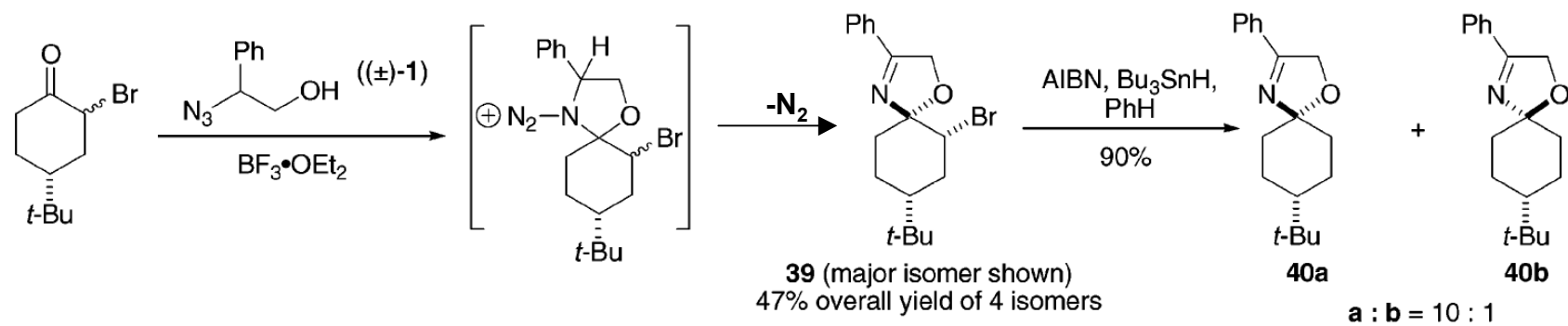
(c) Relationship between leaving group and the migrating bond (the migrating bond is darkened)



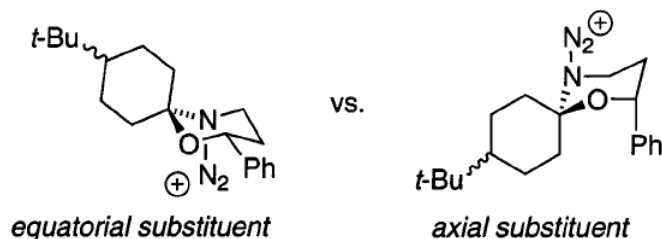
Addition of Azides



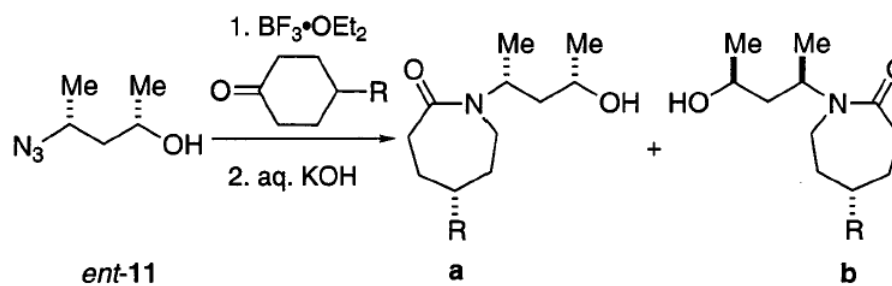
➤ Example with 1,2-azido alcohol



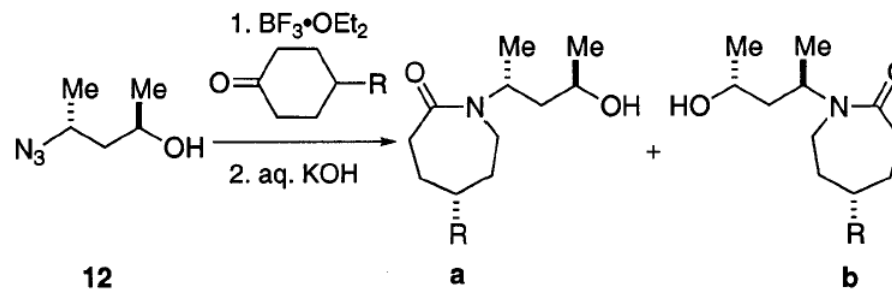
Conformation of the New Ring- A



- Example 1:
Difference in the reaction
of cis- and trans- 1,3-azide



R = Me: **31a** : **31b** = 98:2 (98% yield)
R = *t*-Bu: **32a** : **32b** = 94:6 (94% yield)



R = Me: **33a,b*** = 59:41 (98% yield)
R = *t*-Bu: **34a,b*** = 60:40 (98% yield)

- Example 2:
The size of the substituent on azides affect the selectivity.
Lower selectivity of the 2-substituted azidopropanols. (table 3)

Conformation of the New Ring- B

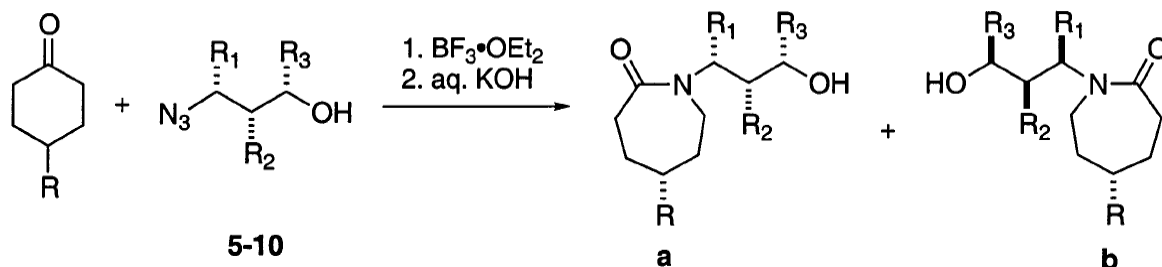
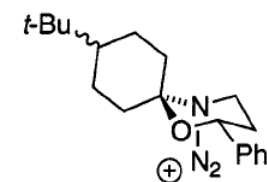


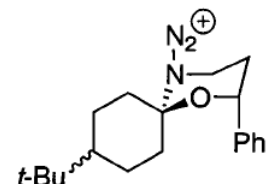
Table 3. Reactions of Monosubstituted 1,3-Hydroxyalkyl Azides with 4-Substituted Cyclohexanones

entry	ketone R	azide	azide			products	ratio a:b ^a	yield (%) ^b
			R ₁	R ₂	R ₃			
1	Me	5	H	H	Ph	19	93:7	98
2	Ph	5	H	H	Ph	20	96:4	99
3	<i>t</i> -Bu	5	H	H	Ph	21	95:5	100
4	Me	6^c	Ph	H	H	22^c	89:11	96
5	<i>t</i> -Bu	6^c	Ph	H	H	23^c	90:10	94
6	<i>t</i> -Bu	7^d	-C ₆ H(OMe) ₃	H	H	24^d	90:10 ^{d,e}	90
7	Me	8	H	Me	H	25	78:22	93
8	<i>t</i> -Bu	8	H	Me	H	26	74:26	98
9	Me	9^c	H	Ph	H	27^c	60:40	93
10	<i>t</i> -Bu	9^c	H	Ph	H	28^c	60:40	98
11	Me	10^d	H	<i>i</i> -Pr	H	29^d	88:12 ^d	88
12	<i>t</i> -Bu	10^d	H	<i>i</i> -Pr	H	30^d	88:12 ^d	85



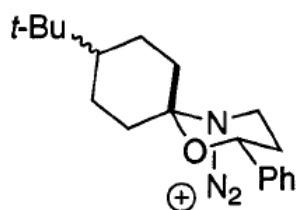
equatorial substituent

vs.

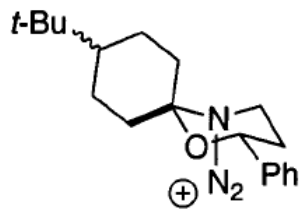


axial substituent

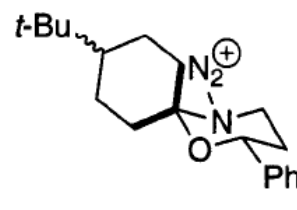
Rationale of Migration



*antiperiplanar/
axial N₂*



*synplanar/
axial N₂*

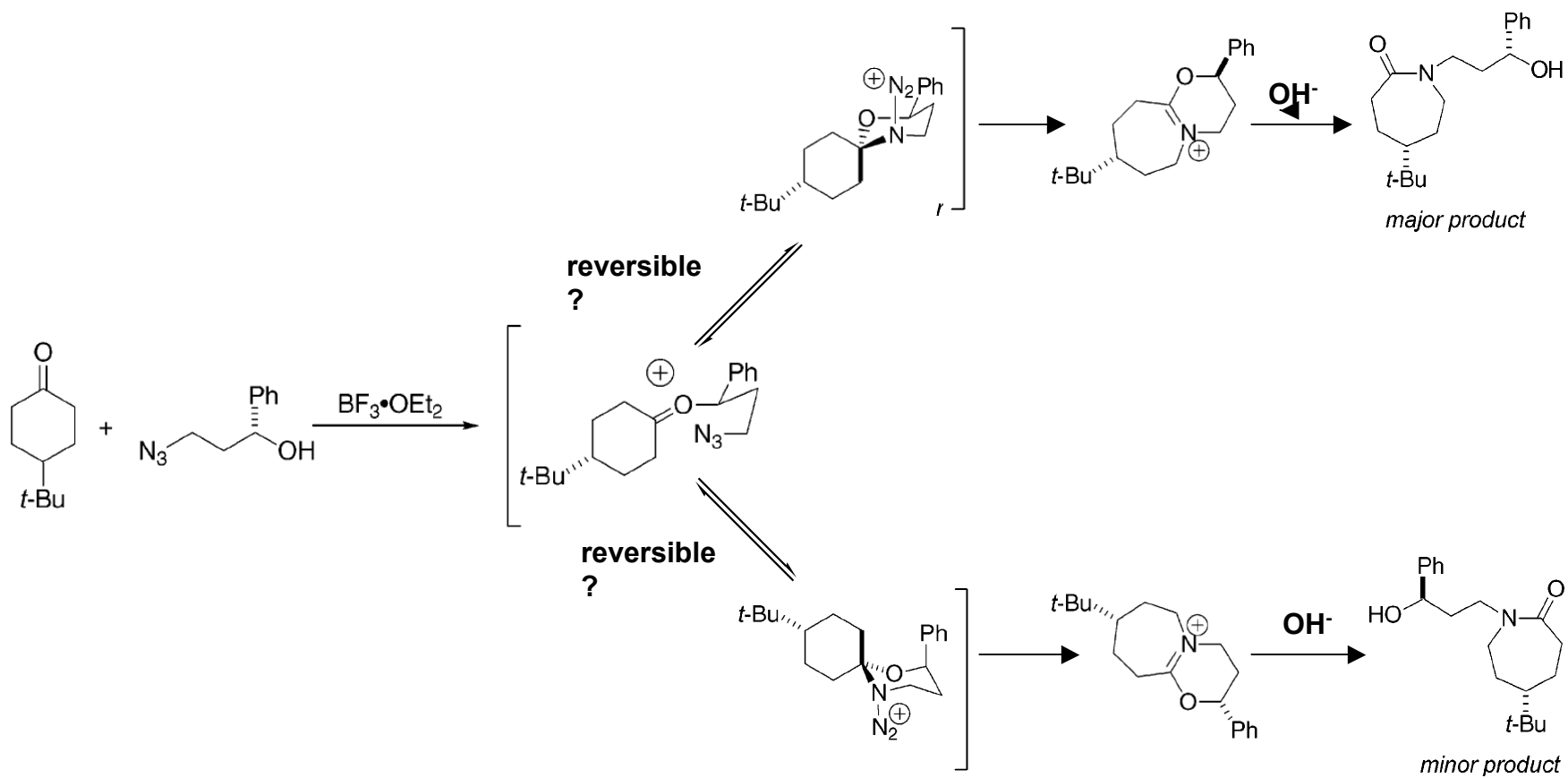


*synplanar/
equatorial N₂*

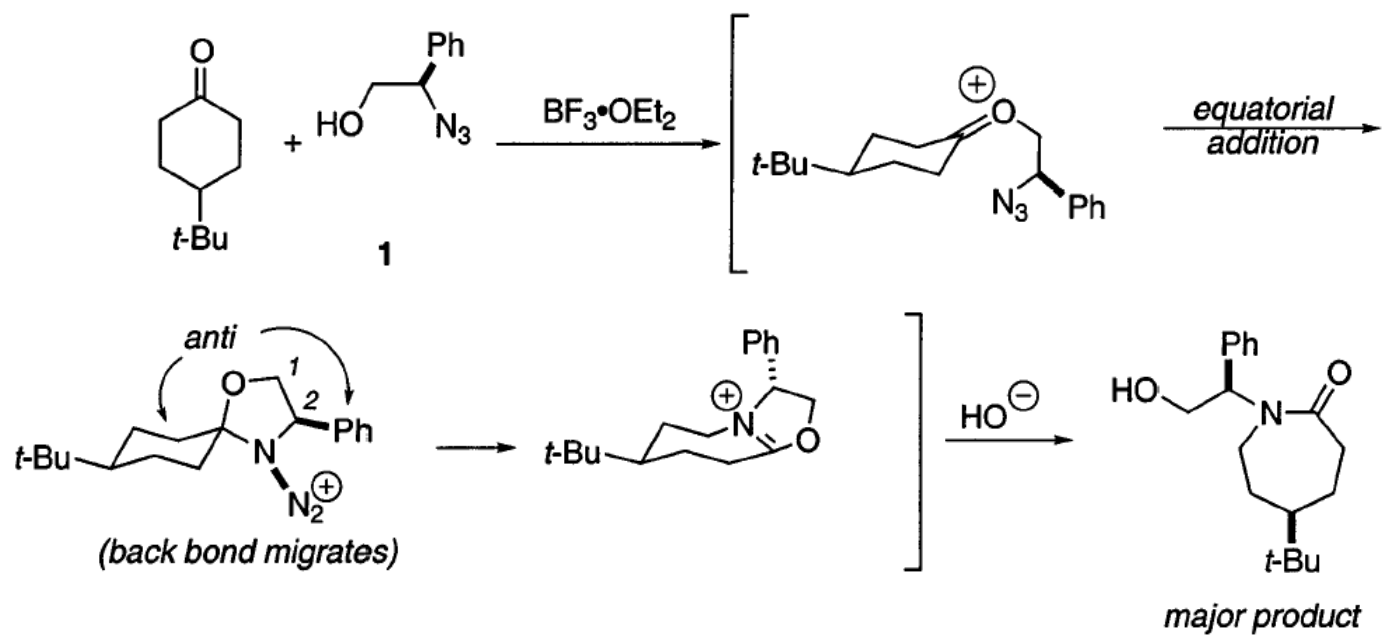
*Either darkened
bond is synplanar
to the leaving
group in this
example.*

- Antiperiplanar rearrangements of leaving group relative to the migrating bond were supported by numerous literatures.
-

Mechanistic Hypotheses for Three-Carbon Tethers



Mechanistic Hypotheses for Two-Carbon Tethers



Summary

- **An asymmetric equivalent of the Schmidt reaction permits the stereocontrol in ring expansions of symmetrical cyclohexanones. Selectivities can be as high as ca. 98:2.**

 - **1,3-azido alcohols are generally more reactive and provide superior selectivities than 1,2-azido alcohols.**

 - **Some initial suggestions were proposed as to how the selectivity obtained in the overall process might be achieved.**
-