

# Direct $\alpha$ -Arylation of Ketones

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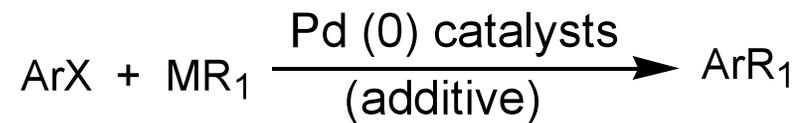
## Outline

- Introduction
  - Mechanistic Study
  - Factors Influencing the Arylation of Ketone
    - ◆ Bases
    - ◆ Ligands
    - ◆ Arylation Reagents
  - Arylation Reactions of Other Stabilized Carbanions
  - Applications
    - ◆ Intramolecular Arylation Reaction
    - ◆ Asymmetric Arylation Reaction
  - Prospects
  - Conclusion
-

## Outline

- Introduction
    - ◆ Coupling Reactions
    - ◆ What is direct  $\alpha$ -arylation of ketones
    - ◆ Previous methods of ketone arylation
    - ◆ Discovery of catalytic ketone arylation reaction
  - Mechanism Study
  - Factors Influencing the arylation of ketone
  - Arylation Reactions of Other Stabilized Carbanions
  - Applications
  - Prospects
  - Conclusion
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## Cross Coupling Reactions



X= Br, I, OTf, Cl

M=

Mg Kumada

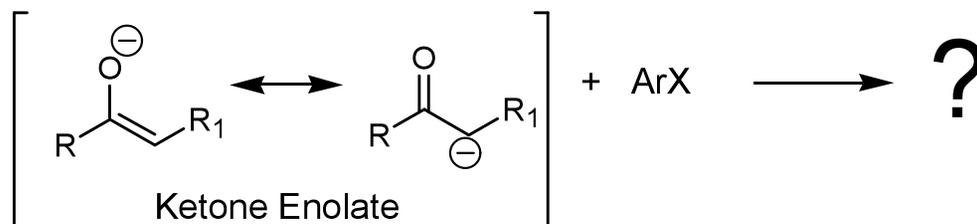
Sn Stille

B Suzuki

Si Hiyama

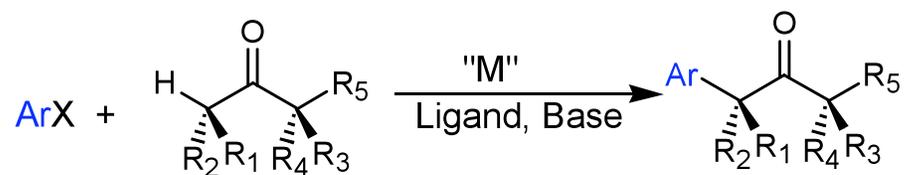
Zn Negishi

etc.



## What is Direct $\alpha$ -Arylation of Ketone?

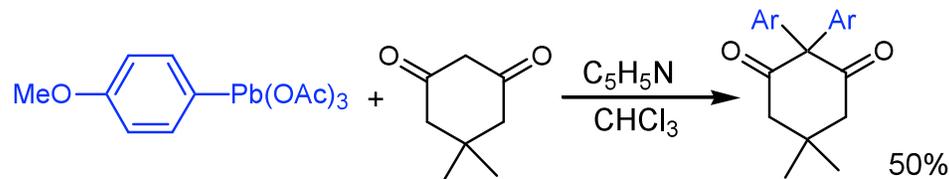
'Direct Cross Coupling of aryl halides with ketones catalyzed by transition metals'.



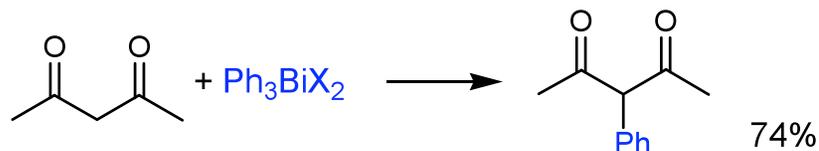
M = Metals

## Drawbacks of Previous Methods of Direct $\alpha$ -Arylation of Ketones

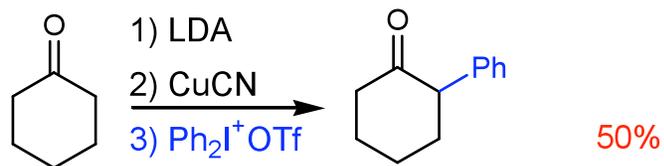
- Use of toxic main-group reagents



- Only one aryl group was transferred



- Low product yields

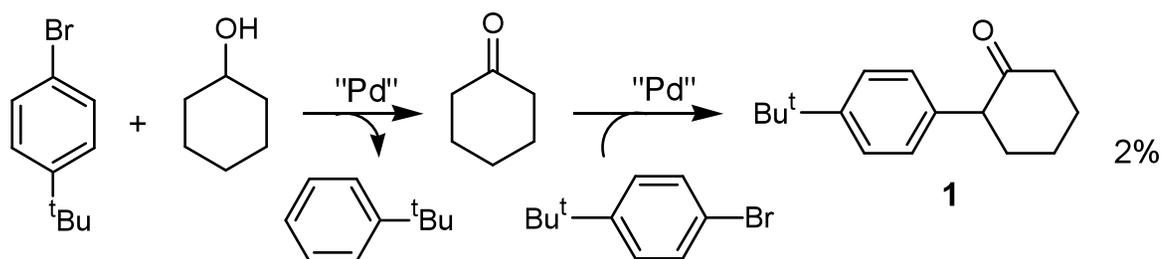


- Multiple-step preparation of stoichiometric arylation reagents

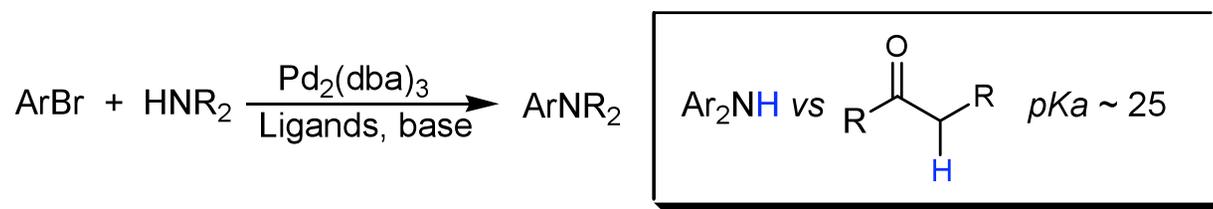
- Catalytic methods to form  $\alpha$ -aryl ketones are less common

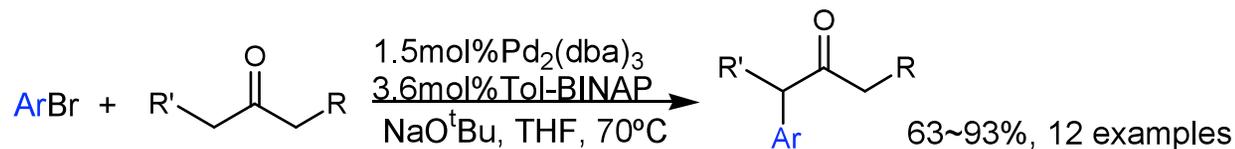
## Discovery of Pd-Catalyzed $\alpha$ -Arylation of Ketone

- From byproduct of C-O coupling reactions by Prof. Buchwald in MIT:



- From extension of C-N coupling reactions by Prof Hartwig in Yale:

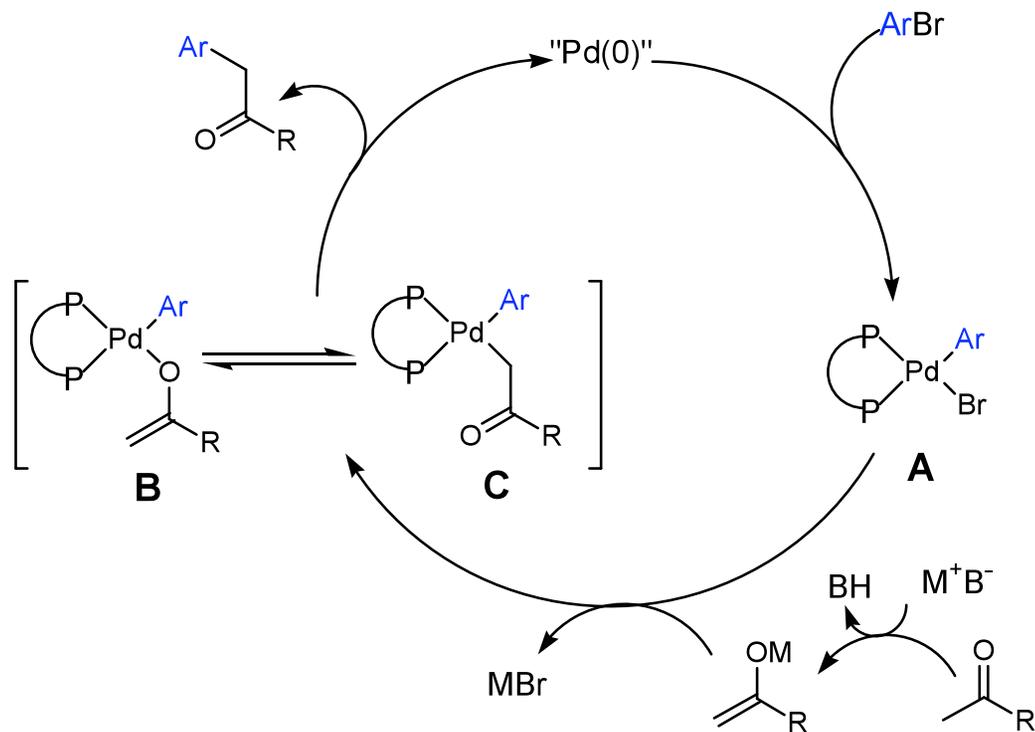


Selected Examples of Ketone  $\alpha$ -Arylation

Entry	ArBr	Ketones	Ketone/ ArBr	Product	Mono/Di- arylation	Yield (%)
1			1.2		No Di-	93
2			1.2 2.0		30 33	67 83
3			1.2		No Di-	69
4			1.2 2.0		7 16	71 88
5			1.2		No Di-	78

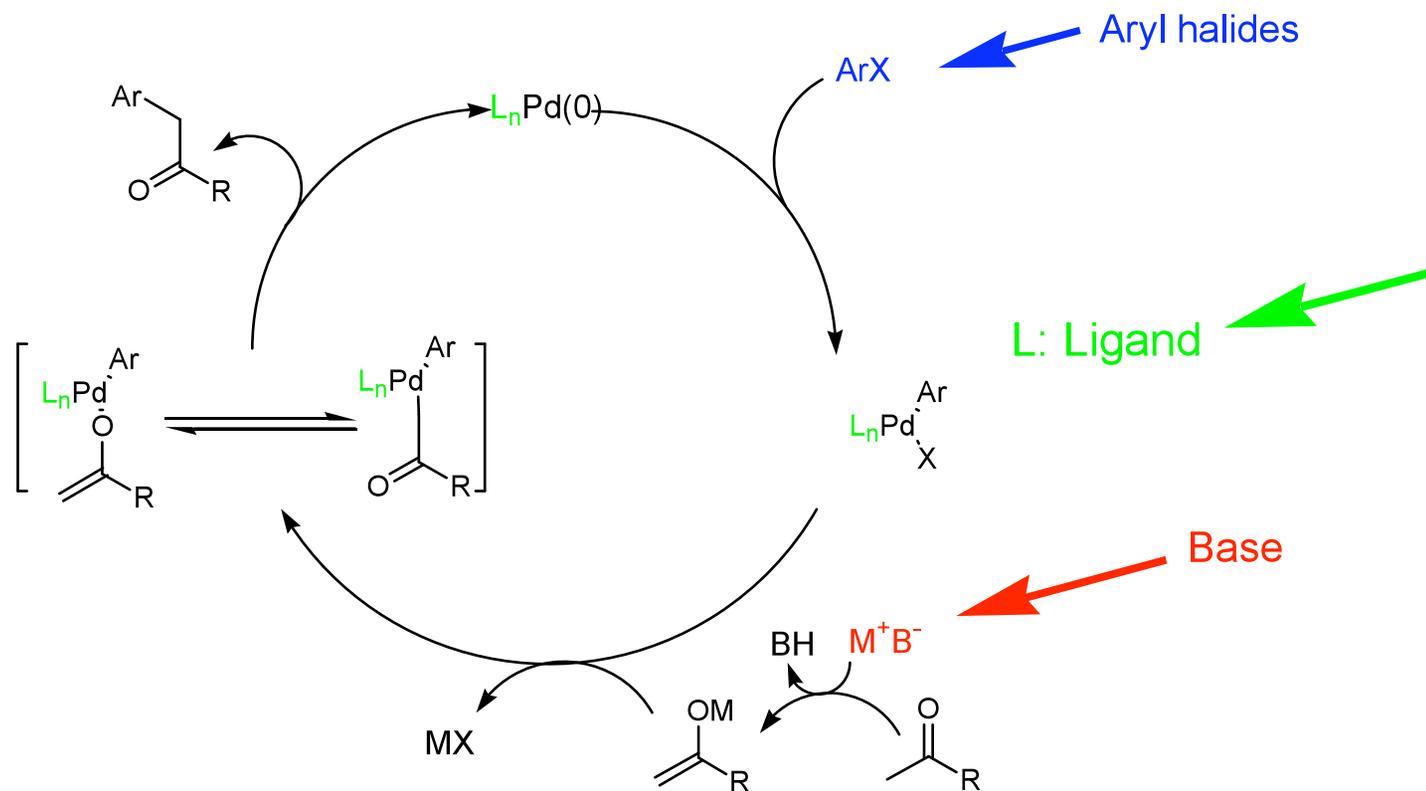
- General method for a large number of  $\alpha$ -aryl ketones under mild conditions
- Compatible with several functional groups
- Good yield with high regioselectivity
- In most cases mono/di arylation ratios are high

## Mechanism of Ketone Arylation Reaction

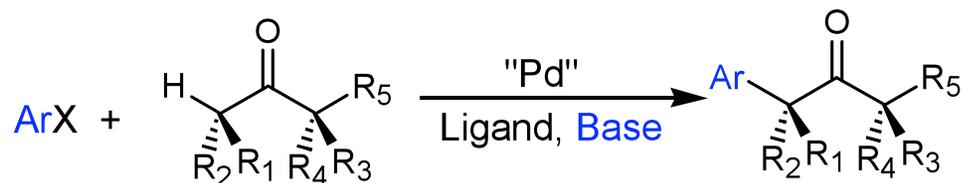


Proposed catalytic cycle of ketone arylation reaction with bidentate ligand

## Main Factors Influencing the Arylation of Ketone

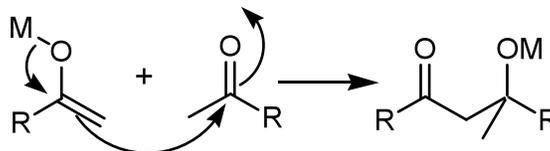


### Requirement for Base

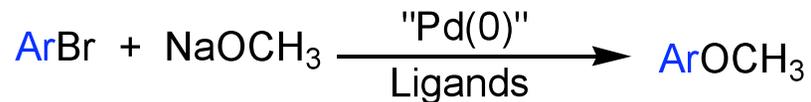


- Strong enough
- No introduction of side-reactions

- ◆ Aldol Reaction



- ◆ C-O Coupling Reaction

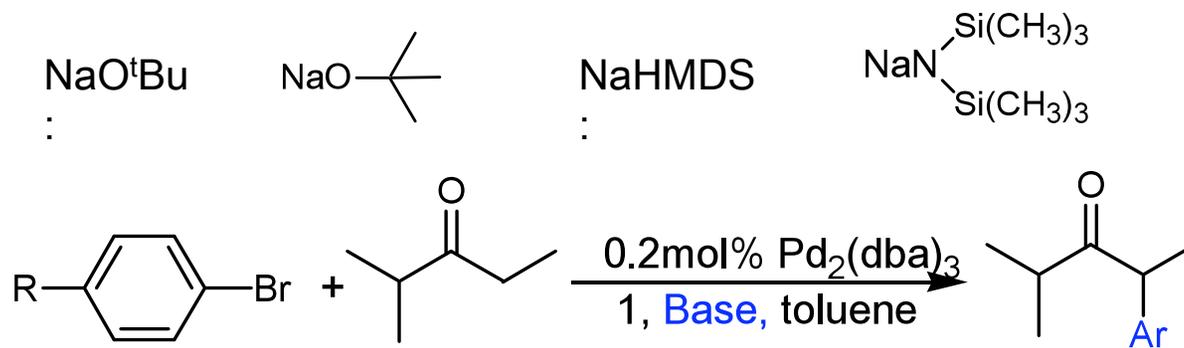


- Solubility in the solvent

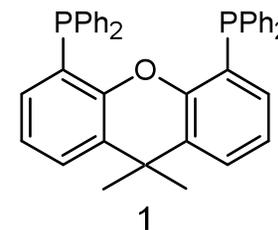


## Some Bases Used in Arylation of Ketones

- Strong, bulky bases

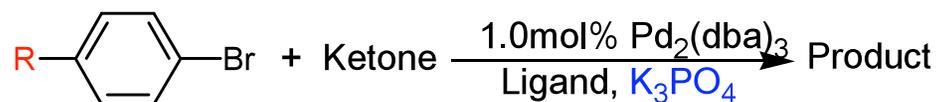


Entry	R	Base	Conditions	Yield
1	-CO <sub>2</sub> <sup>t</sup> Bu	2 eq NaHMDS	80°C, 16h	67%
2	-CO <sub>2</sub> NEt <sub>2</sub>	2eq NaO <sup>t</sup> Bu	80°C, 15h	74%

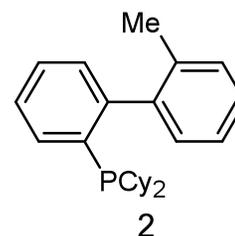
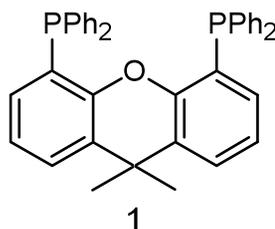


- Not compatible with several base-sensitive groups

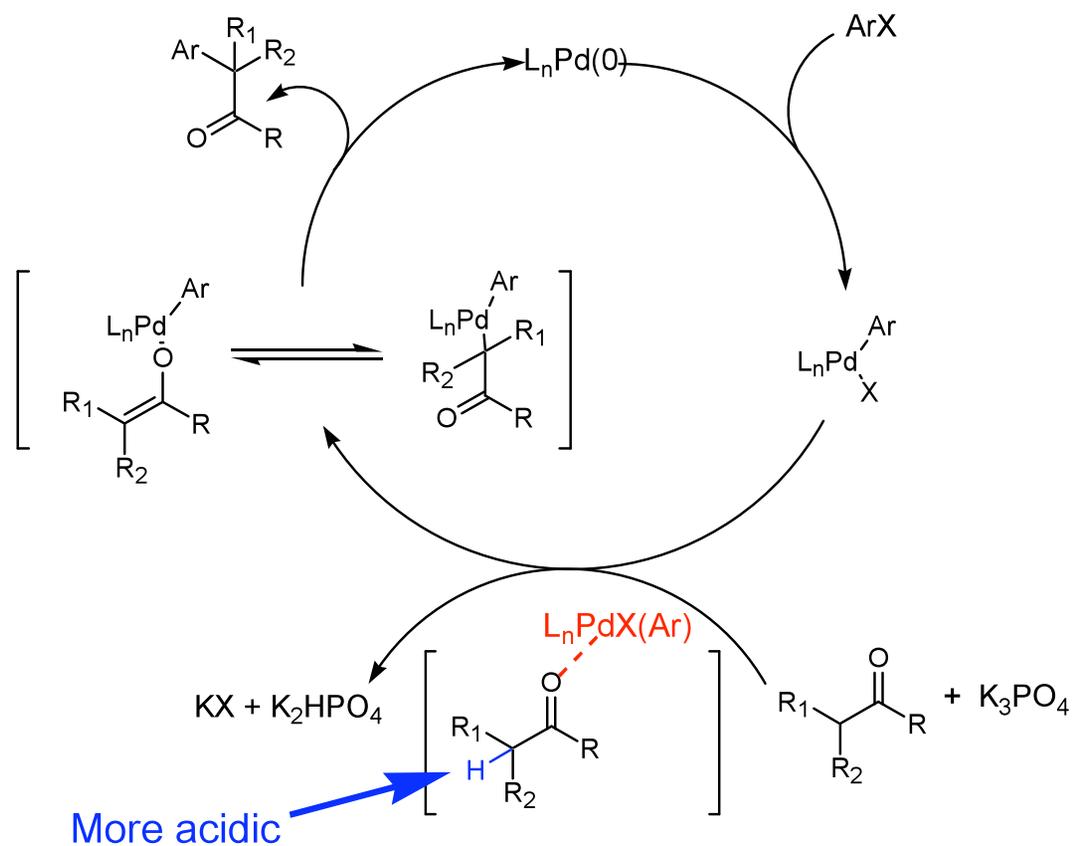
Use  $K_3PO_4$  as Base



Entry	R	Ketone	Product	Conditions	Yield
1	-CN			THF, 80°C, 16h Ligand: 1	72%
2	-CO <sub>2</sub> Et			toluene, 100°C, 23h Ligand: 2	91%
3	-CO <sub>2</sub> Me			toluene, 80°C, 15h Ligand: 1	74%

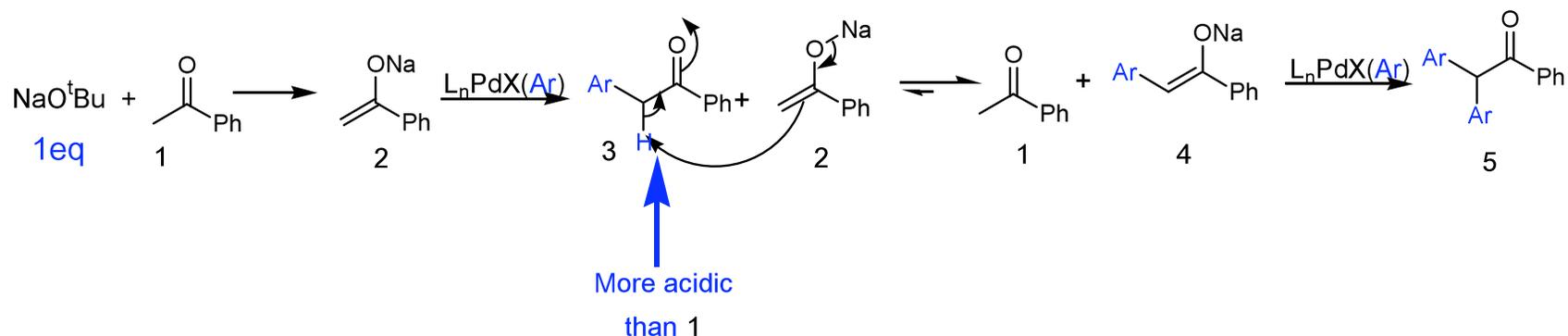


Use  $K_3PO_4$  as Base



## Excessive Base is Favored for Mono-arylated Product

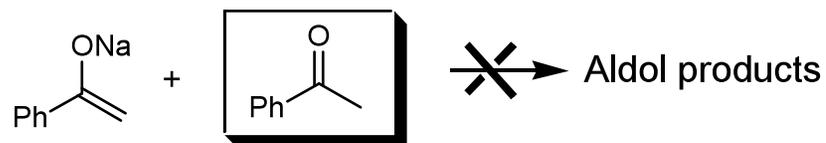
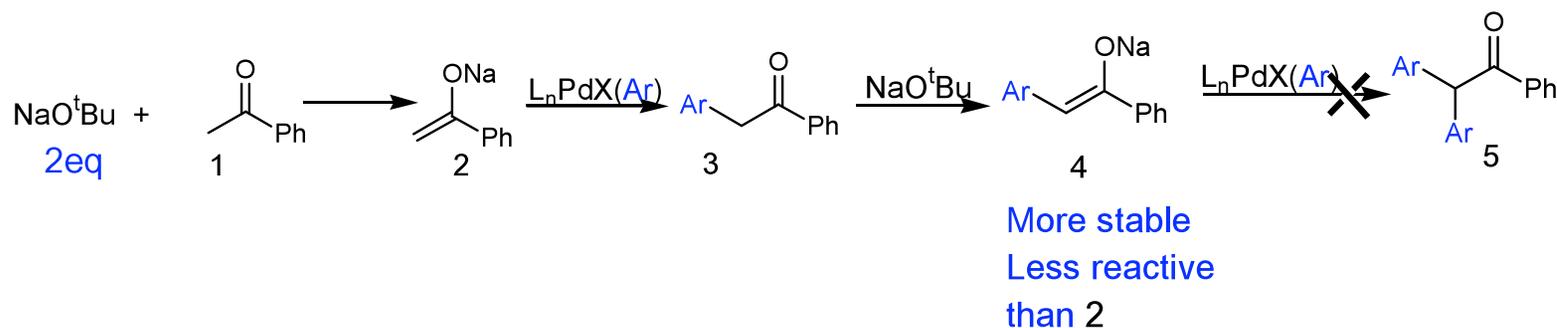
- When 1eq base is used for arylation of acetophenone



- Equilibrium between 2 and 4 will favor enolate of aryl ketone 4
- More di-arylated products would form

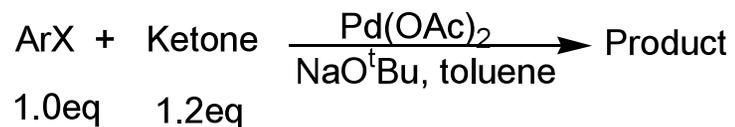
## Excessive Base is Favored for Mono-arylated Product

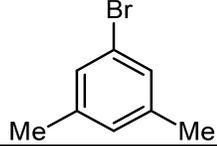
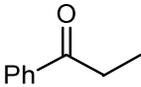
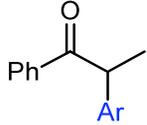
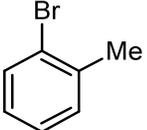
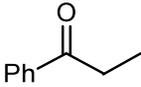
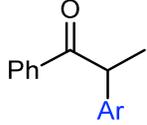
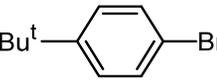
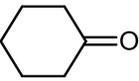
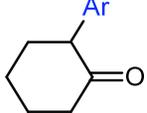
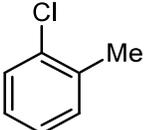
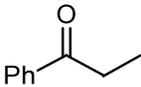
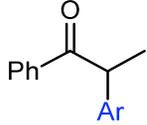
- When 2eq base is used for arylation of acetophenone



- All the ketones are in their enolate form
- High ratio of mono/ di-arylated product is expected
- Aldol reaction can be suppressed

## Ligand-free Arylation of Ketones



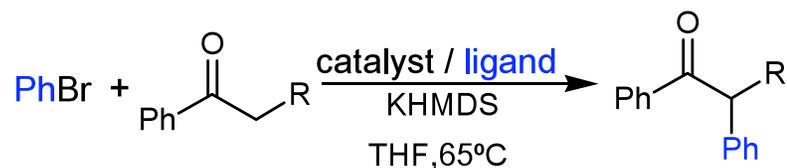
Entry	ArX	Ketone	Product	Conditions	Yield
1				80°C 23h	84%
2				80°C 14h	46%
3				70°C 14h	trace
4				80°C 24h	<1%

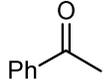
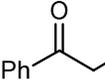
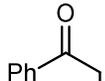
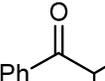
- Simple & Cheap
- Only suitable for limited substrates

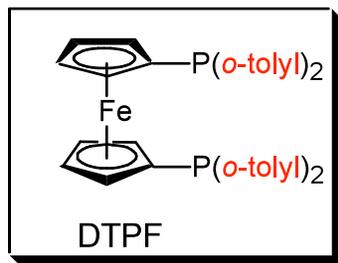
## Characteristics of Phosphines Ligands

- Metal center can be stabilized by phosphine ligands
- Increase the electron density on metal center
  - ◆ The  $\sigma$ -donation ability to the metal:  
 $\text{P}^t\text{Bu}_3 > \text{P}(\text{OR})_3 > \text{PR}_3 \approx \text{PPh}_3 > \text{PF}_3 > \text{P}(\text{OPh})_3$
- Phosphines are also electron acceptor
  - ◆ The  $\pi$ -acceptor ability from metals:  
 $\text{P}^t\text{Bu}_3 < \text{PR}_3 < \text{PAr}_3 < \text{P}(\text{OMe})_3 < \text{P}(\text{OAr})_3 < \text{PCl}_3 < \text{PF}_3$
- Steric properties can be tuned systematically
  - ◆ The steric effect:  
 $\text{P}^t\text{Bu}_3 > \text{PPh}_3 > \text{P}(\text{OPh})_3 > \text{PMe}_3 > \text{P}(\text{OR})_3 > \text{PF}_3$
- Introduction of chiral center is easy

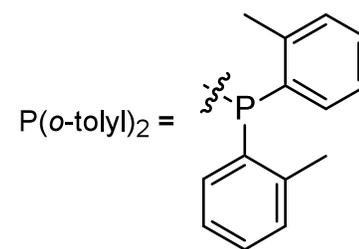
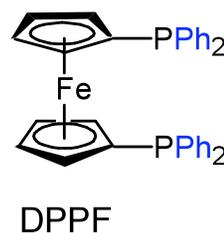
## Steric Effect of Chelating Phosphine Ligands



Entry	Ketone	Catalyst	Product	Ligand	Time (h)	Yield (%)
1		7.5mol%Pd <sub>2</sub> (dba) <sub>3</sub> 9.0mol%Ligand		DTPF	0.75	84
				DPPF	2	76
2		7.5mol%Pd <sub>2</sub> (dba) <sub>3</sub> 9.0mol%Ligand		DTPF	0.75	71
				DPPF	2	47



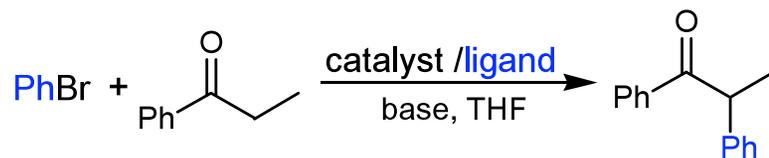
vs.



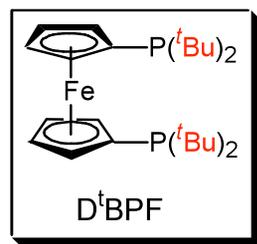
more sterically hindered

- Sterically hindered ligands can make reaction faster, afford higher yields

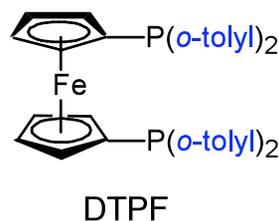
## Electronic Effects of Phosphine Ligands



Entry	Catalyst	Condition	Ligand	Yield (%)
1	7.5mol%Pd <sub>2</sub> (dba) <sub>3</sub> 9.0mol%Ligand	65°C THF	DTPF	71
2	2mol%Pd <sub>2</sub> (dba) <sub>3</sub> 2.5mol%Ligand	25°C THF	D <sup>t</sup> BPF	99



vs.

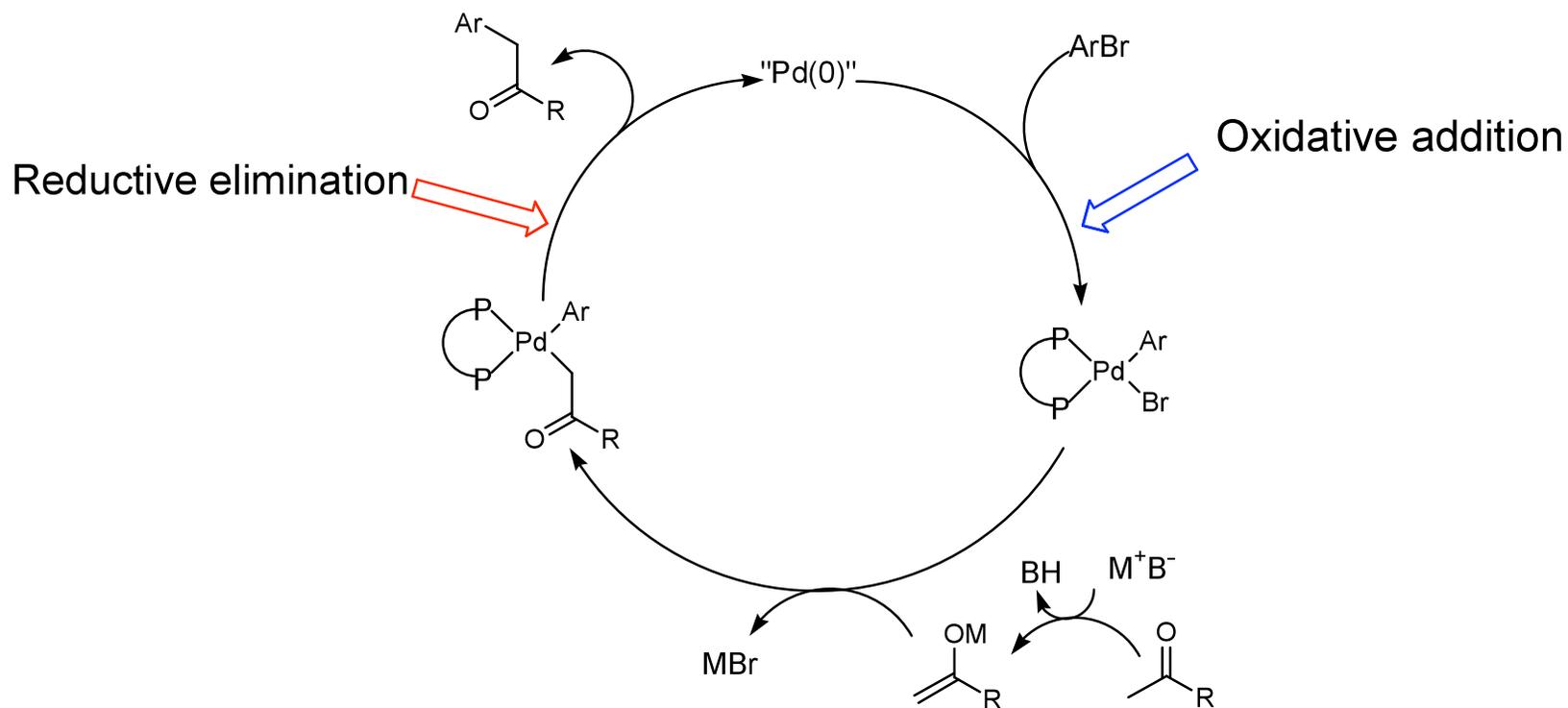


Electronically richer

- Reactions afford higher yields by using electron-rich ligands

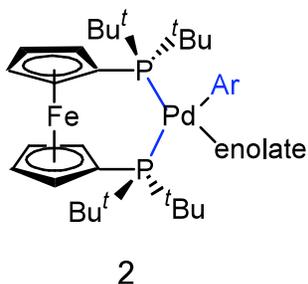
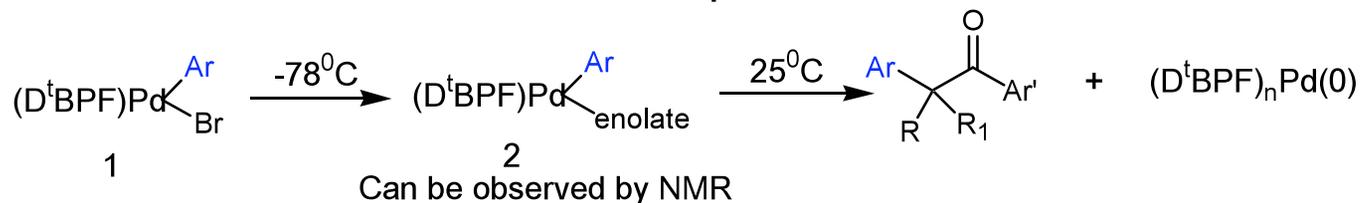
## Activities of Phosphine Ligands in Ketone Arylation Reactions

- Electron-rich phosphines accelerate oxidative addition
- Sterically hindered ligands facilitate reductive elimination



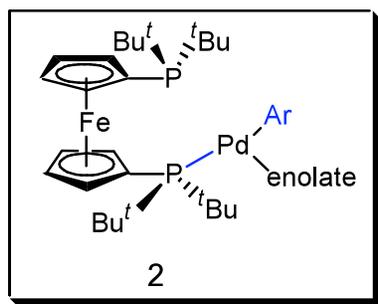
## Further Discussion of Chelating Model

—low temperature  $^{31}\text{P}$  NMR



Proposed Chelation of two phosphorous atoms

➡ Two  $^{31}\text{P}$  singlets around 57ppm



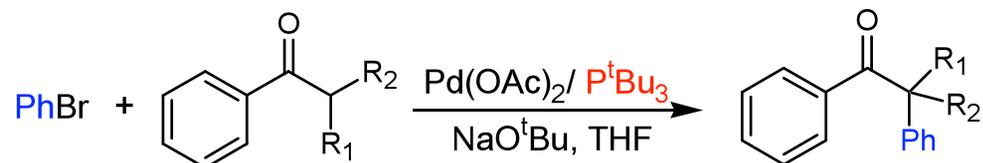
Experimentally observed:

One  $^{31}\text{P}$  singlet at 57.2ppm, another singlet at 24.6ppm

➡ One phosphorous atom is bound to palladium center

- Sterically hindered monophosphines  $\text{PR}_3$  may work for ketone arylation

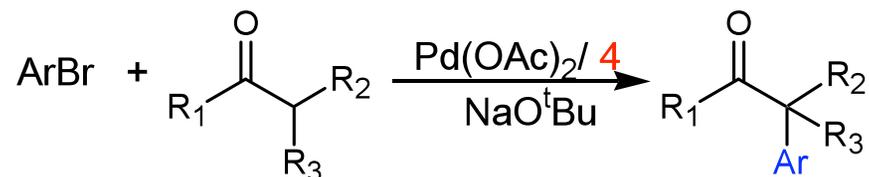
## Ketone Arylation Catalyzed by Alkyl-monophosphines

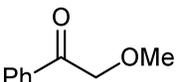
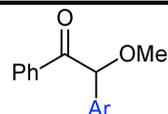
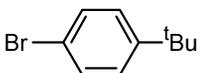
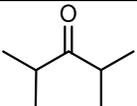
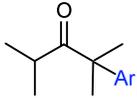
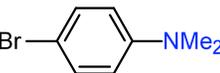
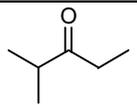
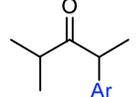
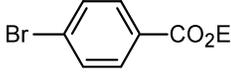
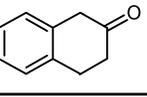
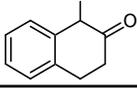
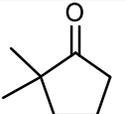
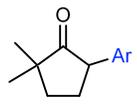


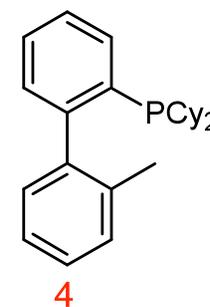
Entry	Ketones	Catalyst	Cond.	Product	Yield (%)
1		0.5mol% Pd(OAc) <sub>2</sub> 0.625mol%Ligand	25°C 2h		97
2		1mol%Pd(OAc) <sub>2</sub> 1.25mol%Ligand	25°C 6h		97
3		1mol%Pd(OAc) <sub>2</sub> 1.25mol%Ligand	50°C 12h		82

- Alkyl-monophosphines can promote ketone arylation in high yield
- Reaction condition is mild
- Usually only work well for aromatic ketones

## Ketone Arylation Catalyzed by Buchwald's Ligand 4



Entry	ArBr	Ketone	Cond.	Mol%Pd Solvent	Product	Yield (%)
1			70°C 17h	0.5 THF		83
2			85°C 24h	0.5 toluene		61
3			85°C 24h	0.5 toluene		70
4			80°C 24h	0.2 THF		85
5			70°C 23h	0.5 toluene		64



## $\alpha$ -Arylation of Ketone with Chloroarenes

- Readily available and cheap
- Stable, easy to handle and store
- Less reactive than bromo- & iodoarenes

Bond dissociation energies for C-X bond in Ph-X:

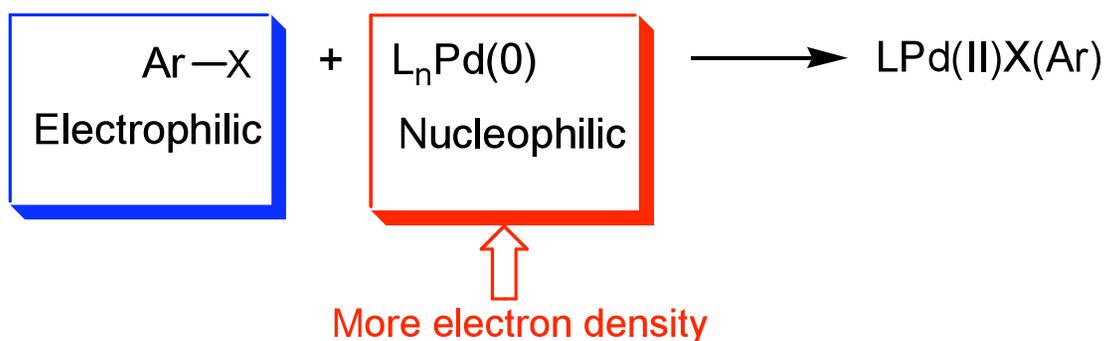
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C-Cl: 96 kcal/mol, C-Br: 81 kcal/mol, C-I: 65 kcal/mol

- C-Cl bond is difficult to be activated than other C-X bond

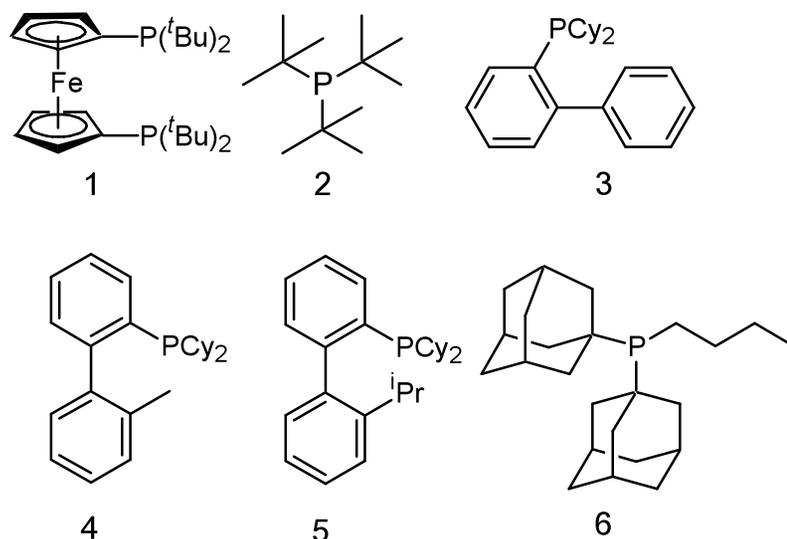
## Methods to Activate Aryl Chlorides

- Oxidative addition of aryl halides:



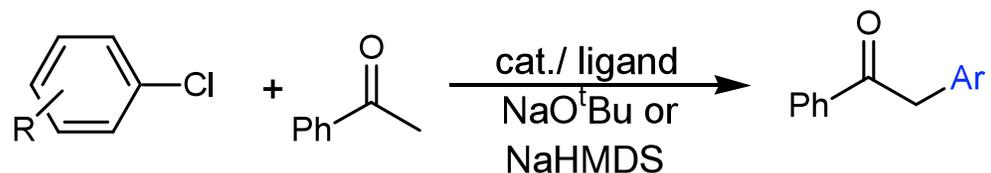
- Activate chloroarenes by electron-withdrawing groups on aromatic rings
- Increase electron density on metals by use of electron-rich ligands

## Active Ligands For Aryl Chlorides

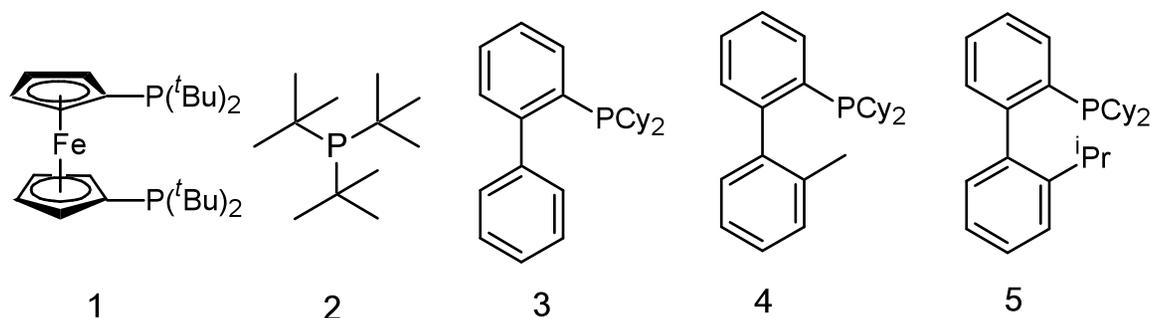


- They can facilitate oxidative addition
  - ◆ Increase electron-density on metal because of their electron richness
  - ◆ Formation of highly active  $Pd(PR_3)_{2-3}$  species due to steric hindrance
- They can promote reductive elimination
  - ◆ They are highly sterically hindered ligands

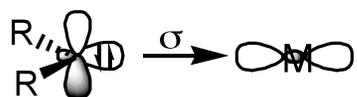
## Arylation of Ketones by Aryl Chlorides



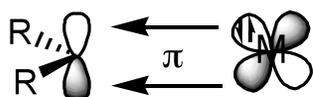
Entry	R	Catalyst	Conditions	Yield (%)
1	2-Me	Pd(dba) <sub>2</sub> /1	70°C, 12h	80
2	4-OMe	Pd(OAc) <sub>2</sub> /2	70°C, 12h	91
3	4-Me	Pd(OAc) <sub>2</sub> /3	80°C, 12h	91
4	4-COMe	Pd(OAc) <sub>2</sub> /5	80°C, 6h	76
5	4-CN	Pd(OAc) <sub>2</sub> /4	80°C, 18h	78



## Ketone Arylation Catalyzed by Pd-N-Heterocyclic Carbene Complex

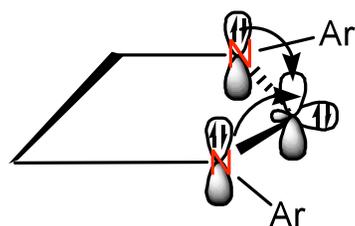
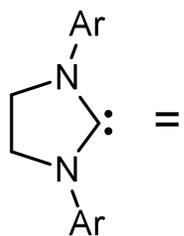


$\sigma$ -Donation from carbene to metal



$\pi$ -Back bonding from metal to carbene

Typical carbenes



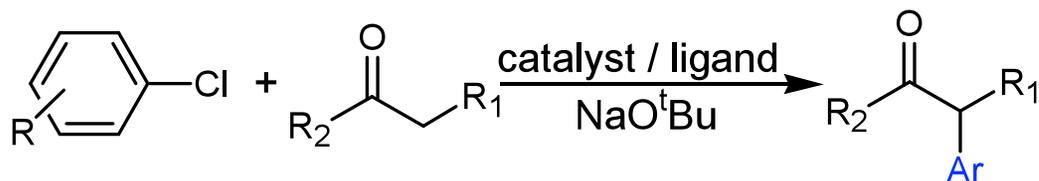
Strong donation from nitrogen to carbene center

Weak  $\pi$ -acceptor

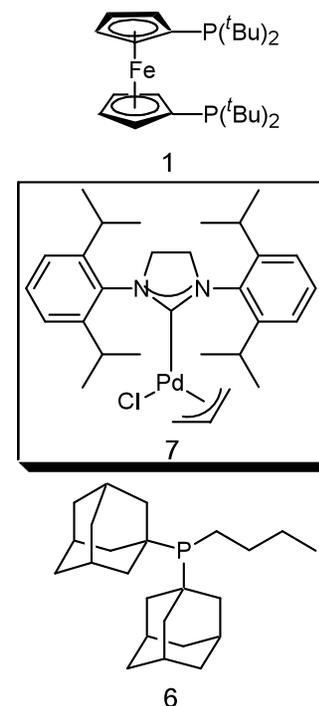
N-heterocyclic carbenes( NHC )

- Efficiently increase electron-density on metal center
- Steric effect can be tuned by aryl groups on nitrogen

## Arylation of Ketones Catalyzed by Pd-NHC Complex



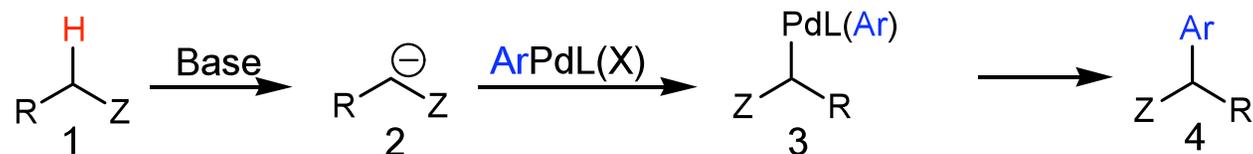
Entry	ArCl	Ketone	Catalyst	Condition	Yield (%)
1			Pd(dba) <sub>2</sub> /1	70°C, 3h	86
			7	50°C, 1h	91
2			Pd(OAc) <sub>2</sub> /6	100°C, 20h	25
			7	70°C, 1h	78
3			Pd(OAc) <sub>2</sub> /6	100°C, 20h	59
			7	70°C, 1h	93
4			Pd(dba) <sub>2</sub> /1	70°C, 12h	80
			7	60°C, 1h	87
5			7	60°C, 1h	91



- Air stable, well-defined palladium N-heterocyclic carbene complex
- Efficiently catalyze ketone arylation reaction with aryl chlorides

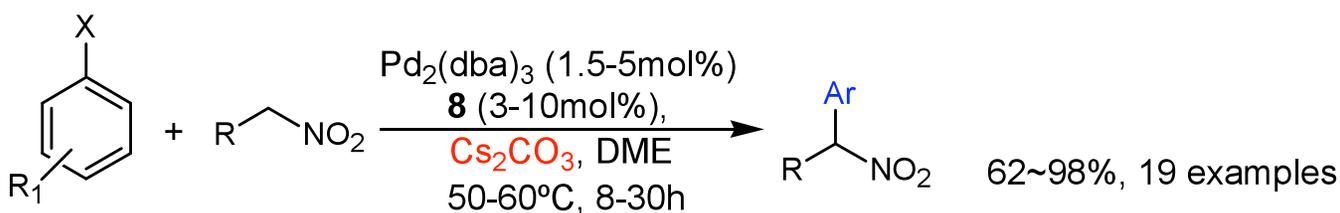
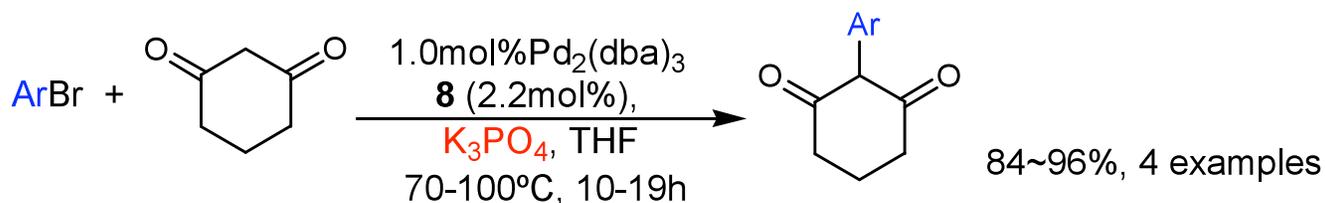
## Stabilized Anions as Potential Substrates of Arylation Reaction

	Examples	Acidity constant	pKa
Diones	$\text{CH}_2(\text{COCH}_3)_2$	$1.26 \times 10^{-9}$	9
Hydrocyanic acids	$\text{HCN}$	$6.3 \times 10^{-10}$	9.2
Nitroalkanes	$\text{CH}_3\text{NO}_2$	$6.3 \times 10^{-11}$	10.2
Malonitrile	$\text{CH}_2(\text{CN})_2$	$1.0 \times 10^{-11}$	11.0
Ketoacetates	$\text{CH}_3\text{COCH}_2\text{CO}_2\text{CH}_3$	$1.0 \times 10^{-11}$	11.0
Malonates	$\text{CH}_2(\text{COOEt})_2$	$3.2 \times 10^{-14}$	13.5
Aldehydes	$\text{RCH}_2\text{CHO}$	$2.0 \times 10^{-17}$	16.7
<b>Ketones</b>	$\text{RCOCH}_3$	$1.0 \times 10^{-20}$	<b>20</b>
Esters	$\text{RCH}_2\text{COOEt}$	$1.0 \times 10^{-25}$	25
Nitriles	$\text{RCH}_2\text{CN}$	$1.0 \times 10^{-25}$	25
Amides	$\text{R}^1\text{CH}_2\text{CONR}_2$	$1.0 \times 10^{-35}$	35



Z: Electron-withdrawing group

## Arylation of Cyclo-Diketones and Nitroalkanes

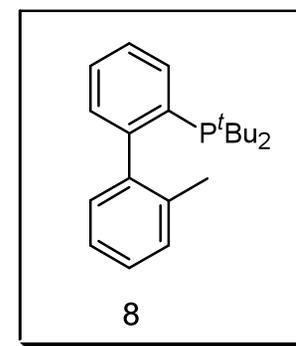


X = Br, Cl

R<sub>1</sub> = R, COOMe, OR, NR<sub>2</sub>, COMe

R = Me, Et, *n*Pent., Bn

- Reaction can undergo by using mild base
- Excellent functional group tolerance for arylation of nitroalkanes

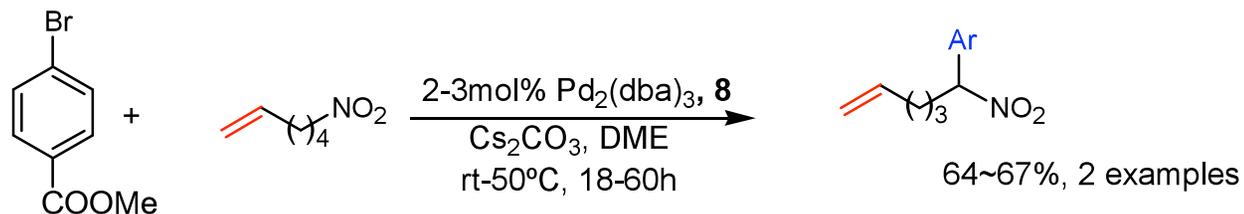


## Group Tolerance in Nitroalkane Arylation Reaction

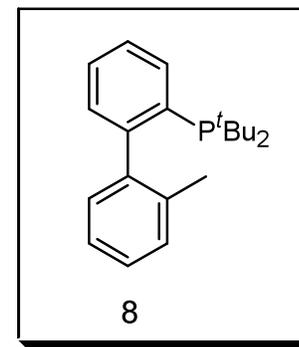


X = Br, Cl

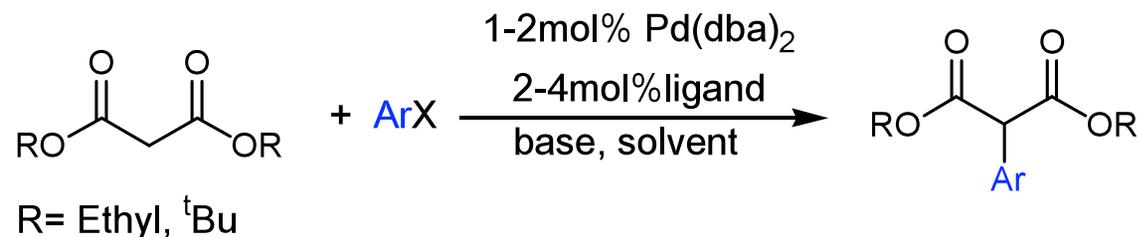
R<sub>1</sub>=COOMe, OMe, NMe<sub>2</sub>, Ph



- Reaction condition is mild
- No di-arylated products observed
- Excellent chemoselectivity

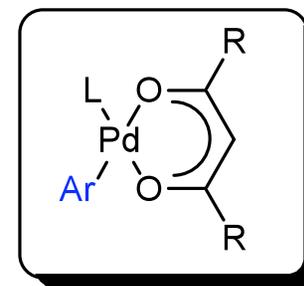
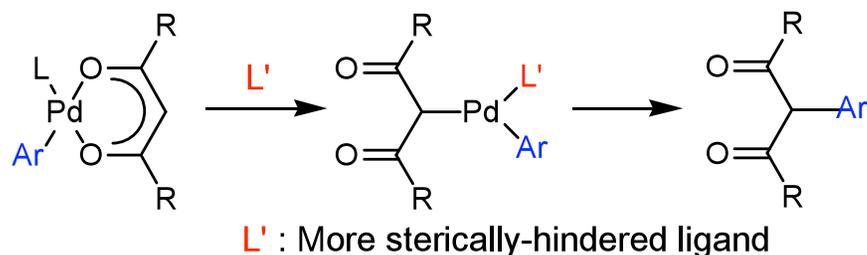


## Arylation of Malonates

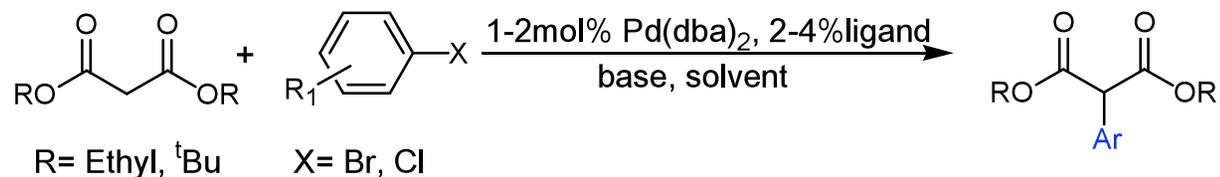


- Challenge: Low reactivity toward arylation reaction due to:
  - ◆ Stabilizing effect of two carbonyl retards the reductive elimination
  - ◆  $\eta^2$ -O,O-coordination mode of malonate anion

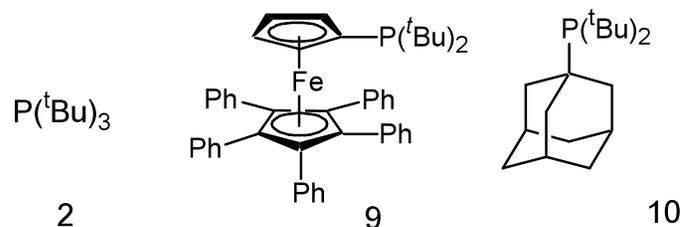
- Approach: Use of more sterically hindered ligands



## Arylation of Malonates

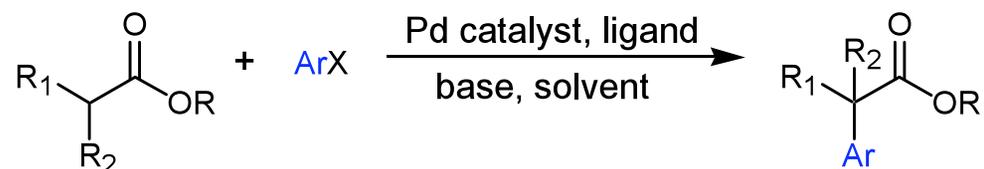


Entry	R <sub>1</sub>	X	Lig.	Conditions	Yield (%)
1	4-F <sub>3</sub> C, OMe, CH <sub>3</sub> CO	Br	2	70°C, NaH, THF	69-91
		Cl	9,10	100°C, K <sub>3</sub> PO <sub>4</sub> , toluene	81-90
2	4-F <sub>3</sub> C, OMe, 4-Me <sub>2</sub> N	Br	2	70°C, NaH, THF, 6h	85-90
		Cl	2		84-90



- Limitation: Few aryl groups such as pyridyl group can not be transferred

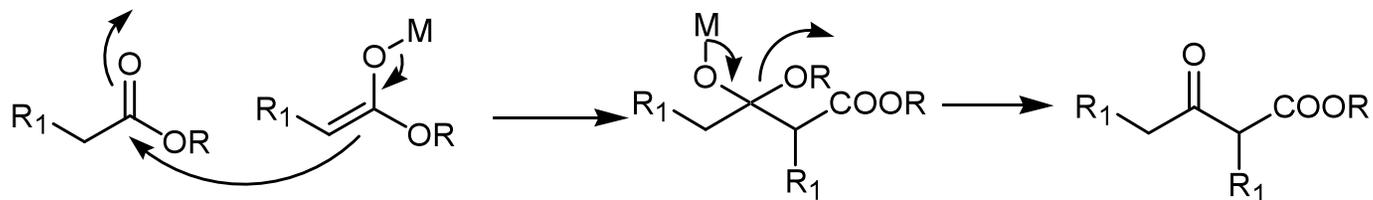
## Arylation of Esters



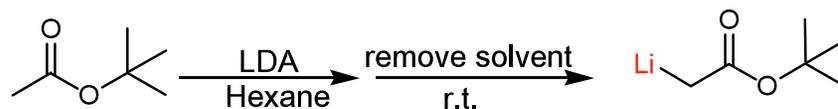
- No regioselectivity issues to be considered

- Challenge: Lower reactivity of esters

Unstable under basic condition — Claisen reaction

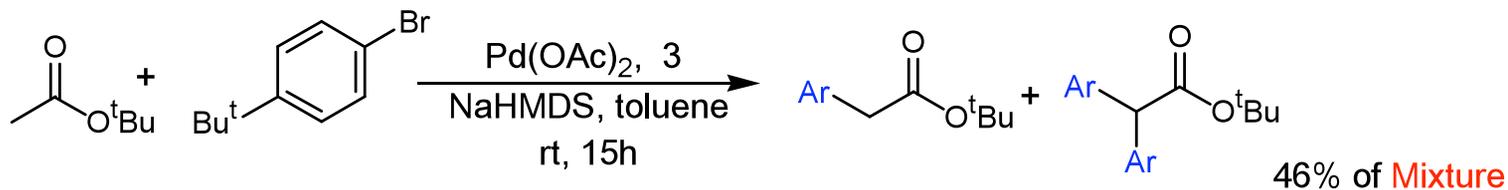


- Approach: Use of sterically hindered *tert*-butyl esters

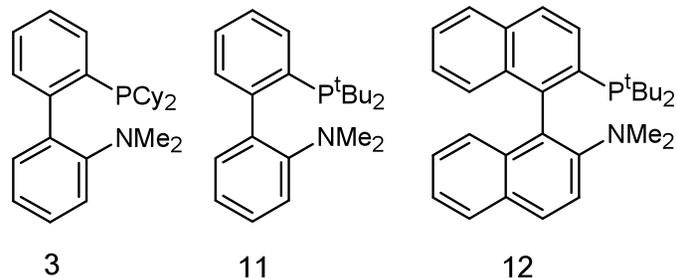
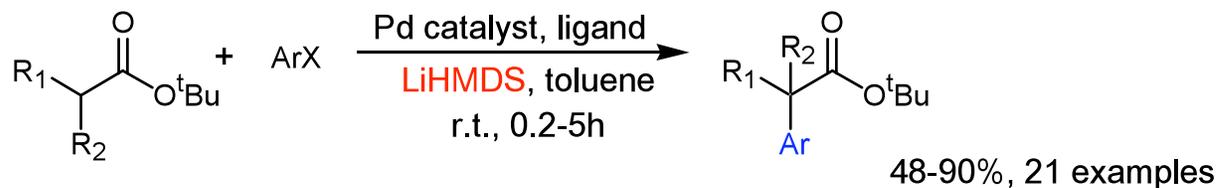


95-100% yield  
Stable at room temperature

## Promotion of Mono-Arylation Products of Esters

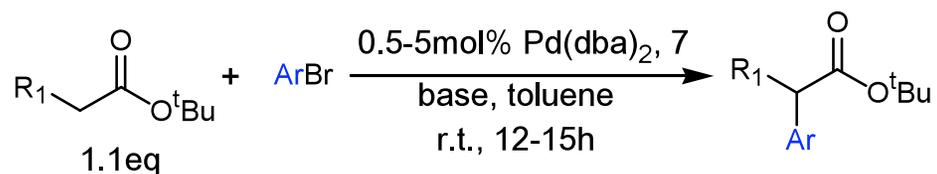


- Challenge: Double arylation of ester enolates
- Change base from NaHMDS to LiHMDS  
Excessive enolates (2.3eq of *tert*-Butyl esters, 2.5eq of LiHMDS)



## Promotion of Mono-Arylation Products of Esters

- Two-fold of base

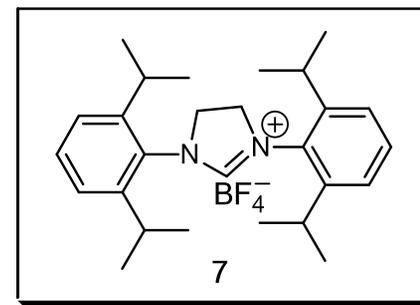


R<sub>1</sub> = H, **2.3eq** of LiHMDS

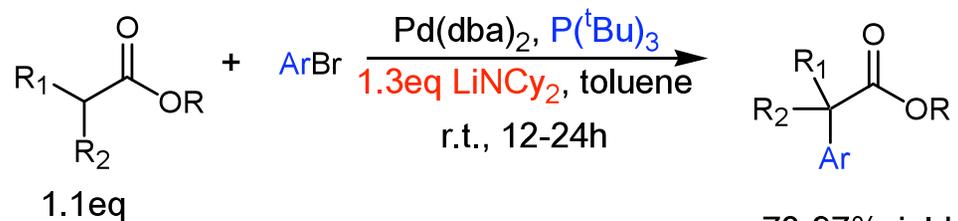
80-98% yield, 8 examples

R<sub>1</sub> = CH<sub>3</sub>, **2.3eq** of NaHMDS

66-88% yield, 6 examples



- Use of stronger base



70-97% yield

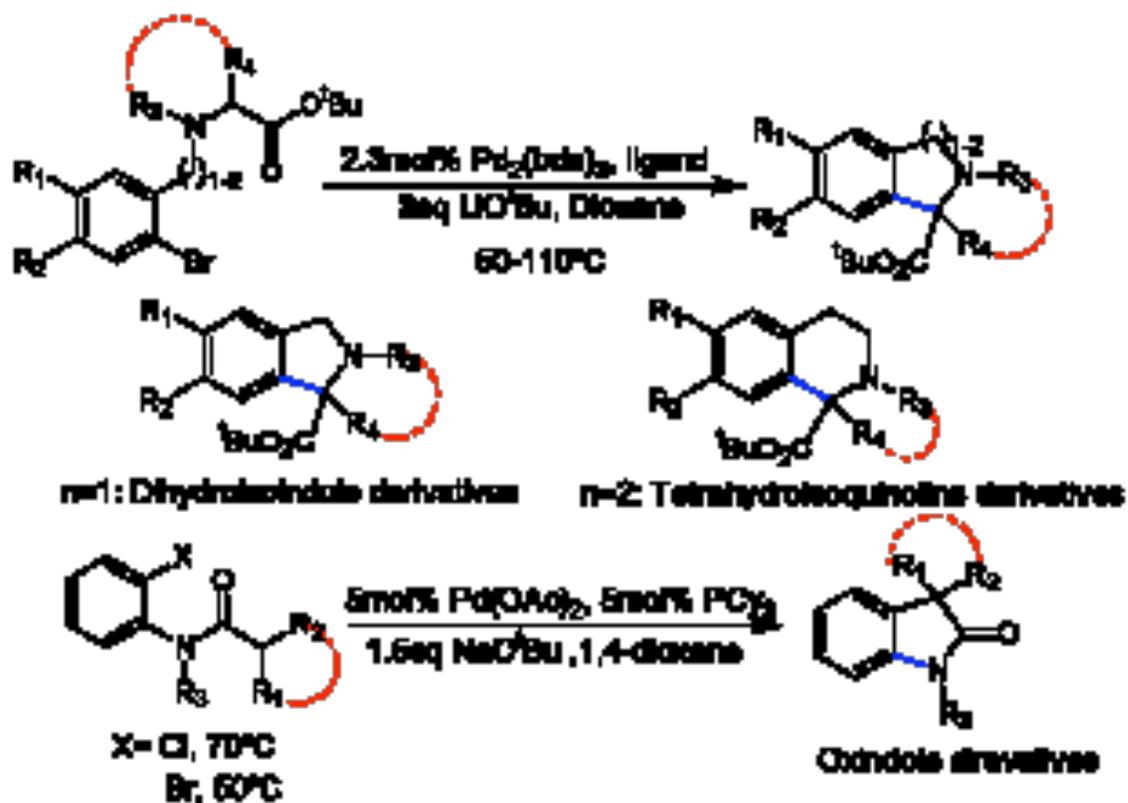
47 examples

## Outline

- Introduction
  - Mechanism Study
  - Factors Influencing the Arylation of Ketone
  - Arylation Reactions of Other Stabilized Carbanions
  - **Applications**
    - ◆ Intramolecular Arylation Reaction
    - ◆ Asymmetric Arylation Reaction
  - Prospects
  - Conclusion
-

## Intramolecular Arylation Reaction of Stabilized Anions

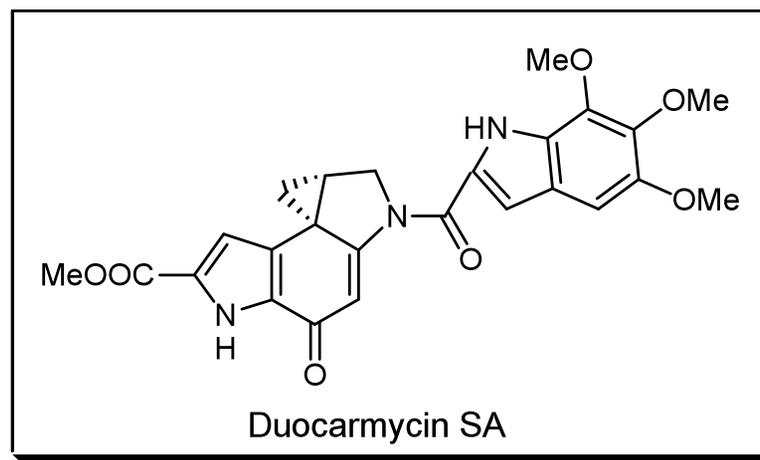
- Provide a simple way to construct many ring systems
- Represent a valuable synthetic building blocks for further reaction



Beare, Neil A.; Hartwig, J. F., *J. Org. Chem.*, 2001, 66, 3402

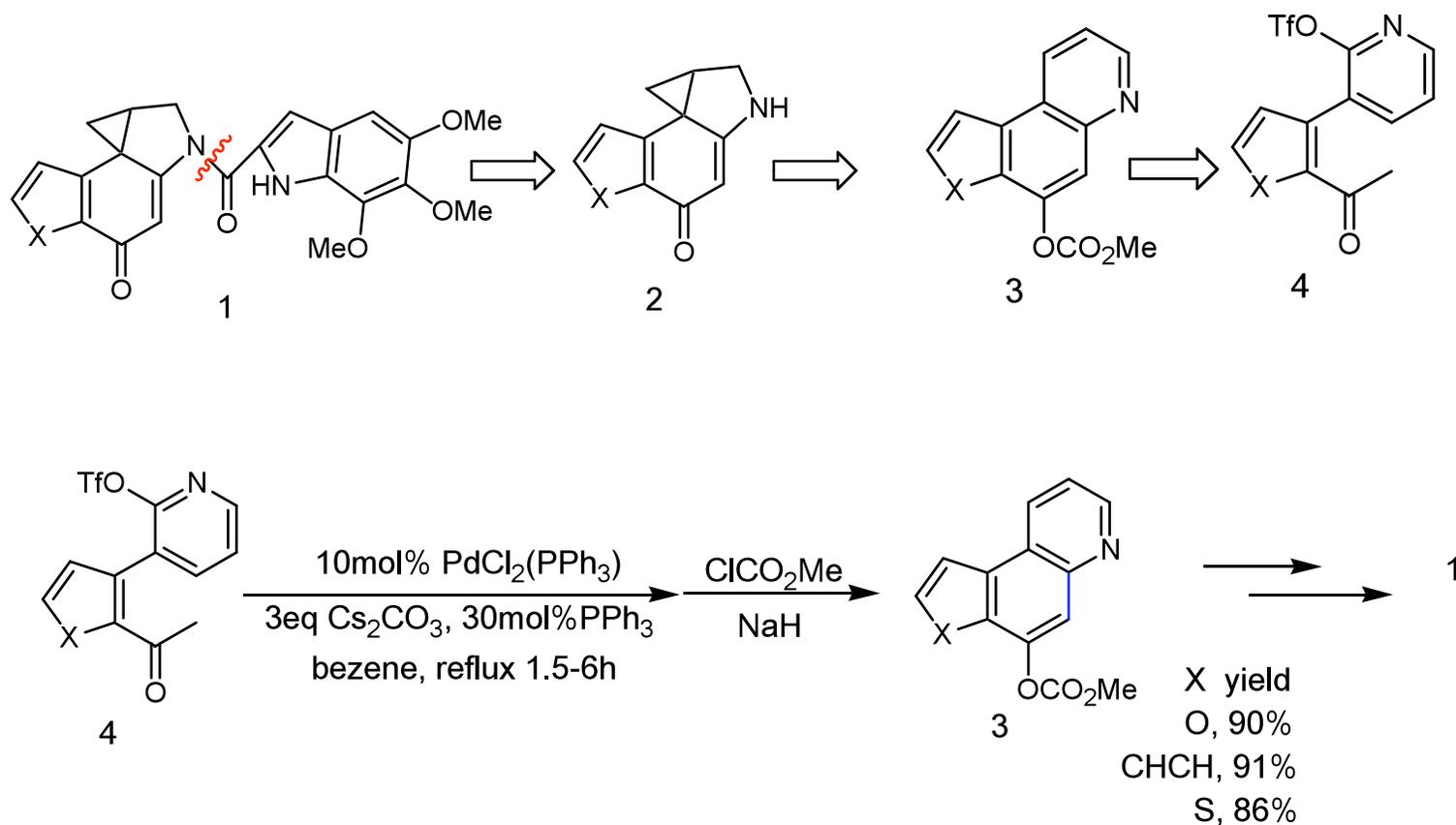
Gaertzen, Oliver and Buchwald, Stephen L. *J. Org. Chem.*, 2002, 67, 465

## Synthesis of Analogs of Duocarmycin SA



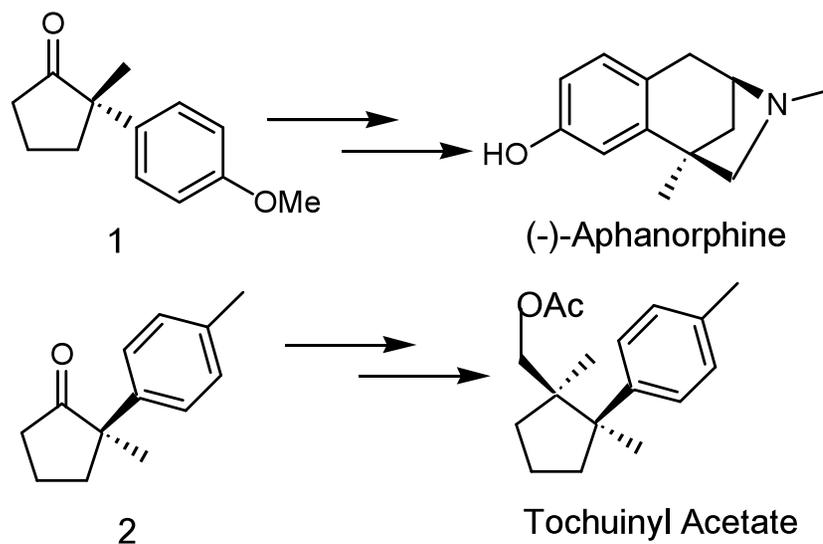
- Resource: isolated from streptomyces species in Japan.
- Bio-activities: extremely potent antitumor antibiotic

## Synthesis of Analogs of Duocarmycin SA



## Enantioselective Construction of Quaternary Centers

- New catalytic methods of formation of quaternary stereocenters
- Preparation of some important intermediates for natural product synthesis

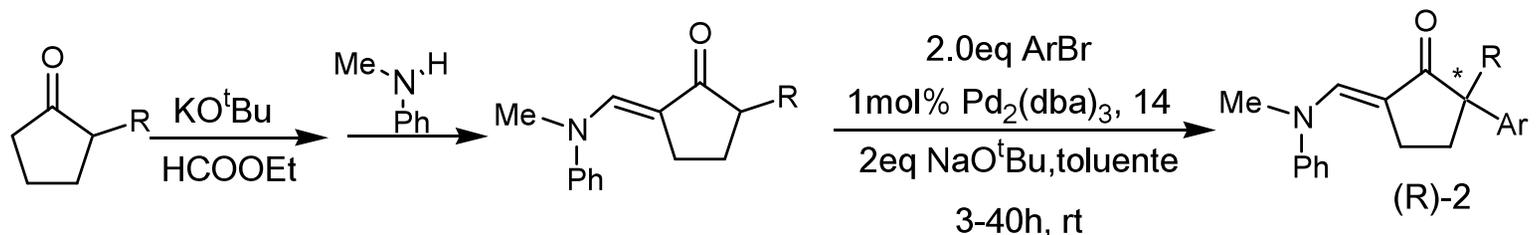


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Ahman, Jens; Wolfe, John, P.; Troutman, Malisa V.; Palucki, Michael; Buchwald, Stephen L.

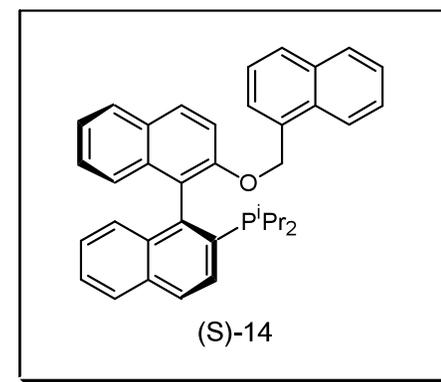
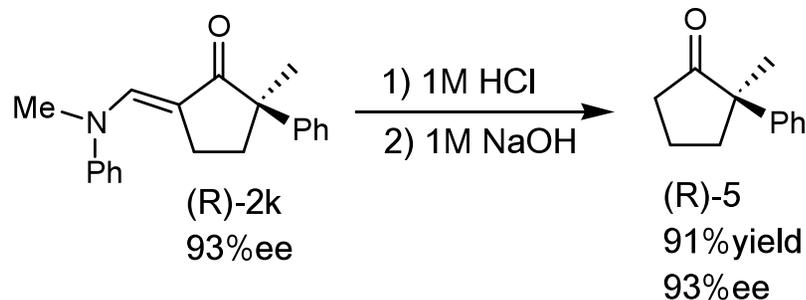
*J. Am. Soc. Chem.*, **1998**, *120*, 1980

## Enantioselective Construction of Quaternary Centers



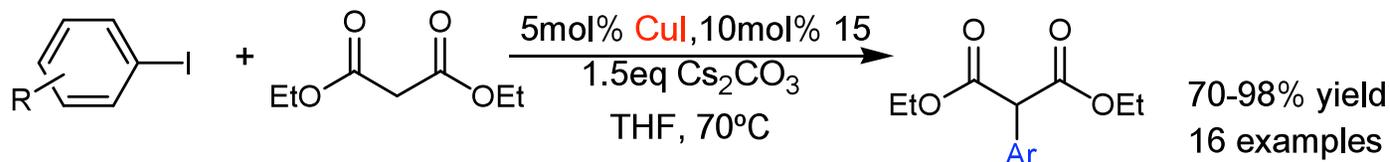
80-86% yield  
88-94% ee  
8 examples

- High enantioselectivity and yield
- Low catalysts loadings
- Mild conditions
- Not efficient for ortho-substituted aryl bromides



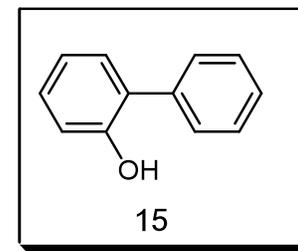
## Prospects of Arylation Reactions of Stabilized Carbanions

- Arylation of malonates catalyzed by copper

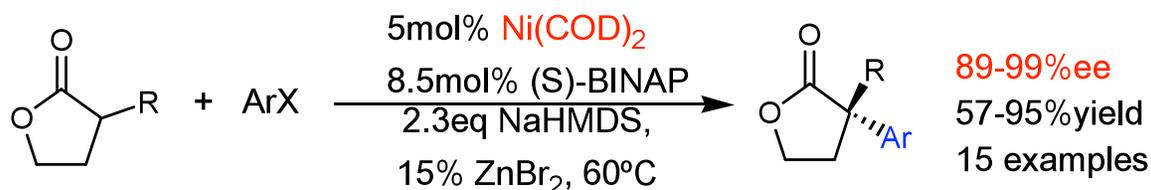


R = OMe, CF<sub>3</sub>, NO<sub>2</sub>, MeCO, CN  
CO<sub>2</sub>Et, OH, MeCONH, NH<sub>2</sub>

- Cheap catalyst and ligand, excellent functional group tolerance
- Only aryl iodide is reactive



- Enantioselective arylation of  $\gamma$ -butyrolactones catalyzed by nickel



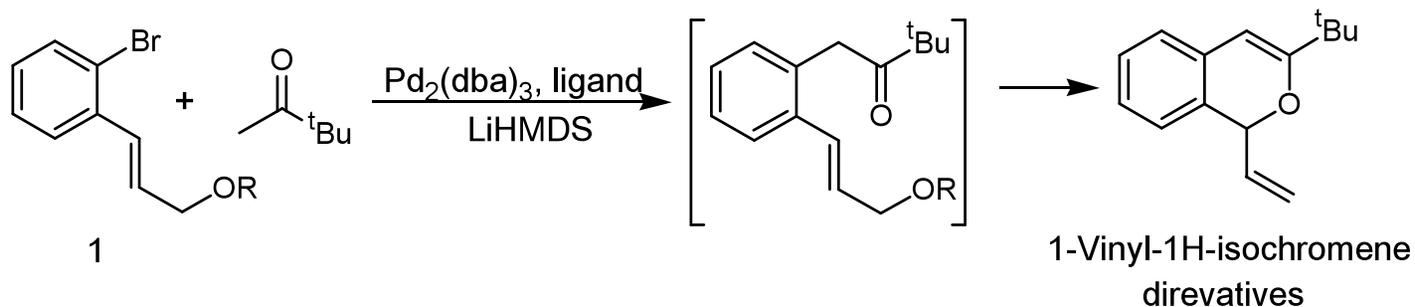
- High ee and good yield
- Not efficient for ortho-substituted aryl bromides

Hennessy, Edward; Buchwald, Stephen L.. *Org. Lett.*, 2002, 4, 269

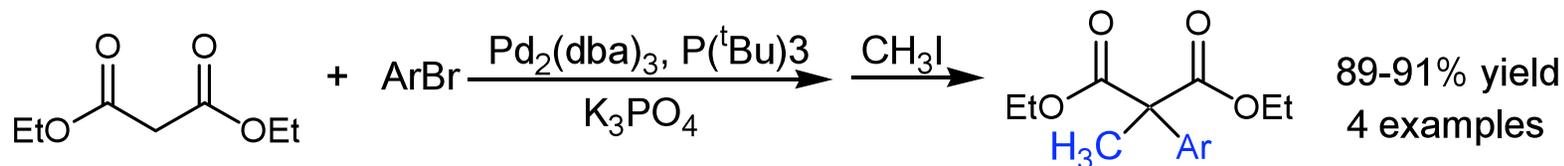
Spielvogel, Dirk J.; Buchwald, Stephen L.. *J. Am. Soc. Chem.*, 2002, 124, 3500

## Tandem and One-pot Synthesis

- Tandem synthesis of 1-Vinyl-1H-isochromene derivatives



- One-pot preparation of diethyl 2-Aryl-2-methylmalonates



## Conclusions

- Direct ketone arylation reaction is a general method to selectively install aryl group at  $\alpha$ -position of ketones.
  - Ketone arylation reaction has been greatly extended to other stable carbanions
  - The intramolecular arylation reaction can furnish a number of heterocyclics.
  - Asymmetric arylation reactions have been established
  - Most recent work was briefly discussed. Their potential development is expected
-

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## Acknowledgement

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Yana, Yu, Yiqian, Zhenjie, Gang, Zhiyi  
and all those who helped me

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