

# Advancement of Direct Catalytic Mannich-type Reactions with Esters or Ester-equivalents as Donors

Yong Guan

Oct. 17, 2007

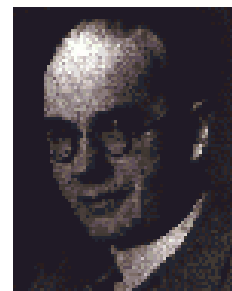
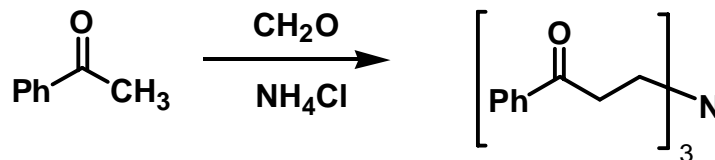
Michigan State University

# Outline

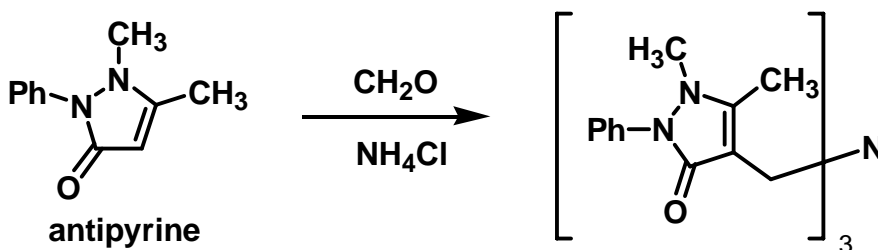
- Background Information
- Esters or Ester-equivalents
  - Glycine Schiff-bases
  - $\beta$ -Keto Esters or Malonates
  - Trichloromethylketones
  - *N*-acylpyrroles
  - *N*-Boc-anilides
  - Diazoacetates
- Conclusions

# Background Information

Tollens and von Marle (1903)

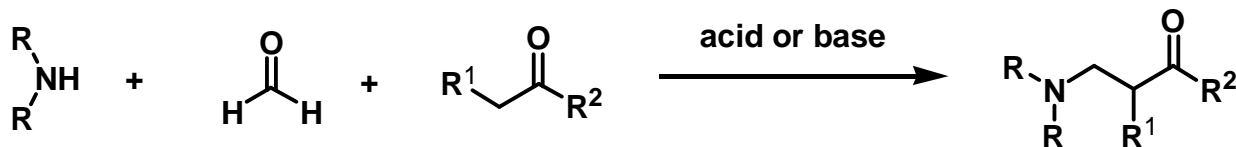


Mannich (1917)



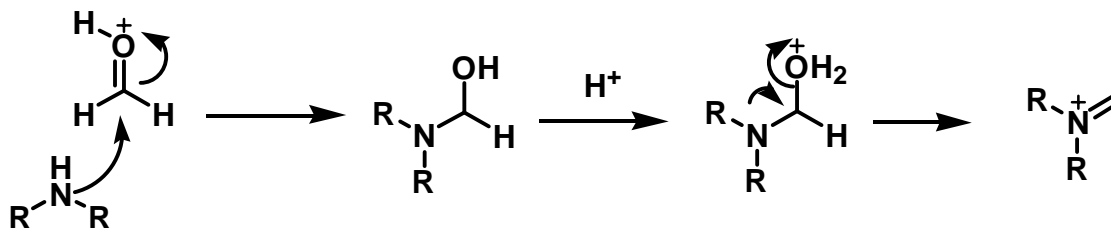
Carl Mannich

Mannich reaction



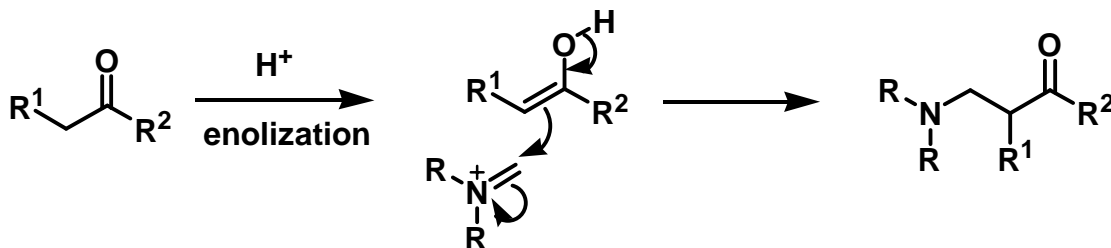
# Reaction Mechanism

Step 1. Formation of the Schiff base

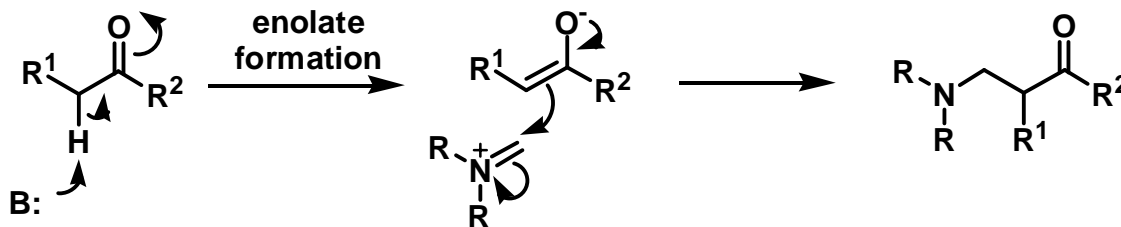


Step 2. Amino alkylation of an acidic hydrogen containing compound

Acidic conditions



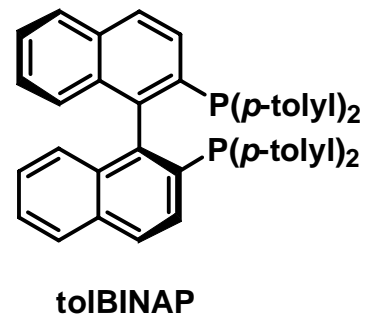
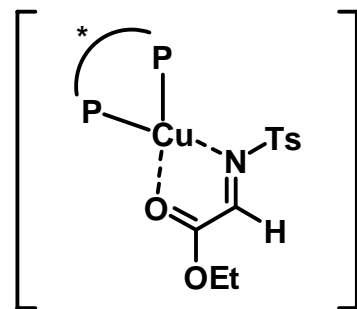
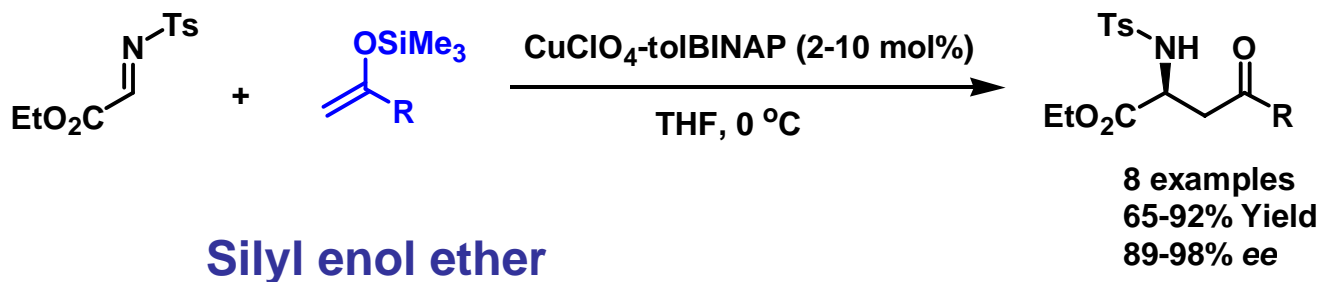
Basic conditions



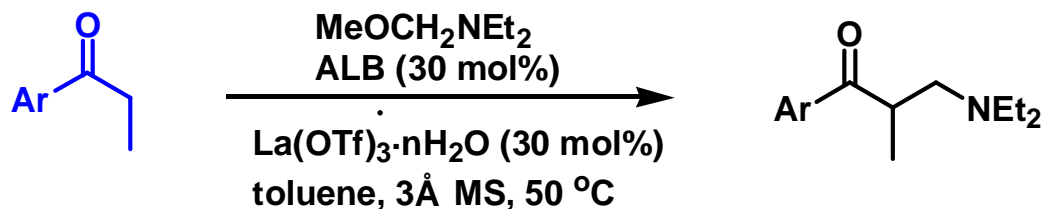
# Background Information

- Indirect-type Mannich Reaction
  
- Direct-type Mannich Reaction

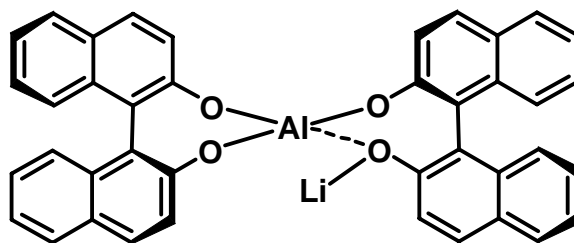
# Indirect-type Mannich Reaction



# Direct-type Mannich Reaction



5 examples  
61-76% yield  
34-44% ee



(*R*)-ALB = ALLibis(binaphthoxide)

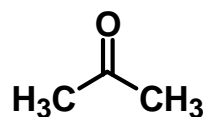
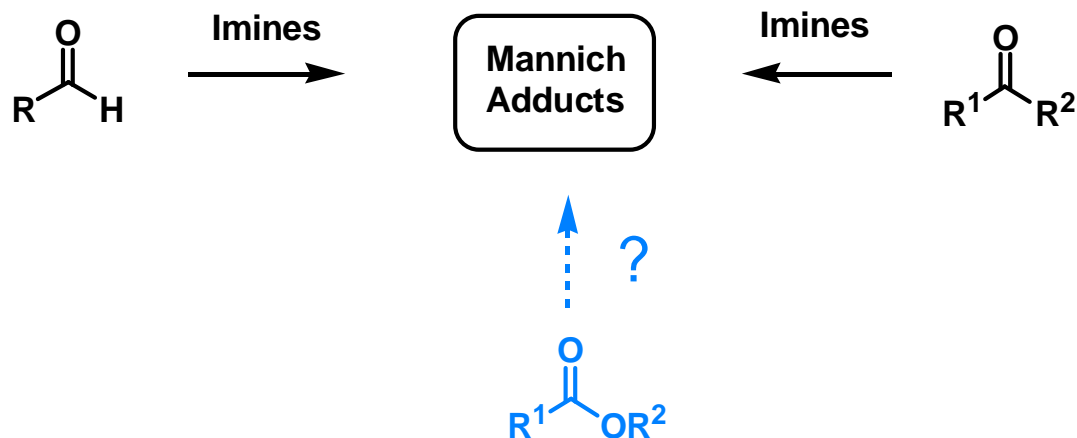
## The First Direct Catalytic Asymmetric Mannich Reaction

# General Difficulties

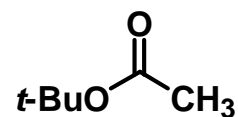
- Many Lewis acids are deactivated or sometimes decomposed by the nitrogen atoms of starting materials or products (trapped by the nitrogen atoms)
- Imine-chiral Lewis acid complexes are rather flexible and often have several stable conformers (including *E/Z*-isomers of imines). Multiple transition states would exist.



# Background Information



$\text{pK}_a$  20



$\text{pK}_a$  24.5

Marques, M. M. B. *Angew. Chem., Int. Ed.* **2006**, 45, 348.

Shibasaki, M.; Matsunaga, S. *J. Organomet. Chem.* **2006**, 691, 2089

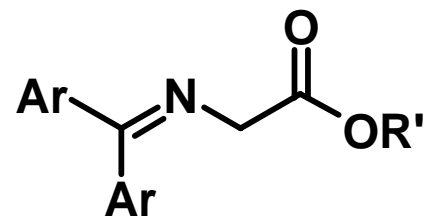
Córdova, A. *Acc. Chem. Res.* **2004**, 37, 102

# Outline

- Background Information
- **Esters or Ester-equivalents**
  - Glycine Schiff-bases
  - $\beta$ -Keto Esters or Malonates
  - Trichloromethylketones
  - *N*-acylpyrroles
  - *N*-Boc-anilides
  - Diazoacetates
- Conclusions

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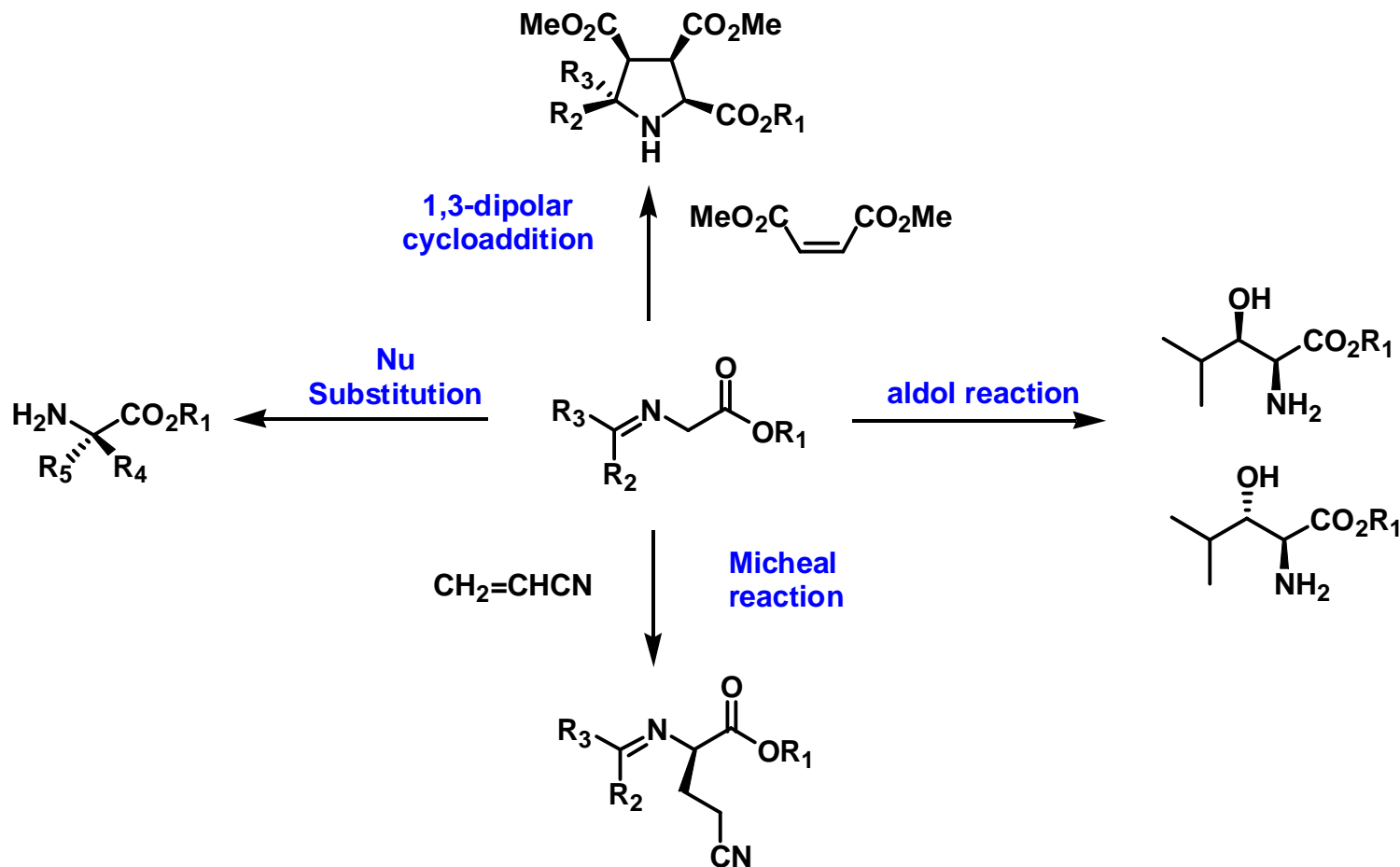
- Background Information
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  - **Glycine Schiff-bases**
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# Glycine Schiff-bases

- Cu(I) Catalyst
- Phase-Transfer Catalyst

# Glycine Schiff-bases



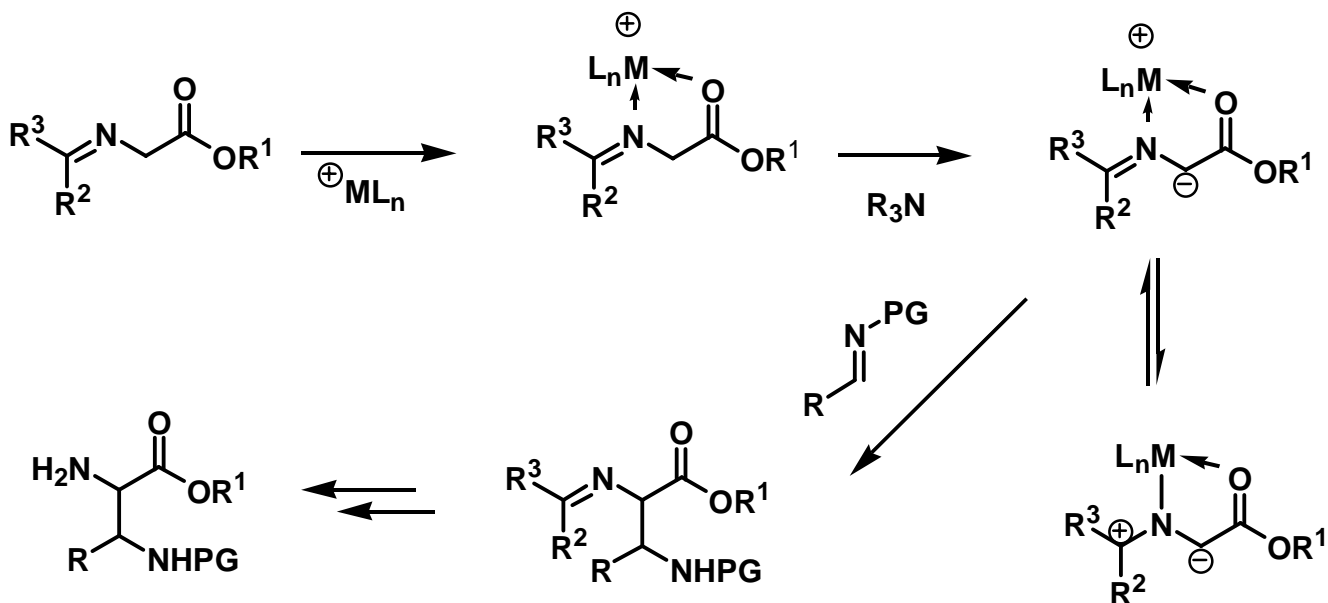
Longmire, J. M.; Wang, B.; Zhang, X. *J. Am. Chem. Soc.* **2002**, *124*, 13400.

Ooi, T.; Takeuchi, M.; Kameda, M.; Maruoka, K. *J. Am. Chem. Soc.* **2000**, *122*, 5228.

Zhang, F.-Y.; Corey, E. J. *Org. Lett.* **2000**, *2*, 1097.

Horikawa, M.; Busch-Petersen, J.; Corey, E. J. *Tetrahedron Lett.* **1999**, *40*, 3843.

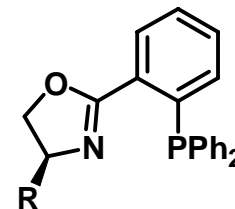
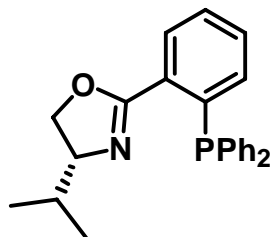
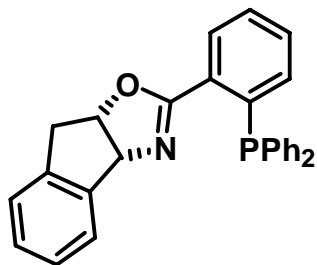
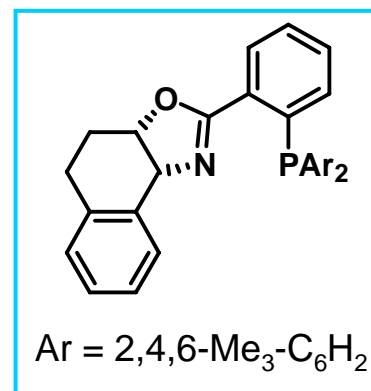
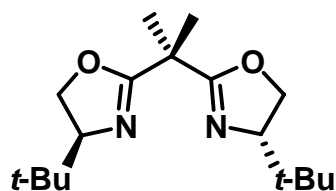
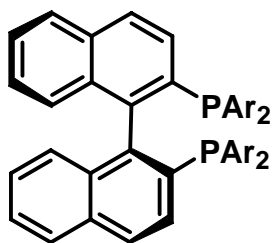
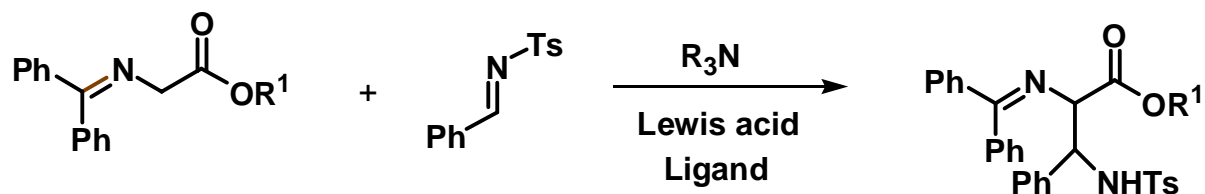
# Glycine Schiff-bases



- **Challenges**

- (1) “Force” the Lewis acid stabilized imino glycine alkyl ester to act as a nucleophile rather than a 1,3-dipolar species
- (2) Develop a chiral catalyst that can catalyze both a diastereo- and enantioselective addition of imino glycine alkyl ester to imines.

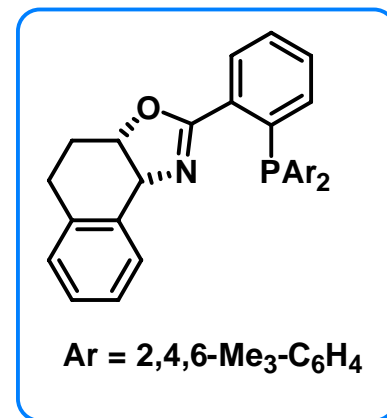
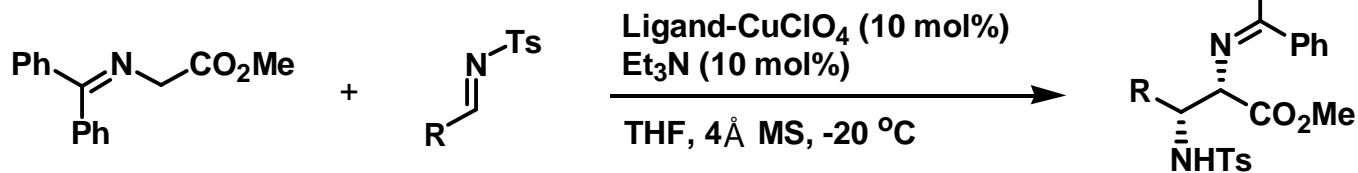
# Cu(I) Catalyst



## Optimal condition

Ligand - $\text{CuClO}_4$  (10 mol%),  $\text{Et}_3\text{N}$  (10 mol%),  $-20\text{ }^\circ\text{C}$ , THF,  $4\text{ \AA}$  MS

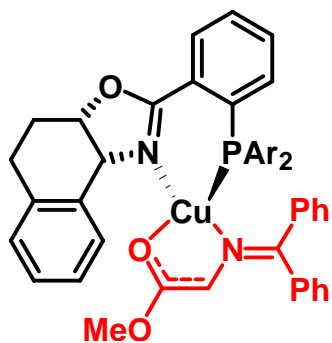
# Substrate Scope



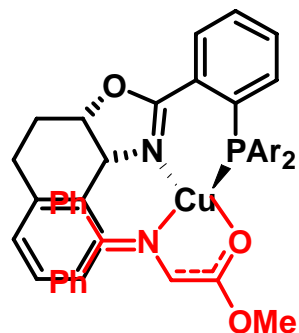
R	yield (%)	<i>syn/anti</i>	ee (%)
Ph	94	79:21	97
4-MeO-C <sub>6</sub> H <sub>4</sub>	90	82:18	97
2-Br-C <sub>6</sub> H <sub>4</sub>	99	61:39	96
2-furyl	88	54:46	90
<i>i</i> Pr	73	>95:5	96
Cy	85	>95:5	92
<i>n</i> Bu	61	>95:5	88



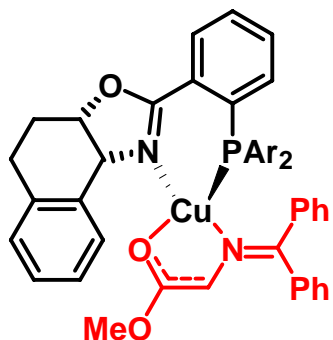
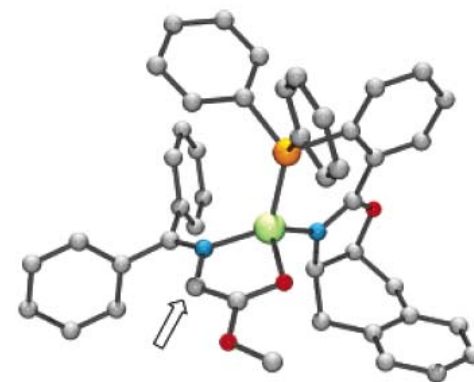
# Coordination Modes



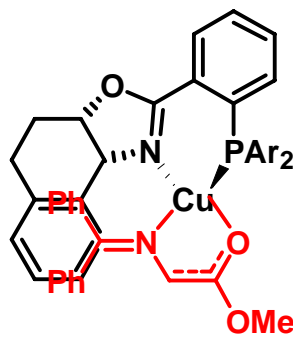
Ar = Ph  
 $\Delta H_f^\ominus = -11.1 \text{ kcal/mol}$



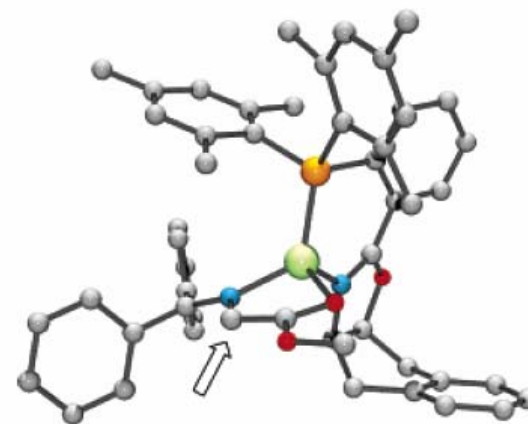
Ar = Ph  
 $\Delta H_f^\ominus = -10.9 \text{ kcal/mol}$   
 14% ee



Ar = 2,4,6-Me<sub>3</sub>-C<sub>6</sub>H<sub>2</sub>  
 $\Delta H_f^\ominus = -39.2 \text{ kcal/mol}$   
 97% ee



Ar = 2,4,6-Me<sub>3</sub>-C<sub>6</sub>H<sub>2</sub>  
 $\Delta H_f^\ominus = -26.8 \text{ kcal/mol}$

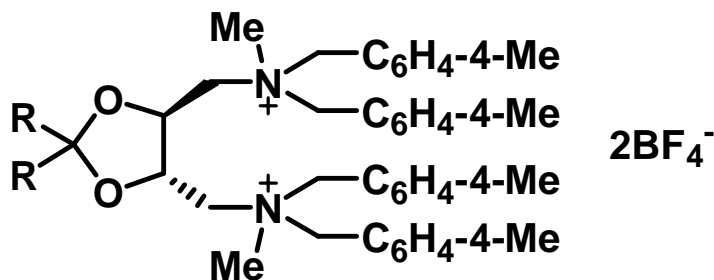


Semiempirical PM3 calculation

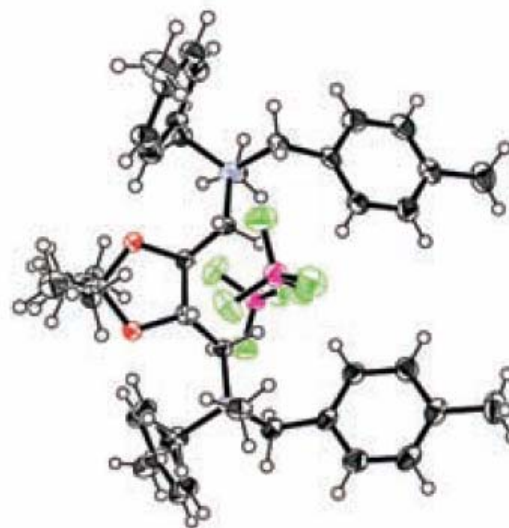
Bernardi, L.; Gothelf, A. S.; Hazell, R. G.; Jørgensen, K. A. *J. Org. Chem.* **2003**, *68*, 2583.



# Phase-Transfer Catalyst

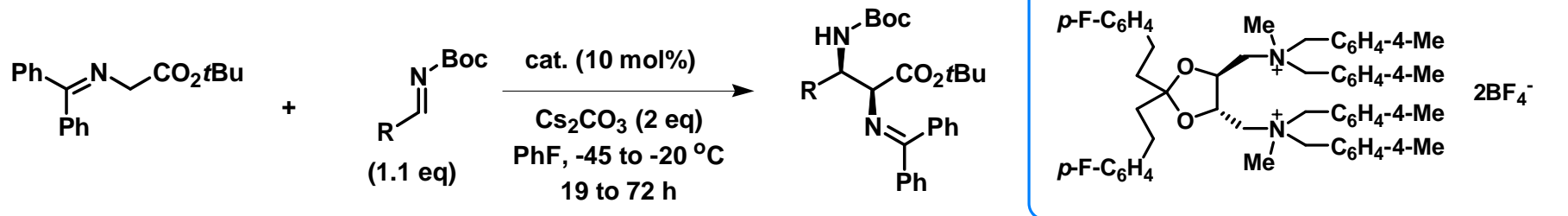


tartrate-derived diammonium salt  
(TaDiAS)



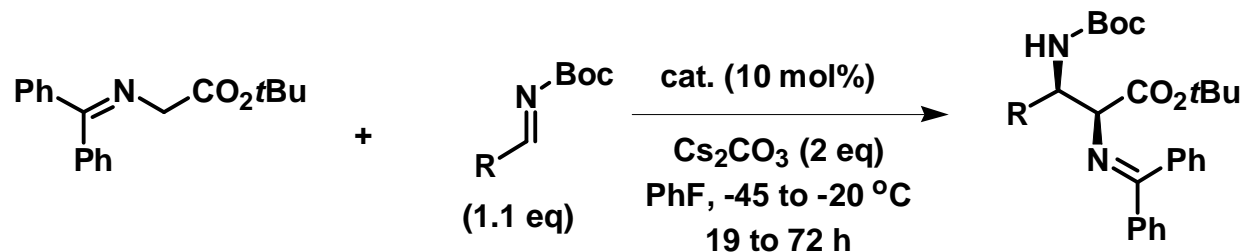
Crystal Structure (R = nPr)

# Substrate Scope



R	yield (%)	dr ( <i>syn/anti</i> )	ee (%)
Ph	98	99:1	70
4-MeO-C <sub>6</sub> H <sub>4</sub>	95	95:5	82
4-Me-C <sub>6</sub> H <sub>4</sub>	98	98:2	80
2-Me-C <sub>6</sub> H <sub>4</sub>	99	97:3	68
4-Cl-C <sub>6</sub> H <sub>4</sub>	87	98:2	58
2-thiophenyl	98	98:2	80
( <i>E</i> )-PhCH=CH <sub>2</sub>	86	98:2	66

# Kinetic Study



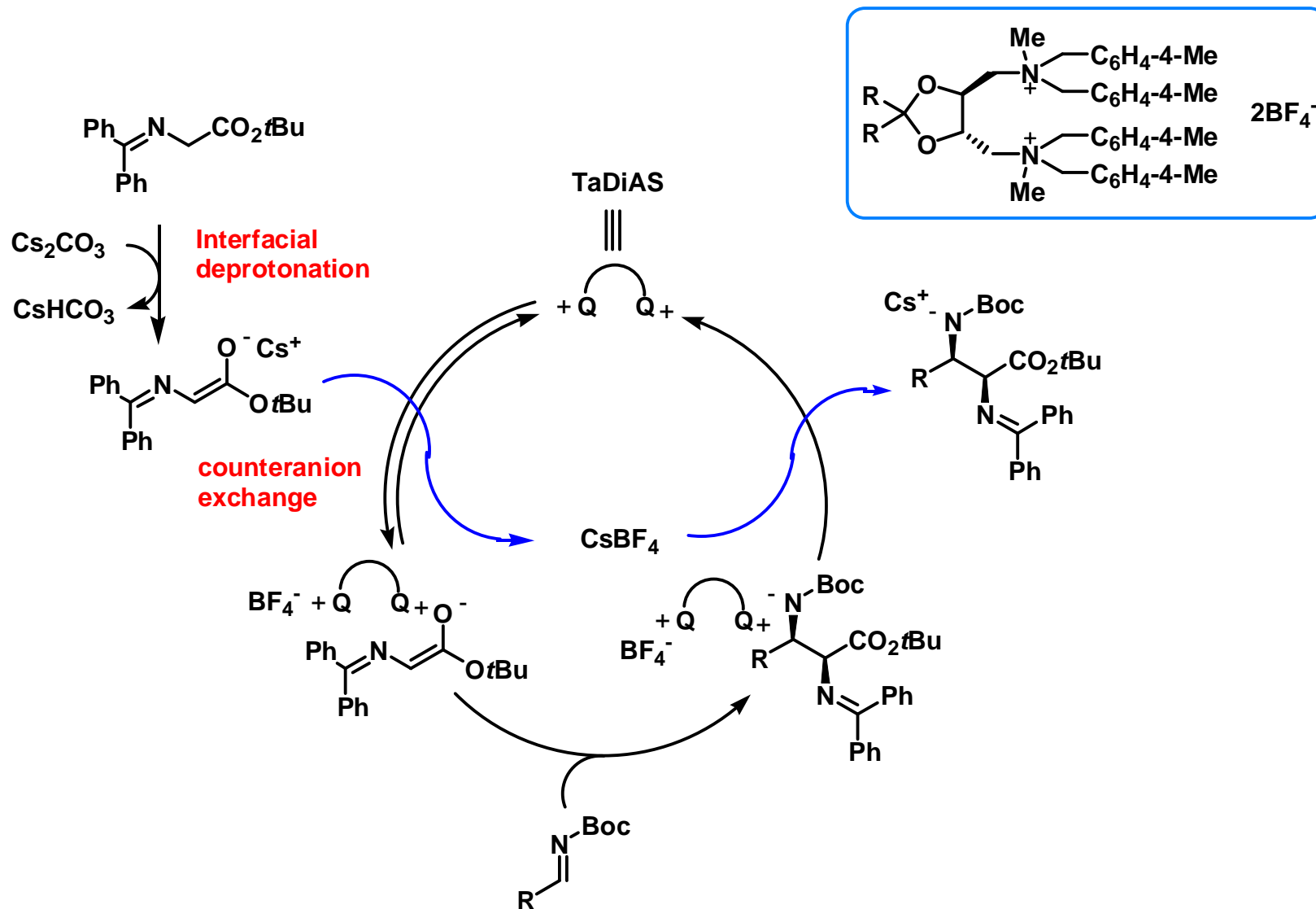
- **Initial rate kinetic studies:**

- 1) First-order dependency for the glycine Schiff base and  $\text{Cs}_2\text{CO}_3$
- 2) Zero-order dependency for the imine and the catalyst

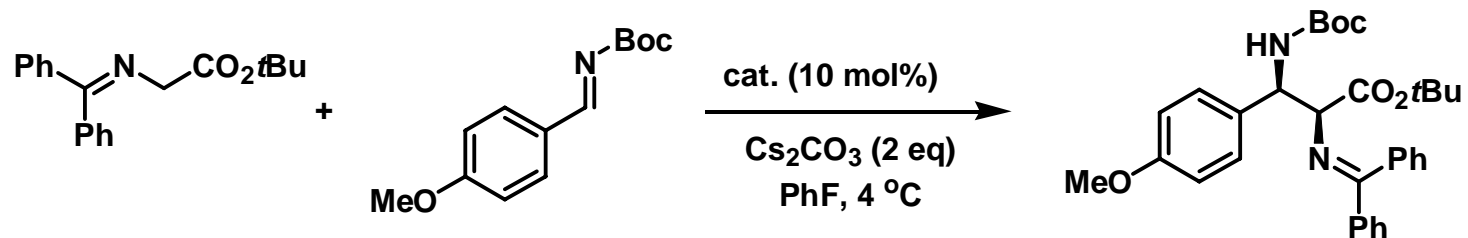
- **Conclusions:**

- 1) The rate-determining step is deprotonation of the glycine Schiff base by  $\text{Cs}_2\text{CO}_3$
- 2) The catalyst is not involved in this step

# Catalytic Cycle

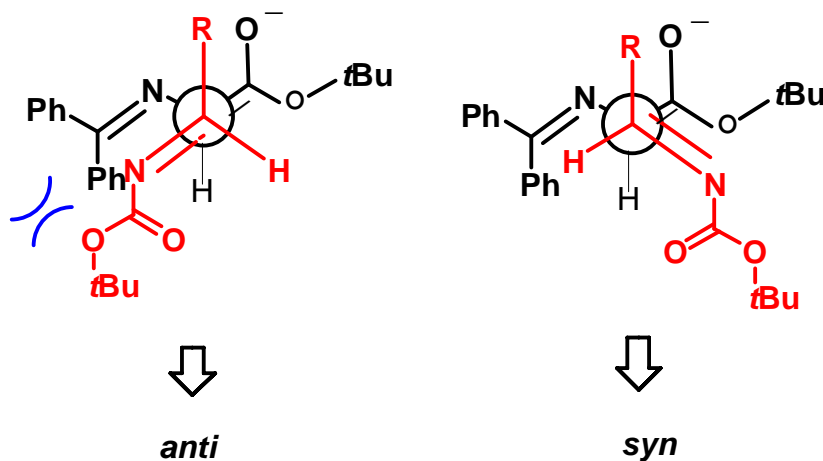


# Control of Diastereoselectivity



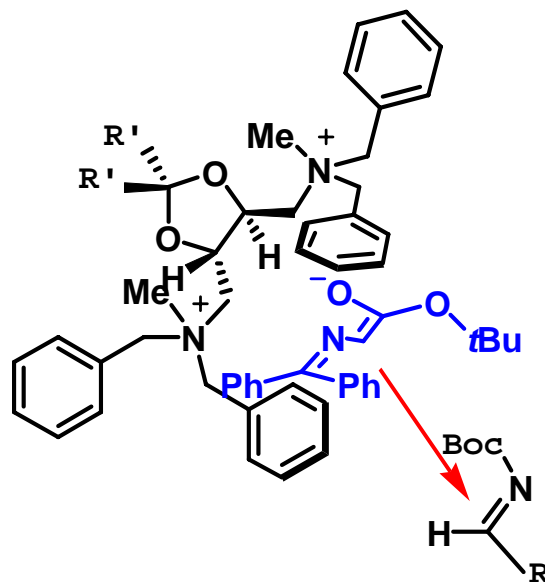
1h, 77% ee, d.r. = 95:5  
without cat. , 24h, d.r. = 94:6

The reaction may proceed via the nonchelate, acyclic transition-state model



# Control of Enantioselectivity

- The benzyl moieties around one ammonium cation covers the *Si* face of the *Z* enolate of the glycine Schiff base
- The electrophiles approach from the less-hindered face (*Re* face) to afford the products with *S* configuration

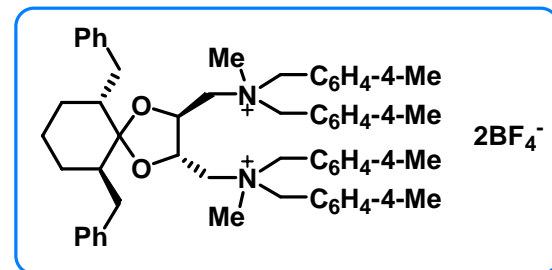
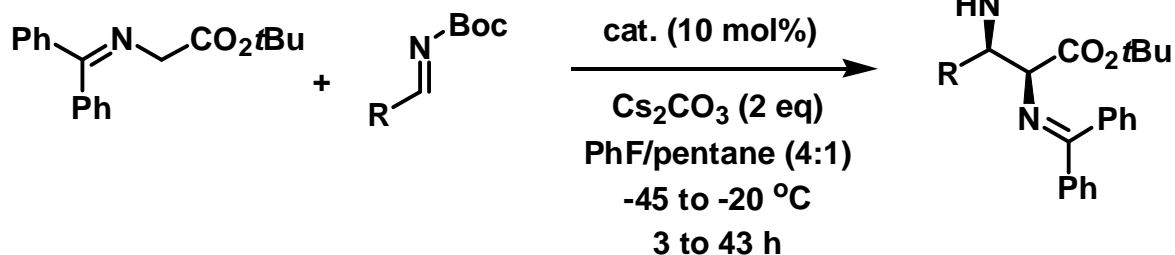


Okada, A.; Shibuguchi, T.; Ohshima, T.; Masu, H.; Yamaguchi, K.; Shibasaki, M. *Angew. Chem. Int. Ed.* **2005**, *44*, 4564.

Shibuguchi, T.; Mihara, H.; Kuramochi, A.; Ohshima, T.; Shibasaki, M. *Chem. Asian J.* **2007**, *2*, 794



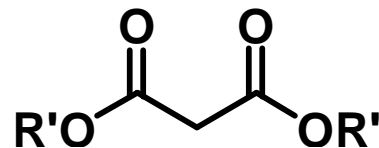
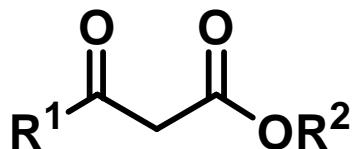
# Improvement



R	yield (%)	dr ( <i>syn/anti</i> )	ee (%)
Ph	66	99:1	79
4-MeO-C <sub>6</sub> H <sub>4</sub>	96	99:1	90
4-Me-C <sub>6</sub> H <sub>4</sub>	92	99:1	88
4-Cl-C <sub>6</sub> H <sub>4</sub>	88	98:2	70
2-thiophenyl	89	98:2	83
<i>n</i> Pr	95	>20:1	71
(E)-PhCH=CH	89	>20:1	75

# Outline

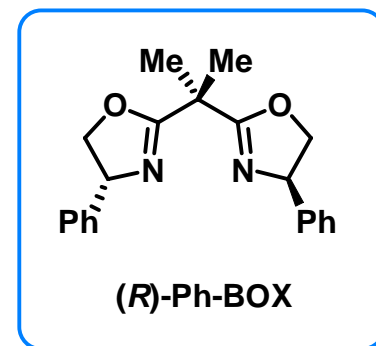
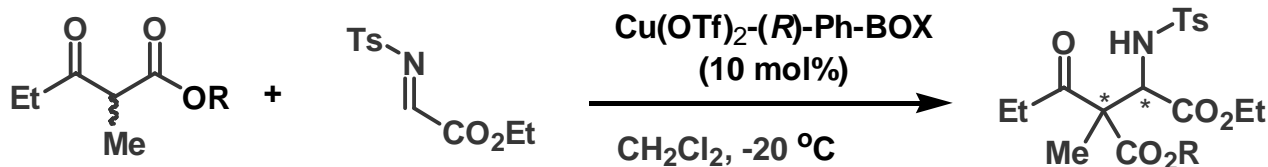
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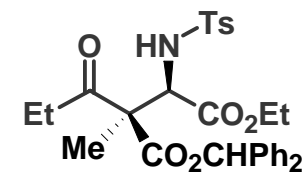
# $\beta$ -Keto Esters or Malonates

- Cu(II) Catalysts
- Pd Catalysts
- Cinchona Alkaloid Catalysts

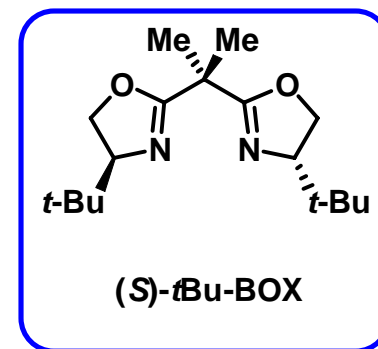
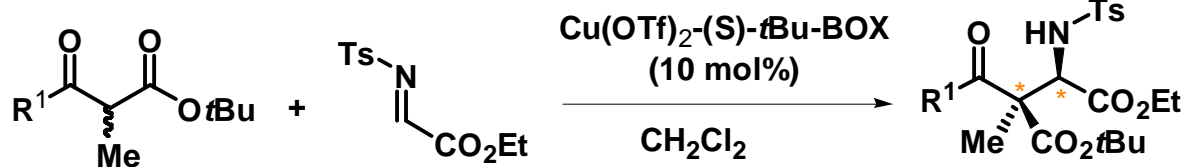
# Cu(II) Catalysts



R	yield (%)	dr	ee (%)
	76	84:16	23
	75	93:7	51
	81	>95:5	53
	43	>95:5	-66
	33	>95:5	-88

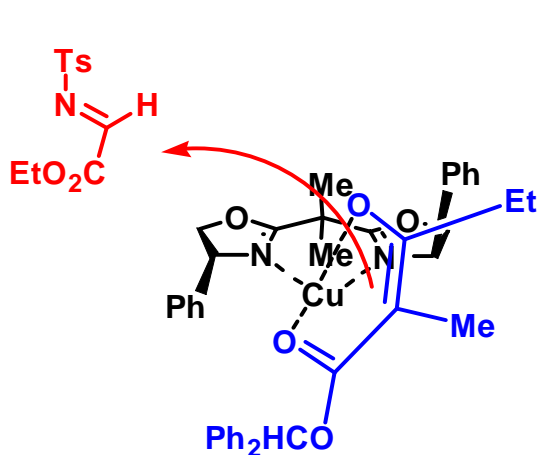
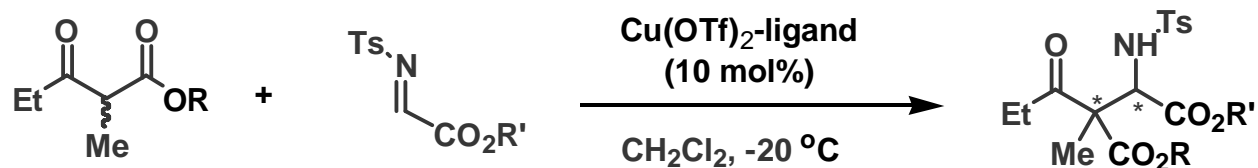


# Cu(II) Catalysts

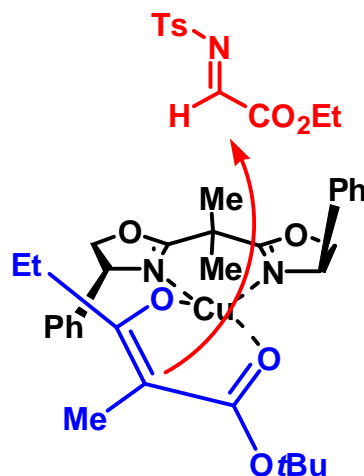


R <sup>1</sup>	T (°C)	t (h)	yield (%)	dr	ee (%)
Me	-20	40	55	97:3	95
<i>i</i> Pr	-20	40	15	84:16	92
Me	RT	16	87	93:7	88
<i>i</i> Pr	RT	16	55	84:16	91

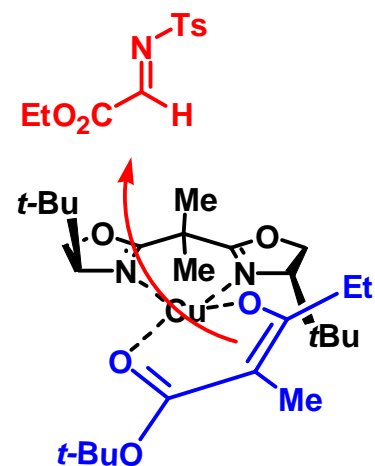
# Transition States



81% yield, 53% ee  
dr = >95:5

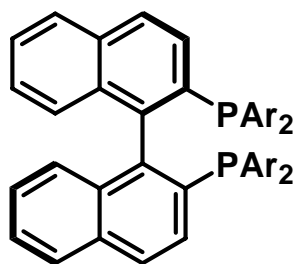
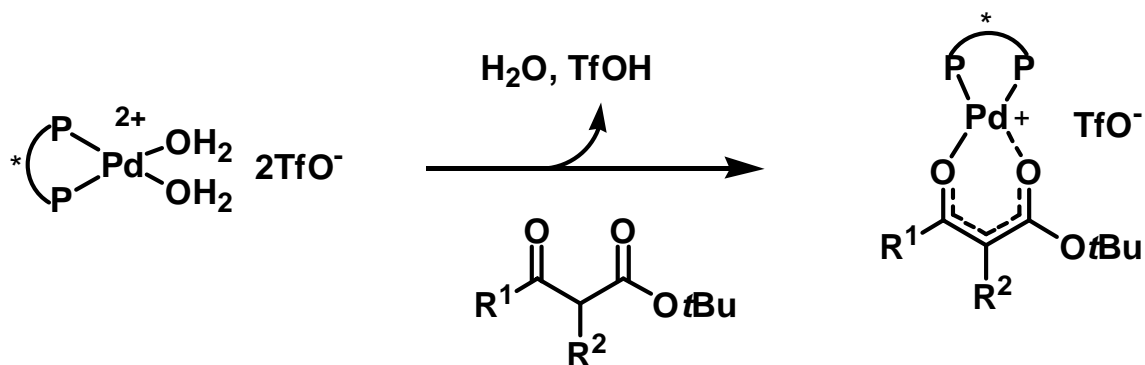


33% yield, -68% ee  
dr = >95:5

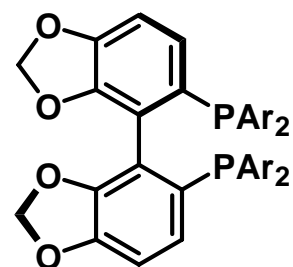


80% yield, 92% ee  
dr = 98:2

# Pd Catalysts

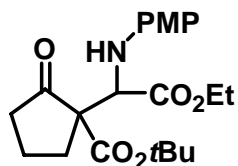
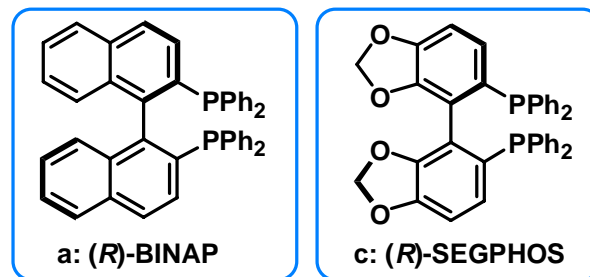
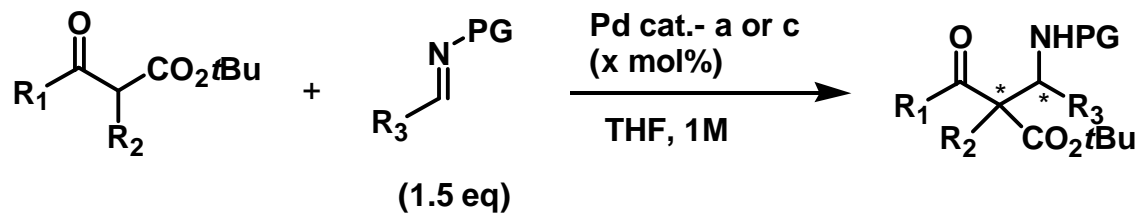


a: Ar = C<sub>6</sub>H<sub>5</sub>: (*R*)-BINAP  
b: Ar = 4-Me-C<sub>6</sub>H<sub>4</sub>: (*R*)-TOL-BINAP

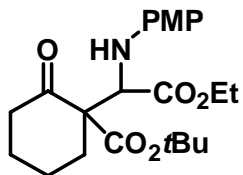


c: Ar = C<sub>6</sub>H<sub>5</sub>: (*R*)-SEGPHOS  
d: Ar = 3,5-Me<sub>2</sub>-C<sub>6</sub>H<sub>3</sub>: (*R*)-DM-SEGPHOS

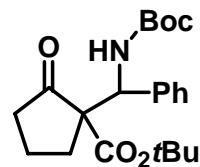
# Pd Catalysts



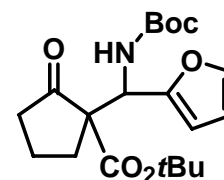
c (5 mol%), 35 h  
63%, dr = 77:23, 99% ee



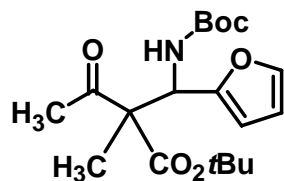
a (5 mol%), 42 h  
70%, dr = 74:26, 86% ee



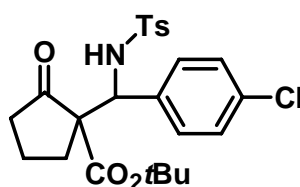
c (2.5 mol%), 5 h  
93%, dr = 88:12, 99% ee



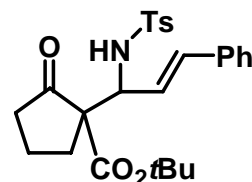
a (2.5 mol%), 2 h  
75%, dr >95:5, 86% ee



a (2.5 mol%), 3 h  
71%, dr = 82:18, 96% ee



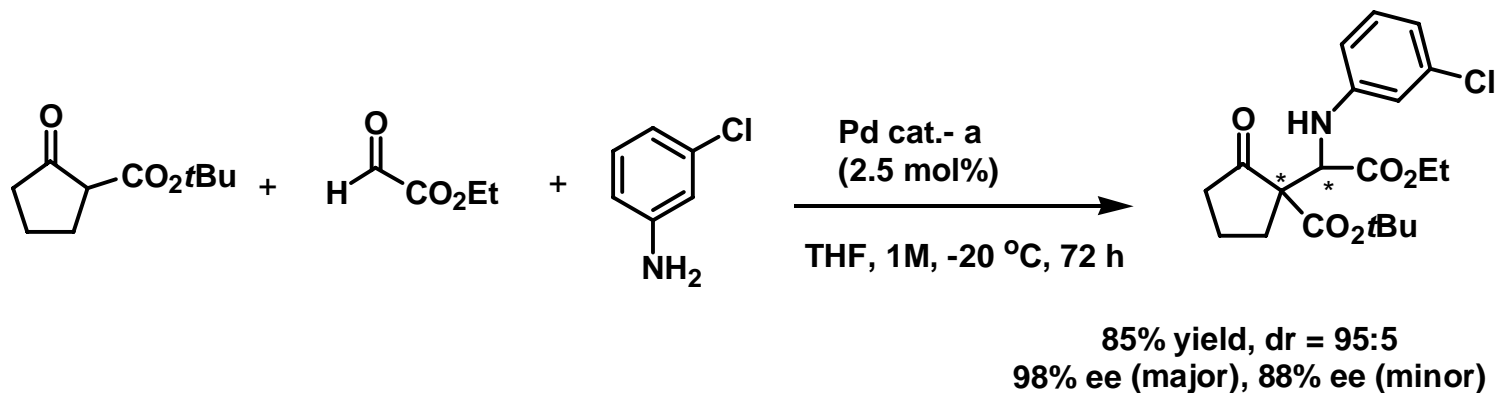
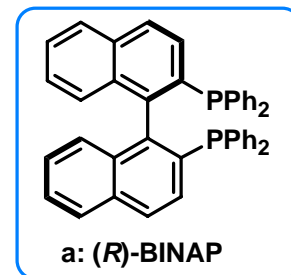
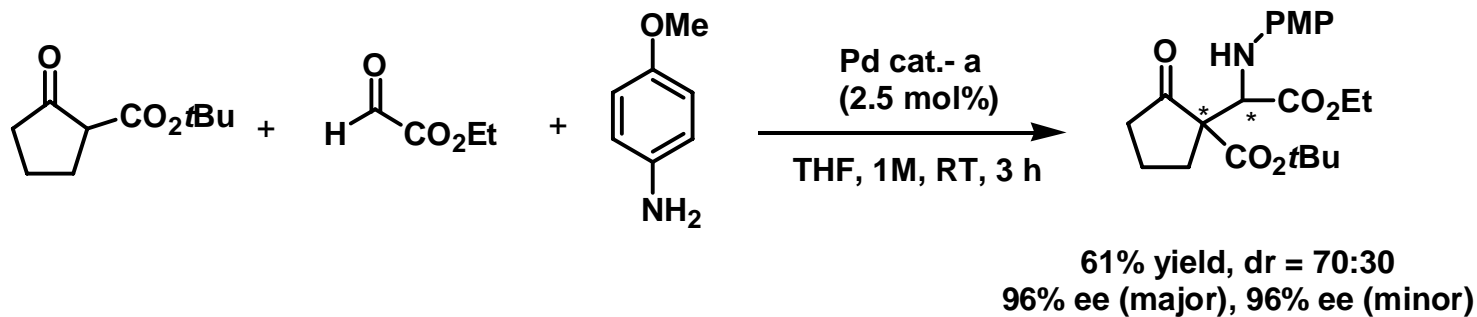
c (5 mol%), 9 h  
99%, dr = 85:15, 97% ee



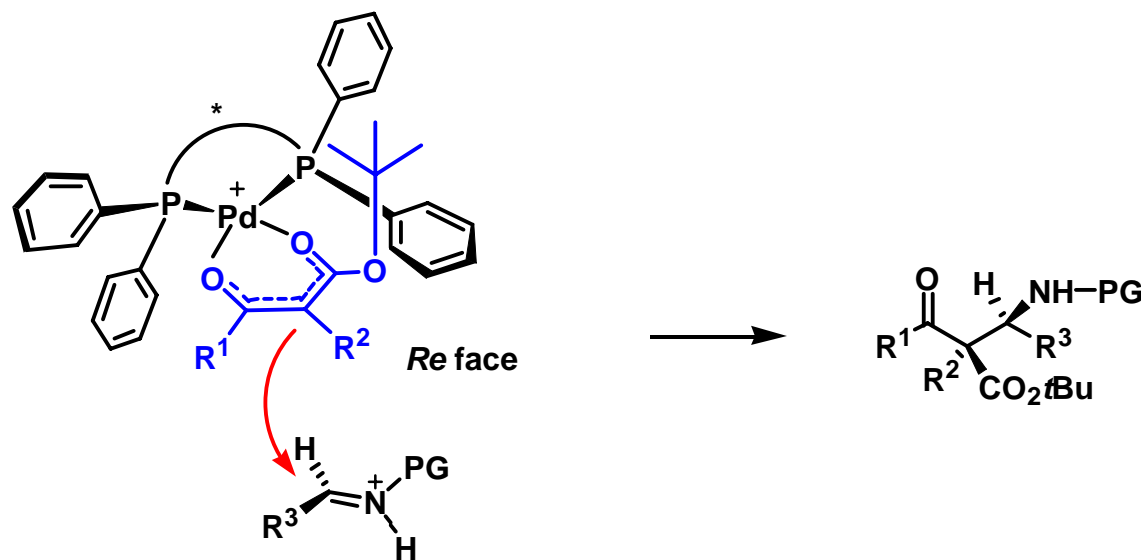
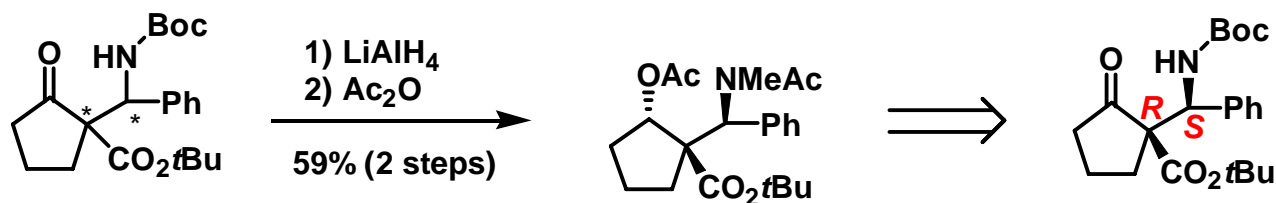
c (1 mol%), 24 h  
88%, dr = 90:10, 99% ee



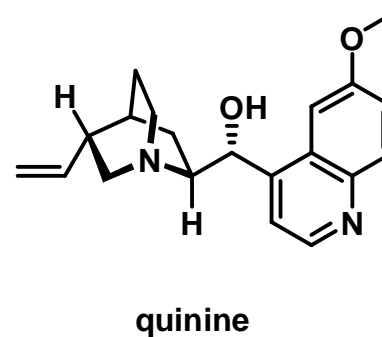
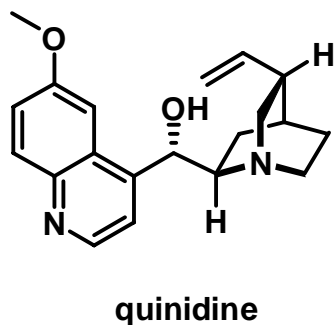
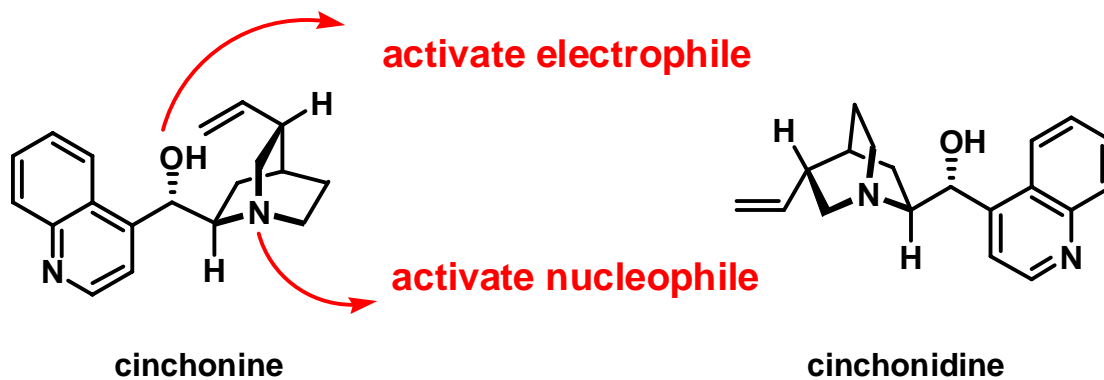
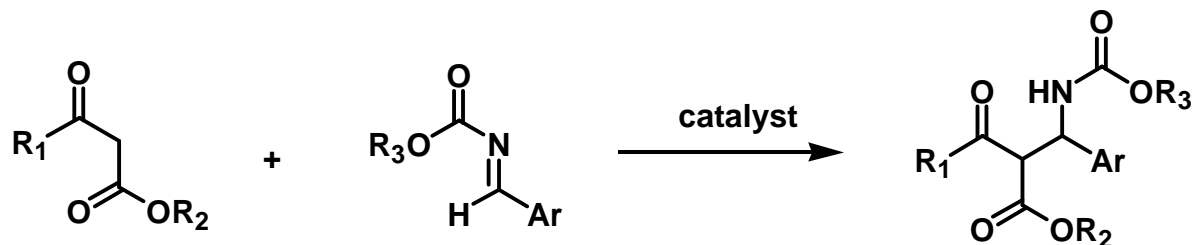
# One-Pot Reactions



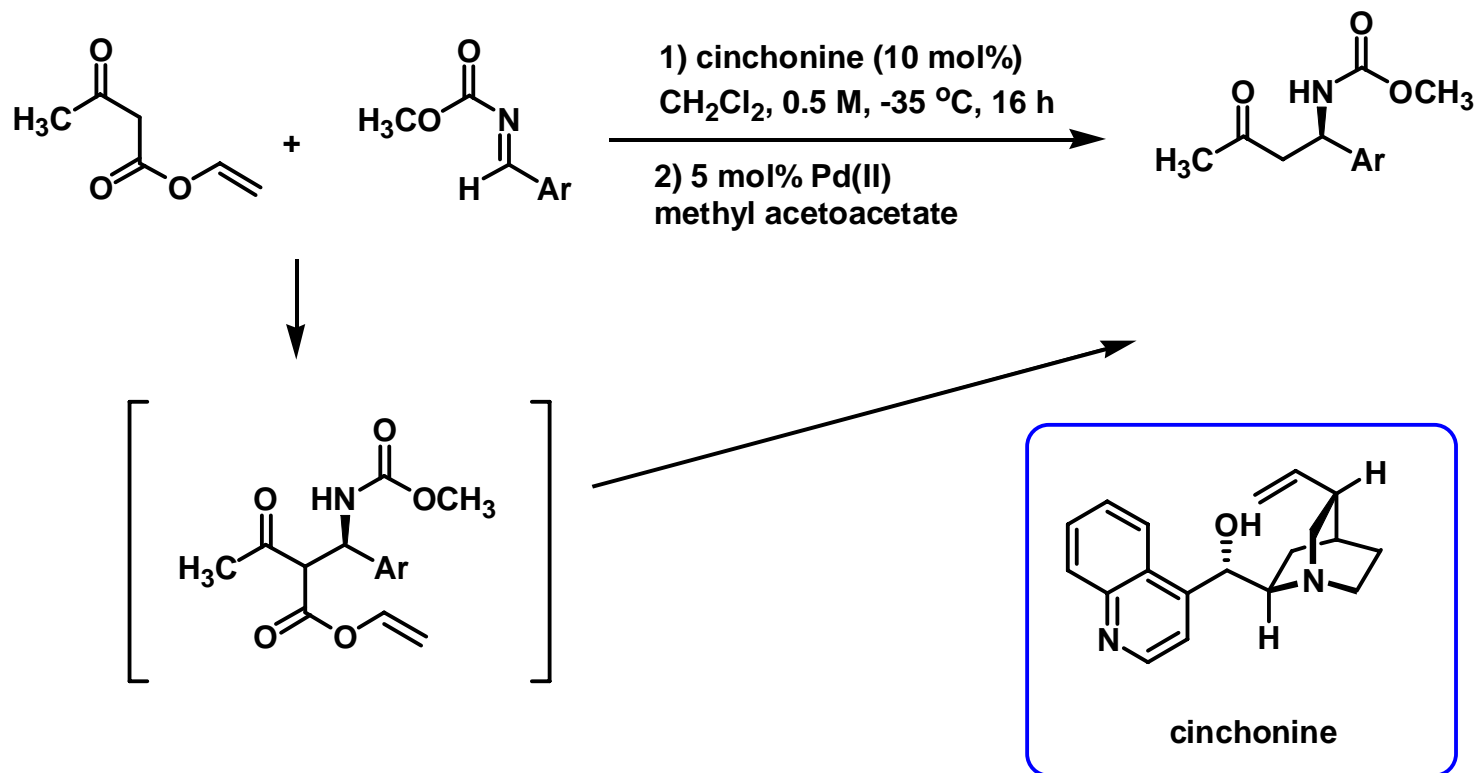
# Transition-State Model



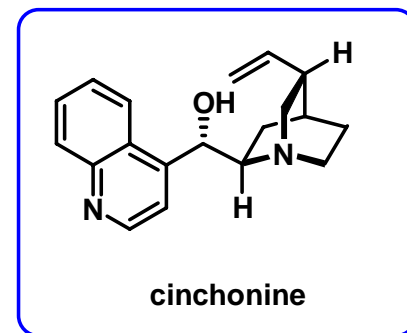
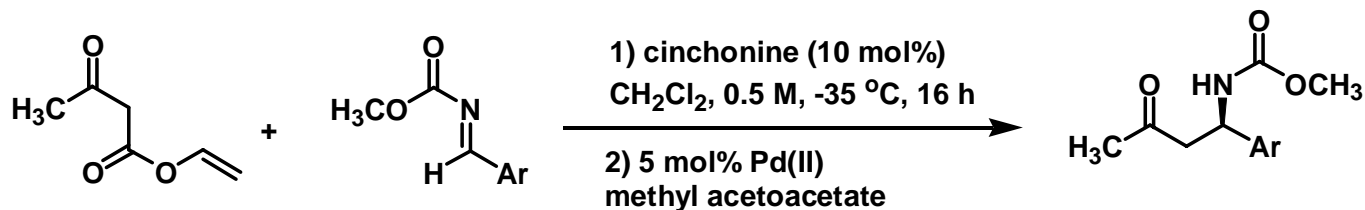
# Cinchona Alkaloid Catalysts



# Cinchona Alkaloid Catalysts

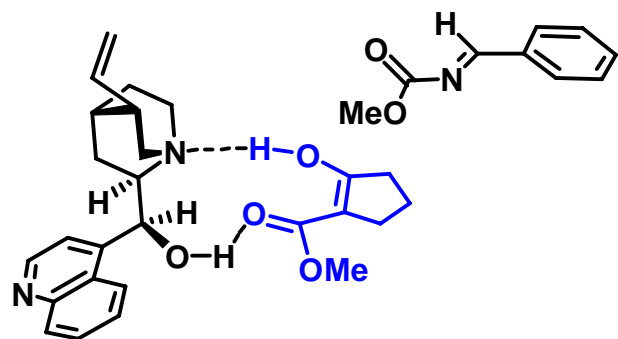
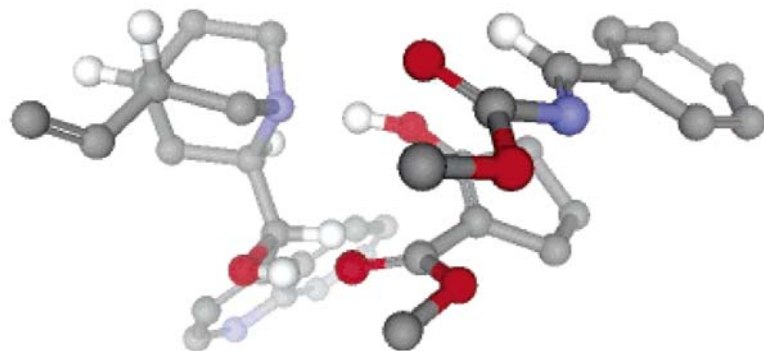
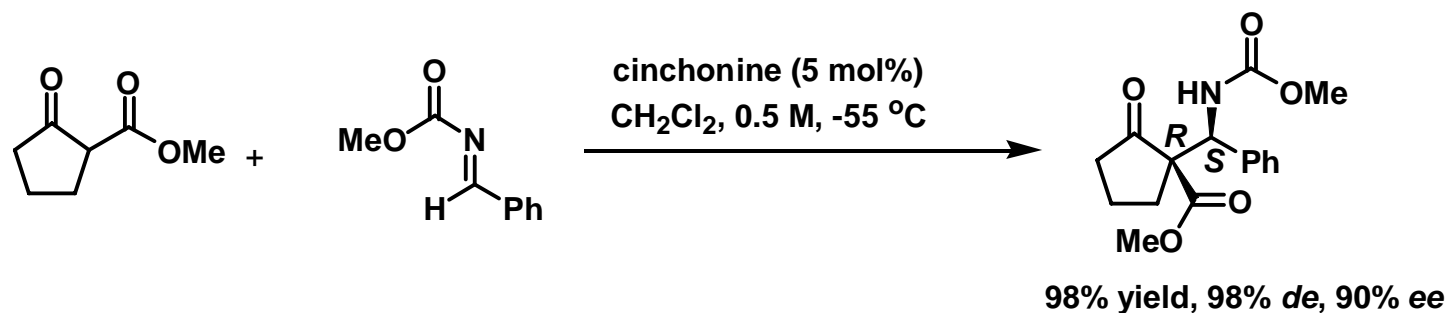


# Substrate Scope



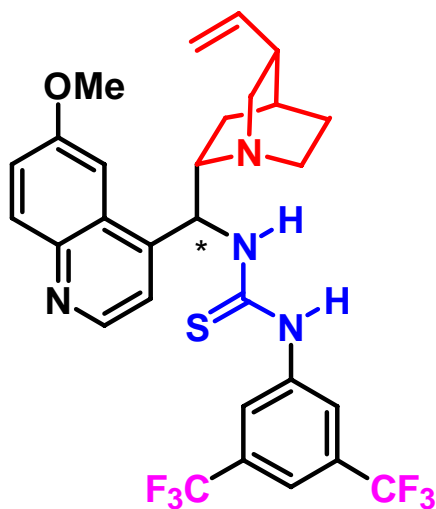
Ar	yield (%)	ee (%)
Ph	79	92
4-F-C <sub>6</sub> H <sub>4</sub>	95	93
3-CH <sub>3</sub> -C <sub>6</sub> H <sub>4</sub>	78	96
3,4-(OCH <sub>2</sub> O)C <sub>6</sub> H <sub>3</sub>	77	80
2-furyl	78	93
2-thienyl	69	92
2-naphthyl	80	95

# Transition-State Model



Cinchonine/methyl 2-oxocyclopentanecarboxylate enol tautomer complex (MMFF) approaching the *Re* face of methyl benzylidene carbamate

# Cinchona Alkaloid Derivatives



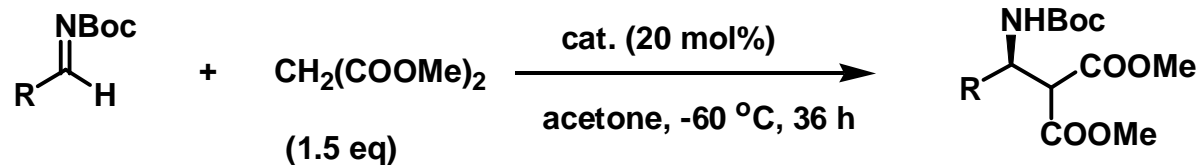
## Thiourea moiety

- activate electrophiles
- two coplanar protons for H-bond donation
- rigid

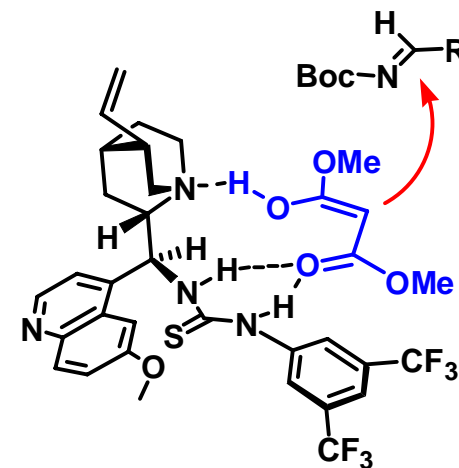
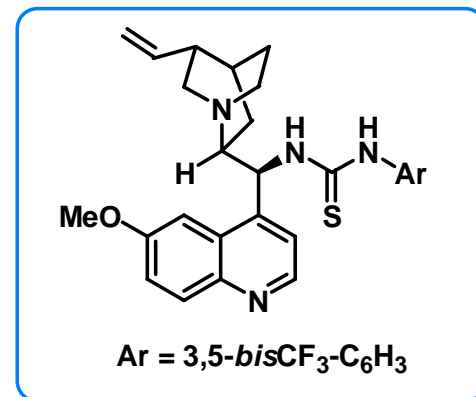
## Thiourea N-aryl group

- relatively unhindered
- substitution variable
- CF<sub>3</sub> groups serve as non Lewis basic EWG

# Cinchona Alkaloid Derivatives



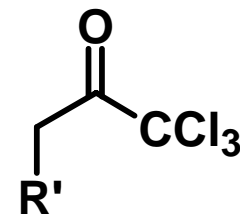
R	yield (%)	ee (%)
2-Me-C <sub>6</sub> H <sub>4</sub>	98	99
4-Me-C <sub>6</sub> H <sub>4</sub>	92	97
4-Cl-C <sub>6</sub> H <sub>4</sub>	98	99
4-MeO-C <sub>6</sub> H <sub>4</sub>	98	97
2-furyl	99	97
2-thienyl	95	97
Et	63	89
nBu	64	92





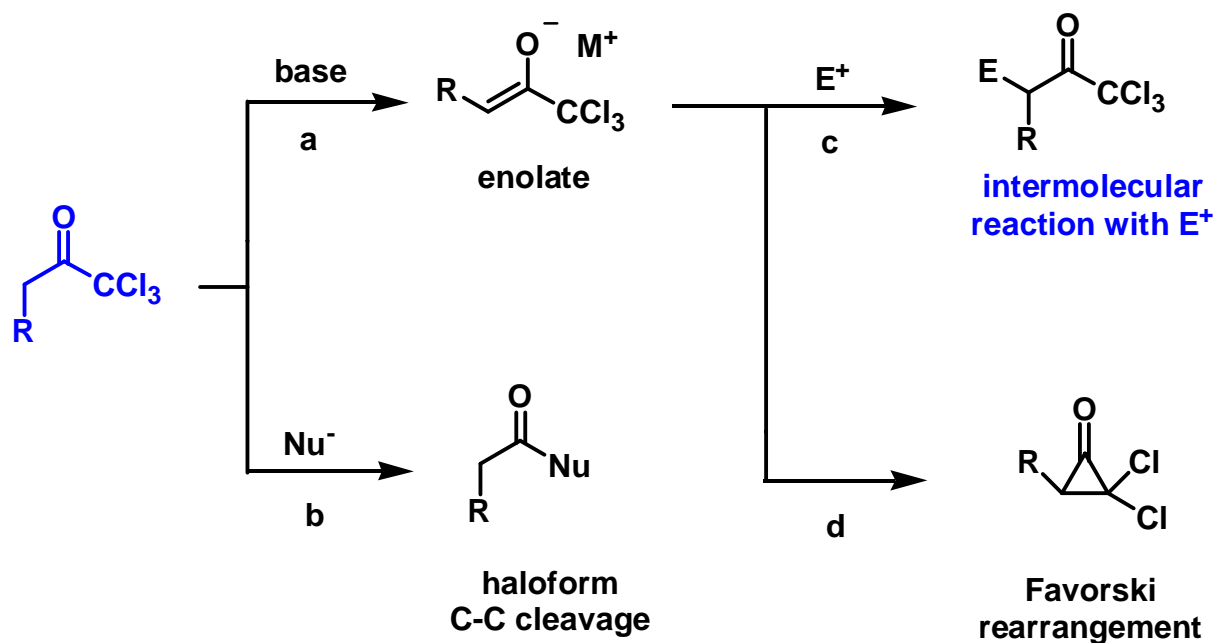
# Outline

- Background Information
- Esters or Ester-equivalents
  - Glycine Schiff-bases
  - $\beta$ -Keto Esters or Malonates
  - **Trichloromethylketones**
  - *N*-acylpyrroles
  - *N*-Boc-anilides
  - Diazoacetates
- Conclusions

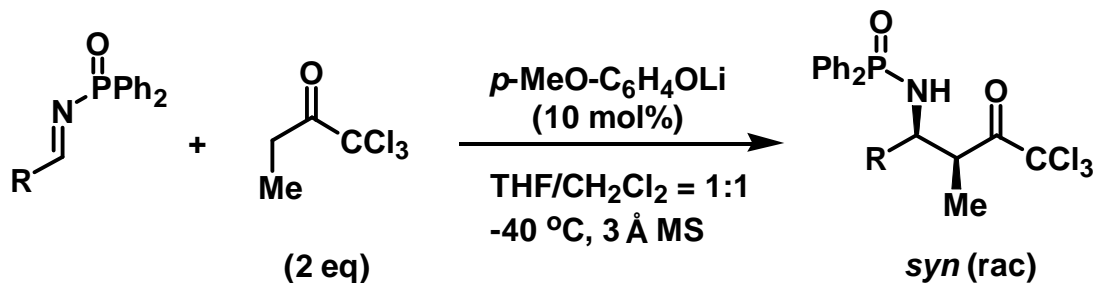


# Trichloromethylketones

- $-\text{CCl}_3$  is a good leaving group
- Strong inductive effect of  $-\text{CCl}_3$

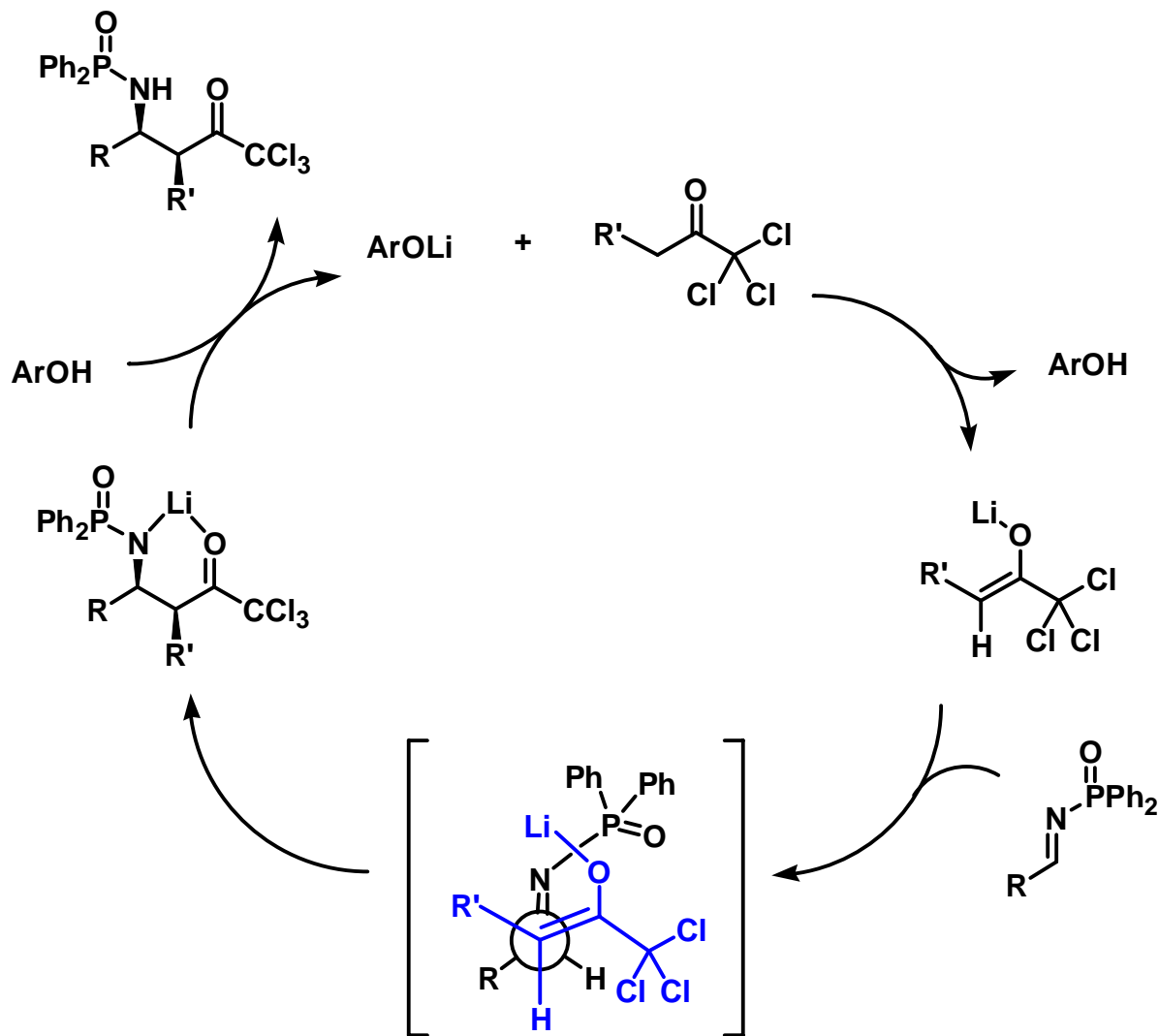


# Substrate Scope

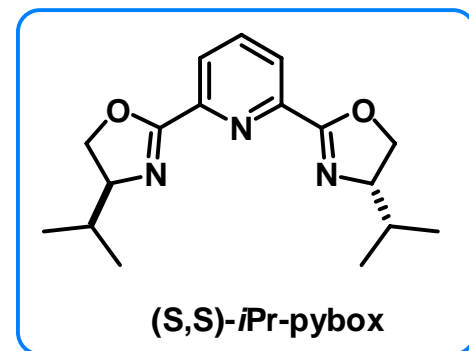
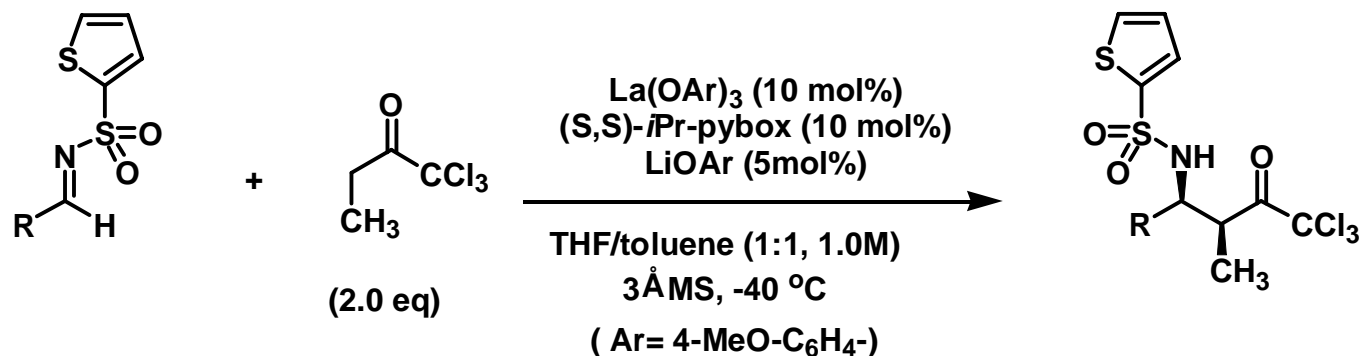


R	t (h)	yield (%)	<i>syn/anti</i>
Ph	3	96	>20:1
4-Cl-C <sub>6</sub> H <sub>4</sub>	4	93	>20:1
4-MeO-C <sub>6</sub> H <sub>4</sub>	5	68	>20:1
2-furyl	1	88	6:1
2-thienyl	2	89	8:1
( <i>E</i> )-PhCH=CH	1	81	7:1
Cy	2	78	>20:1
<i>i</i> Pr	3	71	>20:1
<i>n</i> Bu	3	73	>20:1

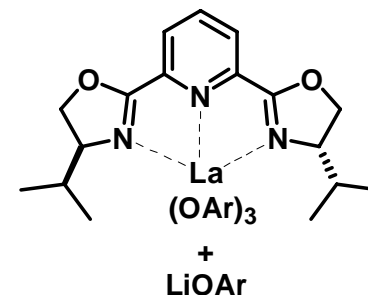
# Catalytic Cycle



# Asymmetric Variant

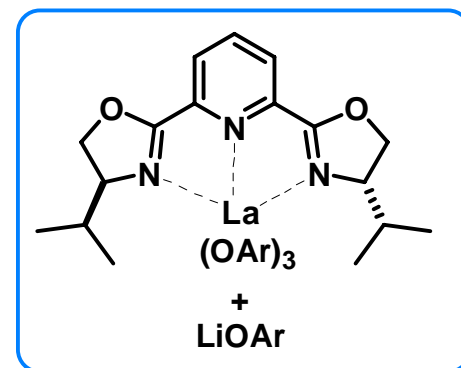


R	t (h)	yield (%)	dr ( <i>syn/anti</i> )	ee (%)
Ph	9	96	21:1	96
4-Cl-C <sub>6</sub> H <sub>4</sub>	20	97	20:1	96
4-MeO-C <sub>6</sub> H <sub>4</sub>	21	96	22:1	95
2-furyl	4	98	8:1	96
2-thienyl	19	98	20:1	95
( <i>E</i> )-PhCH=CH	19	75	21:1	96
Cy	22	85	>30:1	96
<i>i</i> Bu	25	72	30:1	98



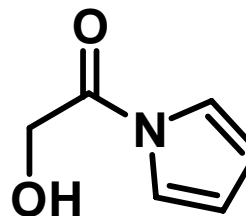
# Mechanism Study

- La-OAr moiety functions as a Brønsted base to form La-enolate
- Preliminary kinetic studies on the concentration of trichloromethyl ketone suggested that the enolate formation is the RDS in the absence of LiOAr.
- Two possibilities for the role of LiOAr:
  - (a) Complexation with  $\text{La}(\text{OAr})_3/\text{pybox}$  to form more basic ate complex
  - (b) LiOAr deprotonates trichloromethyl ketone to form Li-enolate, followed by rapid transmetalation to generate La-enolate.

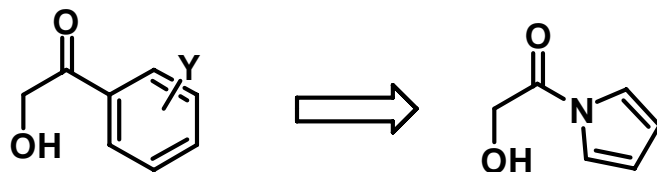


# Outline

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  - Glycine Schiff-bases
  - $\beta$ -Keto Esters or Malonates
  - Trichloromethylketones
  - ***N*-acylpyrroles**
  - *N*-Boc-anilides
  - Diazoacetates
- Conclusions



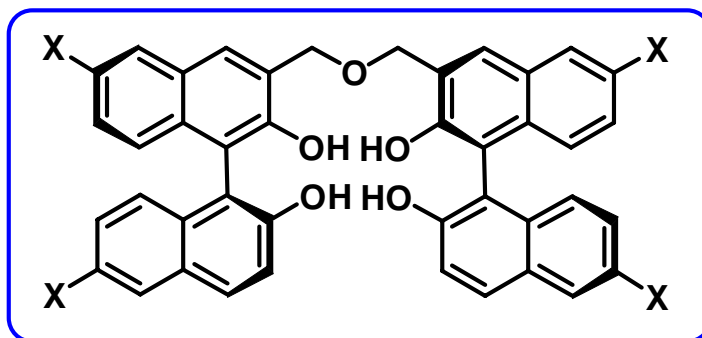
# N-acylpyrroles



aromatic  
ketone

**N-acylpyrrole**

aromaticity of pyrrole  
same coordination mode as ketone  
activated carboxylic acid derivative



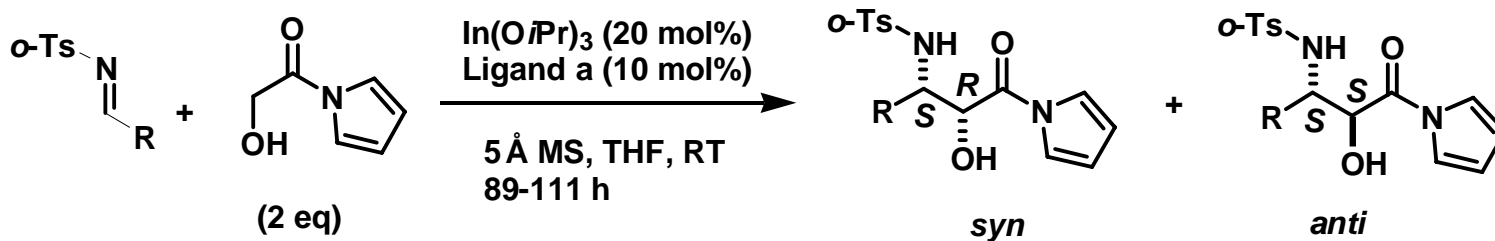
+ In(O*i*Pr)<sub>3</sub>

**a:** X= H: (S, S)-linked-binol

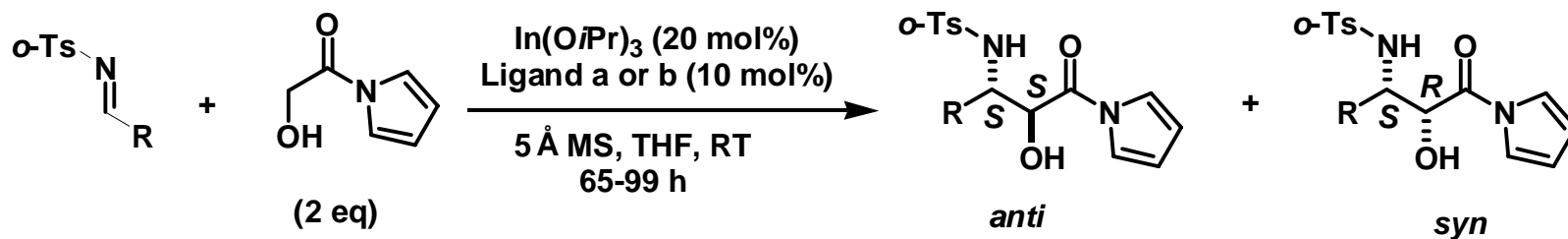
**b:** X= TMS: (S, S)-6,6',6'',6'''-TMS-linked-binol



# Substrate Scope

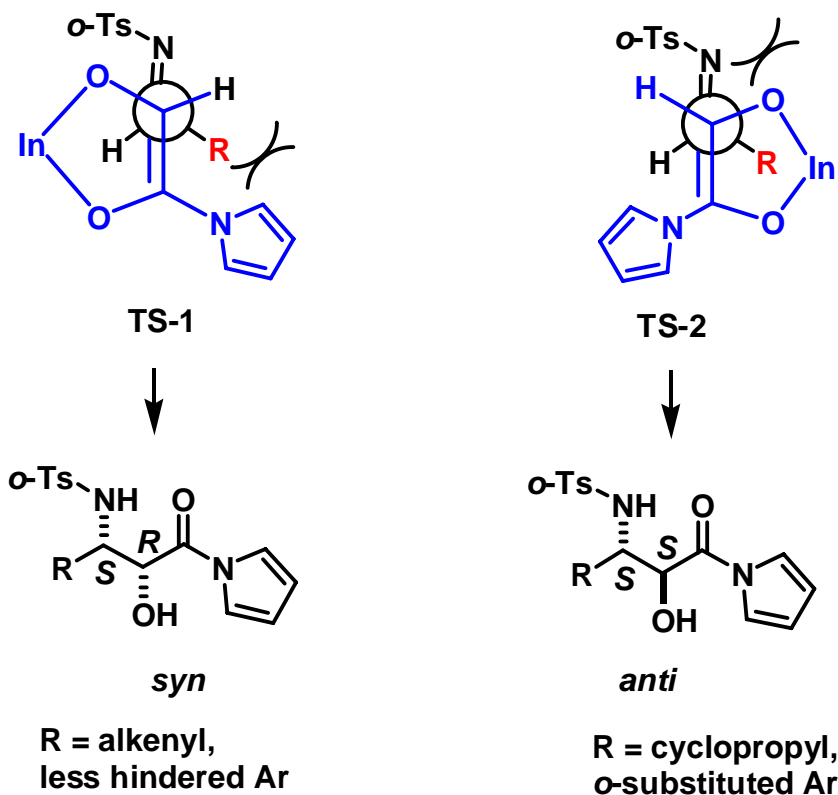


R	yield (%)	dr ( <i>syn/anti</i> )	ee (%) ( <i>syn, anti</i> )
( <i>E</i> )-PhCH=CH	94	91:9	96, 83
Ph	98	61:39	91, 81
4-Cl-C <sub>6</sub> H <sub>4</sub>	97	59:41	96, 94



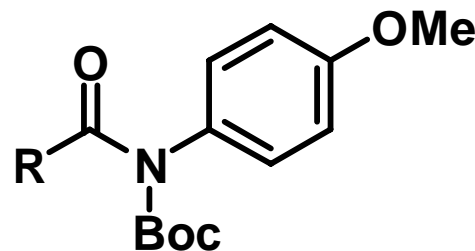
R	yield (%)	dr ( <i>anti/syn</i> )	ee (%) ( <i>anti, syn</i> )
2-naphthyl	87	77:23	94, 89
2-MeO-C <sub>6</sub> H <sub>4</sub>	74	77:23	92, 86
Cyclopropyl	86	75:25	98, 90

# Transition-State Model

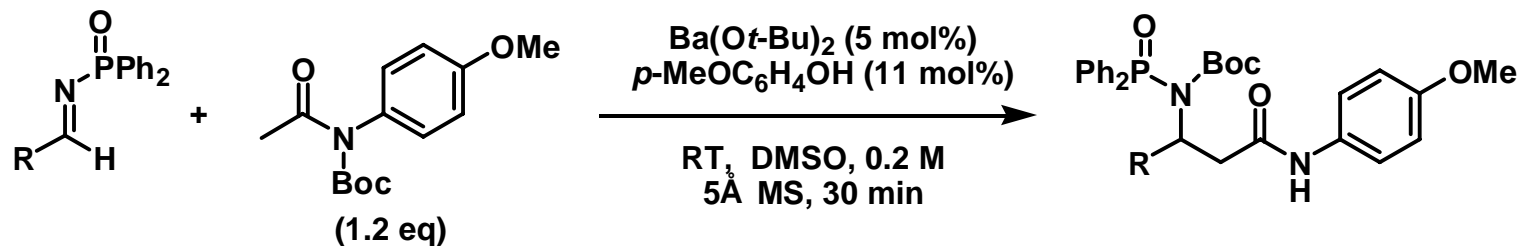


# Outline

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  - Trichloromethylketones
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  - ***N*-Boc-anilides**
  - Diazoacetates
- Conclusions

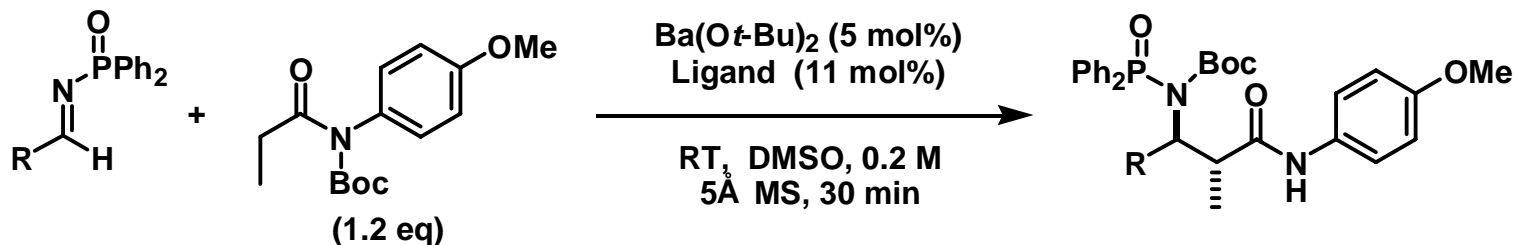


# N-Boc-anilides

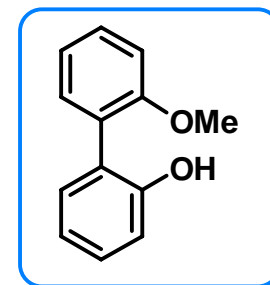


R	yield (%)
Ph	91
4-MeO-C <sub>6</sub> H <sub>4</sub>	63
4-Cl-C <sub>6</sub> H <sub>4</sub>	81
1-naphthyl	95
2-furyl	78
2-thienyl	83
( <i>E</i> )-PhCH=CH	76

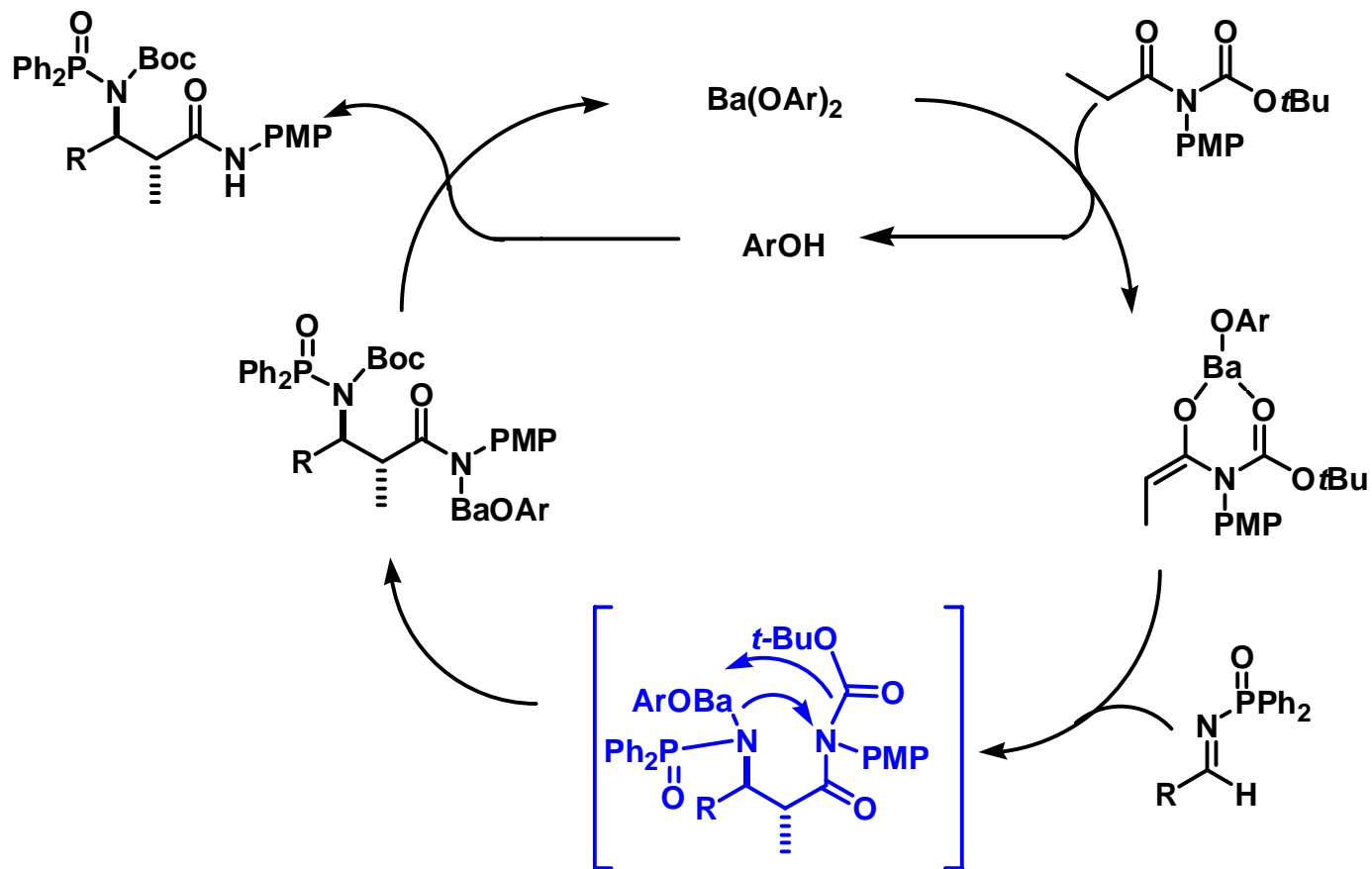
# N-Boc-anilides



R	yield (%)	syn/anti
Ph	86	14:86
1-naphthyl	76	8:92
2-furyl	81	9:91
2-thienyl	81	15:85

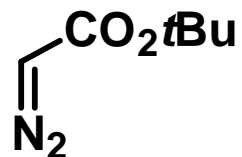


# Catalytic Cycle



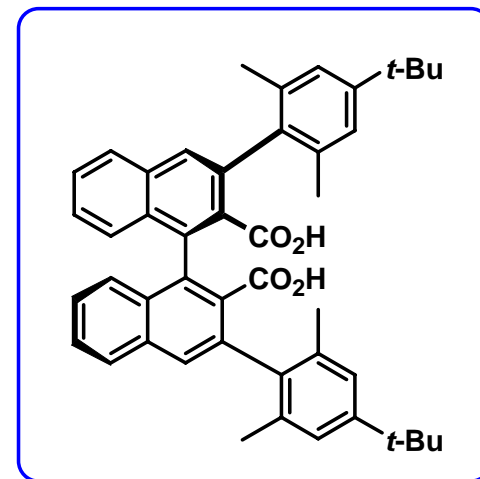
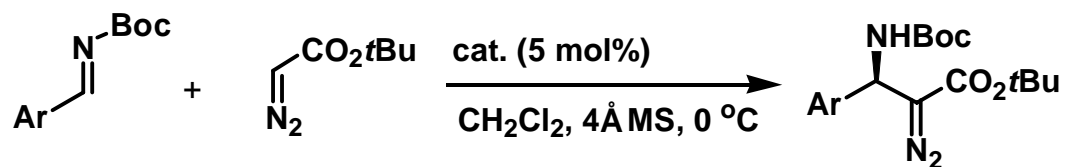
# Outline

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  - *N*-Boc-anilides
  - **Diazoacetates**



- Conclusions

# Tert-Butyl Diazoacetate



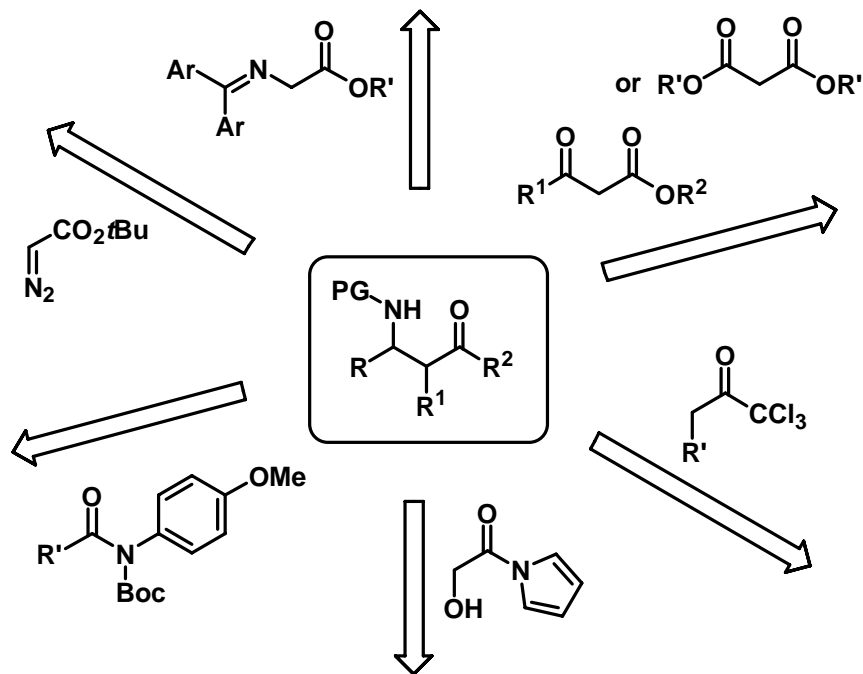
Ar	t (h)	yield (%)	ee (%)
Ph	24	80	95
2-Me-C <sub>6</sub> H <sub>4</sub>	72	53	90
4-Me-C <sub>6</sub> H <sub>4</sub>	18	79	95
4-Cl-C <sub>6</sub> H <sub>4</sub>	26	89	96
4-MeO-C <sub>6</sub> H <sub>4</sub>	20	72	95
2-naphthyl	17	77	94
2-furyl	5	84	85



# Outline

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  - Glycine Schiff-bases
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  - *N*-acylpyrroles
  - *N*-Boc-anilides
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# Conclusions



- Future challenges
  - 1) Expansion on esters or ester-equivalents
  - 2) Development of catalytic versions of the racemic reactions
  - 3) Improvement on the unsatisfactory reactions (new ligands, new metal sources, *etc.*)
  - 4) One-pot cascades reactions

# Acknowledgement

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Nilanjana, Dima, Victor, Alex, Kostas

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